

A G E N D A

REGULAR MEETING FIRST 5 ORANGE COUNTY, CHILDREN & FAMILIES COMMISSION

Wednesday, October 2, 2024, 9:00 A.M.

Orange County Transportation Authority Conference Center
550 South Main Street
Orange, California

JACKIE FILBECK
Chair

SOLEDAD L. RIVERA
Vice Chair

RAMIN BASCHSHI, MD
Commissioner

DOUG CHAFFEE
Commissioner

VERONICA KELLEY, DSW, LCSW
Commissioner

YVETTE LAVERY, MPA, MBE
Commissioner

ANGIE ROWE
Commissioner

IRENE SALAZAR
Commissioner

AN TRAN
Commissioner

President/CEO
Kimberly Goll

Commission Counsel
Cassie Trapesonian

Clerk of the Commission
Maria Lopez, Deputy

The First 5 Orange County, Children & Families Commission welcomes you to this meeting. This agenda contains a brief general description of each item to be considered. The Commission encourages your participation. Except as otherwise provided by law, no action shall be taken on any item not appearing in the agenda.

Members of the public can either attend the meeting in-person or participate via Zoom by clicking the link or calling in as described below:

Please click the link below to join the webinar:

<https://us02web.zoom.us/j/88359930264?pwd=Ym9SSmxoRnJlOWcvdDcxM0w0cVBWZz09>

Passcode: 302048

Or One tap mobile: US: +16699009128,, 883 5993 0264#,,, *302048# or +16694449171,, 883 5993 0264#,,, *302048# Or

Telephone: Dial(for higher quality, dial a number based on your current location): US: +1 669 900 9128 or +1 669 444

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Webinar ID: 883 5993 0264

Passcode: 302048

Instructions for Public Comments: Members of the public may address the Commission regarding any item in person, via Zoom, or by submitting in written comments as outlined below. All speakers providing public comment in person or through Zoom will be recognized by the Chair at the time the agenda item is to be considered. If you wish to speak on a matter that does not appear on the agenda, you may do so during the Public Comments period at the close of the meeting. A speaker's comments shall be limited to three minutes.

A G E N D A

1. In-Person Comment - Members of the public may attend the meeting in person and address the Commission regarding any item contained in the agenda. If you wish to speak on an agenda item, please complete a Speaker Form identifying the item(s) and deposit it in the Speaker Form Return box located next to the Clerk. Speaker Forms are available at the entrance of the Conference Center

2. Verbal Comment (Zoom) – Public Comment may also be made by member of the public participating via Zoom. When the item is called, use the “Raise Hand” feature in Zoom or dial *9 if participating by phone. Please wait to be called upon by staff.

3. Written Comment - Public comments may be submitted in writing by emailing them to First5OC@cfcoc.ocgov.com. The comments will be distributed to all of the Commissioners and read into the meeting record. If you wish to comment on a specific agenda item, please identify the item in your email. General public comments will be addressed during the general public comment item on the agenda. In order to ensure that staff has the ability to provide comments to the Commissioners in a timely manner, please submit your comments by 12:00 p.m. on October 1, 2024. Public comments will be made available to the public upon request.

Any member of the public requiring a reasonable accommodation to participate in this meeting should contact the Commission at least 48 hours prior to the meeting at First5OC@cfcoc.ocgov.com or (714) 834-2206.

All supporting documentation is available for public review in the office of the Clerk of the Board of Supervisors located in the County Administration North, 400 W. Civic Center Dr., 6th Floor, Santa Ana, California 92701 during regular business hours, 8:00 a.m. - 5:00 p.m., Monday through Friday.

9:00 A.M.

PLEDGE OF ALLEGIANCE

PRESENTATIONS: (Items 1-2)

1. Receive Presentation from Orange Unified School District Recognizing Partnership with First 5 Orange County
2. Receive Presentation on First 5 Orange County’s Engaged Neighborhoods

CONSENT CALENDAR: (Items 3-5)

All matters are approved by one motion unless pulled by a Commission Member for discussion or separate action. At this time, any member of the public may ask the Commission to be heard on any item on the Consent Calendar.

3. Receive Update on the First 5 Orange County Doula Stipend Program
4. Receive Report on Systems Change Efforts
5. Receive Update on First 5 Orange County Guiding Principles

PUBLIC HEARING: (Item 6)

6. Conduct Public Hearing to Receive and Authorize Certification of the Fiscal Year 2023-2024 Annual Report

A G E N D A

REGULAR ITEMS: (Items 7-9)

At this time, members of the public may ask the Commission to be heard on the following items as those items are called.

7. Receive Update on Prenatal Strategy and Adopt Resolution Authorizing Agreements for Continued Implementation
8. Receive Update for Black P.E.A.R.L. Model Implementation
9. Adopt Resolutions Authorizing an Amendment to Agreement with Health Plus Studio and a New Agreement with Orange County Health Care Agency to Continue Early Childhood Mental Health Systems Planning Work

PRESIDENT/CEO REPORT: (Item 10)

10. Receive the President/Chief Executive Officers Report
 - a. Technical Advisory Committee Meeting
 - b. Financial Update
 - c. Update on the CalOptima Dyadic Grants
 - d. Action Plan Update
 - e. Legislative Update
 - f. Office Renovation Update

PUBLIC & COMMISSION COMMENTS & ADJOURNMENT:

At this time members of the public may address the Commission on any matter not on the agenda but within the jurisdiction of the Commission. The Commission or Chair may limit the length of time each individual may have to address the Commission.

PUBLIC COMMENTS:

COMMISSION COMMENTS:

ADJOURNED:

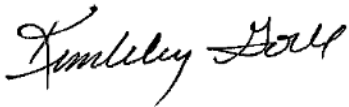
NEXT MEETINGS:

December 6, 2024 Regular Meeting, 9:00 A.M.



Commissioners:
Jackie Filbeck, Chair
Soledad Rivera, Vice Chair, Ramin Baschshi, M.D
Doug Chaffee, Veronica Kelley Ph.D., Angie Rowe,
Irene Salazar, An Tran, Yvette Lavery
CEO/President: Kimberly Goll

**Presentation
Agenda Item 1
October 2, 2024**

DATE: September 17, 2024
TO: First 5 Orange County
FROM: Kimberly Goll, President/CEO 
ACTION: Receive Presentation from Orange Unified School District Recognizing Partnership with First 5 Orange County

SUMMARY:

Orange Unified School District's (OUSD) strategic plan includes a focus for the district to "Build and maintain strong educational, university, business, and community partnerships to cultivate deep rooted connections with stakeholders." One way they implement this is to publicly acknowledge and thank a partner once a quarter. OUSD has chosen First 5 OC as the first Community Partner to be recognized with the Community Partner Recognition Award. Representatives from OUSD will be in attendance and present First 5 OC Board members with this recognition.

OUSD values its longstanding partnership with First 5 OC, recognizing the benefit this partnership has brought to the district and community. As a funded partner, OUSD has implemented a Learning Link at 16 of their 30 elementary schools. Learning Links are drop-in programs for young children (infants to five years old) and their parents or caregivers. It provides a place for caregivers to learn new, developmentally appropriate ways to play with their child with the help of a preschool teacher. Additionally, OUSD hosts a school readiness fair where they bring together providers for families to access resources based on the results from their Early Development Index data. Alongside the early learning services funded by First 5 OC, OUSD runs a robust state-funded and fee-based program at nine elementary sites.

CONTACT: Cristina Blevins



Commissioners:
Jackie Filbeck, Chair
Soledad Rivera, Vice Chair, Ramin Baschshi, M.D
Doug Chaffee, Veronica Kelley Ph.D., Angie Rowe,
Irene Salazar, An Tran, Yvette Lavery
CEO/President: Kimberly Goll

**PRESENTATION
Agenda Item 2
October 2, 2024**

DATE: September 3, 2024

TO: First 5 Orange County

FROM: Kimberly Goll, President/CEO

A handwritten signature in black ink that reads "Kimberly Goll".

ACTION: Receive Presentation on First 5 Orange County's Engaged Neighborhoods

SUMMARY:

Neighborhoods that support young children and their families is an environmental condition necessary for children to reach their full potential. First 5 OC's Engaged Neighborhoods Initiative seeks to engage family leaders, providers, advocacy groups, and other stakeholders to help improve Orange County children's health and development by focusing on empowering families to advocate for their young children, connect these families to early development systems, and connect these systems with each other.

Erwin Cox, Community and Family Engagement Senior Program Officer, will present an overview of First 5 OC's Engaged Neighborhoods program including a brief background of the program, overview of activities in Fiscal Year 2023-24, and discussion of what's next for the current Engaged Neighborhoods and for the program in general.

ATTACHMENT:

1. Presentation slides: First 5 Orange County's Engaged Neighborhoods

CONTACT: Erwin Cox



First 5 Orange County's Engaged Neighborhoods

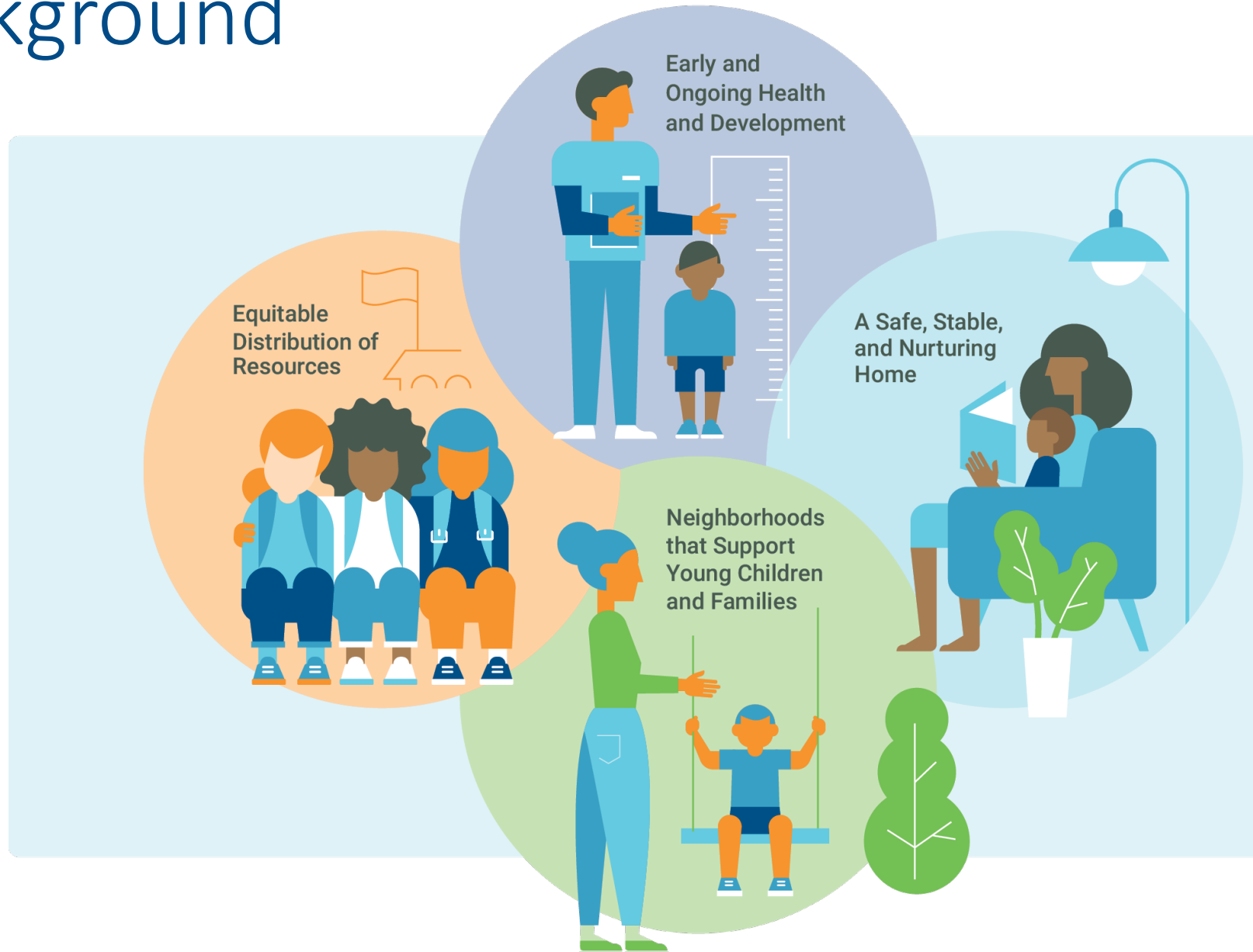
Agenda

- Background
- Accomplishments & Current Focus
- Looking Ahead

9/25/2024



Background

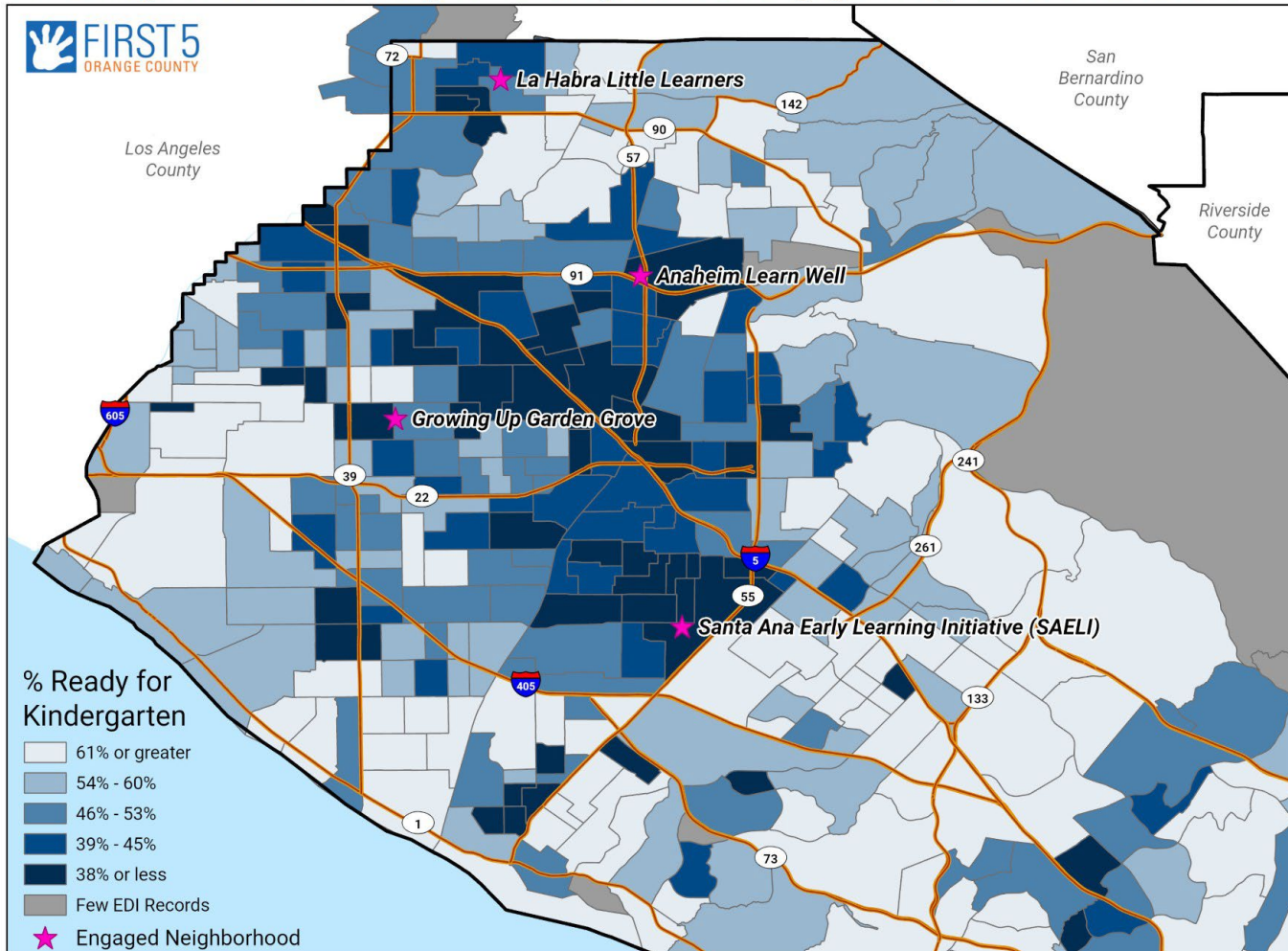


Selecting the Engaged Neighborhoods

- **Data analysis by geographic area**
 - Early Development Index, California Strong Start Index, Family Financial Stability Index, Healthy Places Index, Child Abuse and Neglect Reports
- **Community readiness/interest**
 - Existing efforts and/or interest based on focus groups

Where are the Engaged Neighborhoods?

Percentage of Students Ready for Kindergarten and Engaged Neighborhood Locations





The Focus of the Engaged Neighborhoods

THEORY OF CHANGE



- Each Engaged Neighborhood has identified unique areas of focus and created their Impact Plans

Year 1 Accomplishments & Year 2 Focus

Santa Ana Early Learning Initiative (SAELI) Accomplishments

- 1,300+ families engaged (400% growth from last year)
- Families involved in decision making for projects
- Conducted needs assessment survey with 300+ families
- Collaborated with UCI in the Playful Learning Landscape Project





SAELI's Current Focus

- Incorporating Community Health Workers into their work
- Pursuing the Adopt-A-Lot resolution with the City of Santa Ana
- Continuing collaboration with UCI School of Education on community projects

Highlight from SAELI

The most exciting thing that happened this year is the successful implementation of the Spark Projects where families use EDI data to identify key areas of focus for their projects. Seeing the community come together to shape these initiatives and watching the positive impact on our youngest members was incredibly rewarding.

– *Wendy Gomez, program director*



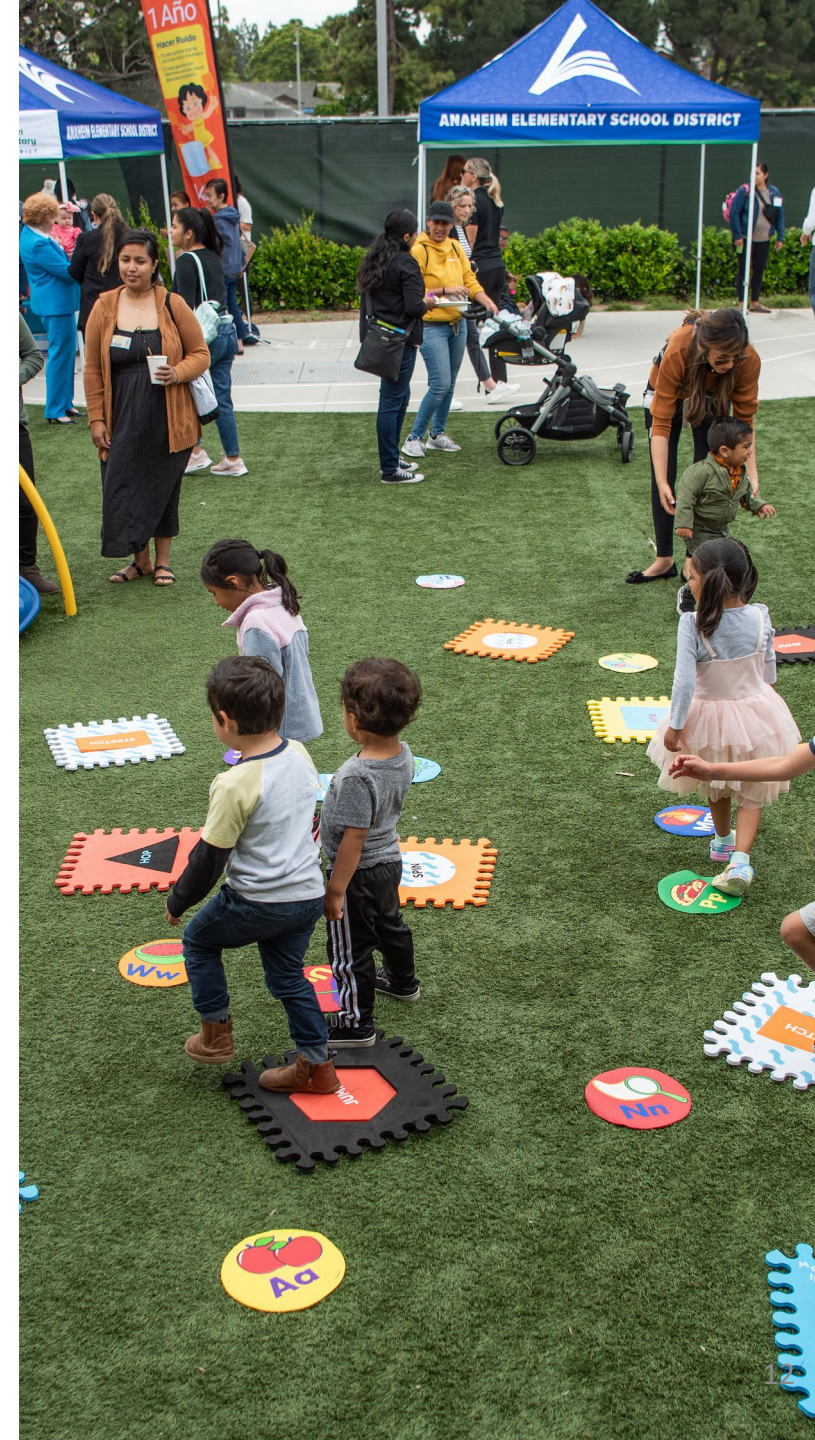
Anaheim Learn Well Accomplishments

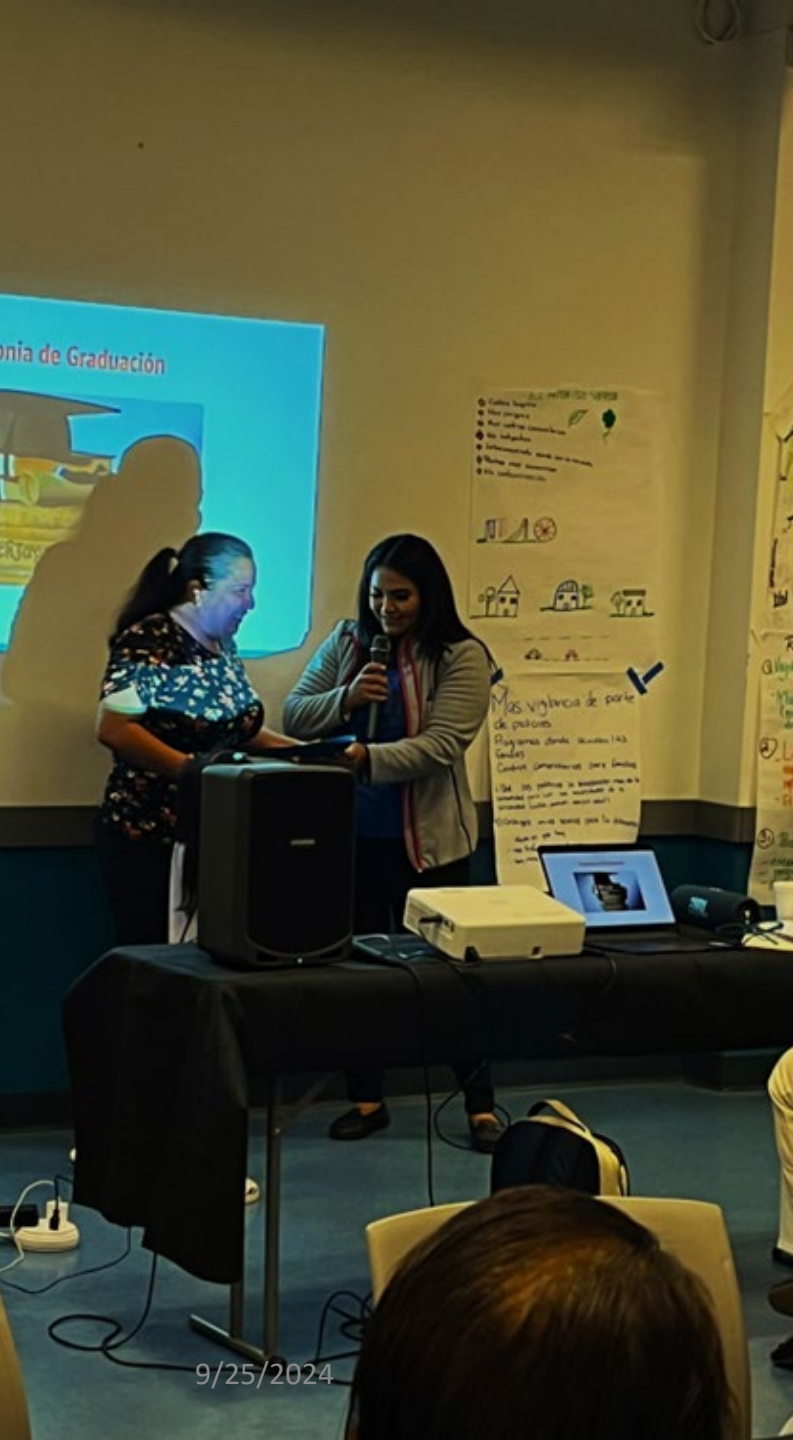


- 22 parents trained as leaders and presented their ideas for community projects to Anaheim officials to garner support for their collaborative
- Leaders spoke at networking meetings and are embedded in Network Anaheim subcommittees that touch on early childhood
- Conducted needs assessment survey among families and supported Anaheim Elementary School District to complete Childrens Experiences Questionnaire (CHEQ) pilot

Current Focus of Anaheim Learn Well

- Strengthening social networks
 - Madres Activadas de Miraloma
- Working on community projects
 - Community Garden, Park Audit, Block Party
- Creating a menu of educational activities and services based on surveys





Highlight from Anaheim Learn Well

The most exciting thing that happened in my Engaged Neighborhood is watching the resident leaders grow their confidence and cultivate their agency.

– Leah Dueñas-Torres, Project Manager Anaheim Learn Well-

La Habra Little Learners Accomplishments

- Incorporating Kid Builders into weekly activities
- 20+ outreach events to engage La Habra families
- The most active parents are becoming Community Champions



La Habra Little Learners Current Focus



- Continue to grow and expand their focus to seven neighborhoods in La Habra, based on EDI
- Focus on integrating parents and engaging them more deeply into activities
- Incorporating a Mobile Market in their Readiness On The Road activities throughout the city



Highlight from La Habra Little Learners

My dream for this Engaged Neighborhood is that our community champions create, plan and host their own community events at EVERY park in their own neighborhood.

– Scott Miller, La Habra Collaborative Executive Director

Growing Up Garden Grove Accomplishments

- 13 family workshops and activities that brought 80+ families who want to stay connected (10% growth from last year)
- Hosted pop-up events to showcase a new park, expand the use of Kid Builders, and to connect families with providers
- Gathering momentum through activities that promote social connections among families and bringing in more partners



Growing Up Garden Grove

Current Focus

- Expanding to include the City of Stanton in the Taskforce, as well as growing family participation.
- Incorporating parent leaders into planning and executing pop-up events and other activities.
- Creating positive impact on EDI results by promoting participation in the childcare incubator program.





Highlight from Growing Up Garden Grove

My dream for this Engaged Neighborhood is for the families of Garden Grove to feel supported, know where to go for help if needed, and believe that Garden Grove is a great place to raise kids.

– Kelly Anderson, children's librarian
Garden Grove Main Library

Looking Ahead

Collective Reflection

- First 5 OC and Engaged Neighborhoods will co-design an evaluation of the initiative
- Each Engaged Neighborhood will review their own impact plans before planning for year 3
- Further connect First 5 initiatives with each Engaged Neighborhood.



Questions?



Agenda Item 3
October 2, 2024

DATE: September 12, 2024
TO: First 5 Orange County
FROM: Kimberly Goll, President/CEO

A handwritten signature in black ink that reads "Kimberly Goll".

ACTION: Receive Update on the First 5 Orange County Doula Stipend Program

SUMMARY:

The First 5 Orange County Doula Stipend program was created in partnership with CalOptima Health to address the financial constraints for community-based doulas to meet the requirements to become a Medi-Cal Provider. This item is an update on the program and outlines proposed next steps to support the Medi-Cal doula benefit.

DISCUSSION:

The California Department of Health Care Services (DHCS) launched a Medi-Cal benefit for doulas, allowing qualified doulas to enroll as Medi-Cal providers through the Provider Application and Validation for Enrollment (PAVE) portal. This online platform, managed by DHCS, is designed to streamline and expedite the enrollment process for healthcare service providers into the Medi-Cal program. However, the launch of this benefit revealed numerous barriers that prevented doulas from successfully enrolling in the PAVE portal, making it challenging for them to become Medi-Cal providers.

Among the barriers identified, financial support to cover costs such as fees for business licenses and required trainings (e.g., CPR and the Health Insurance Portability and Accountability Act) rose to the top. Following stakeholder discussions, and in partnership with CalOptima Health, the First 5 Orange County Doula Stipend program was launched in November 2023 to address this barrier.

We used various outreach strategies to promote the program and solicit applications, including communication to key stakeholders, continuous promotion on social media, outreach through First 5 OC eblasts, and sharing information at numerous collaboratives. Despite these efforts, we received a total of 17 applications, with only five doulas being enrolled in the program. Of the five enrolled participants, two of the program participants completed the program and are now Medi-Cal enrolled doulas. The remaining three are still in the program and are working to meet the requirements to become Medi-Cal provider doulas.

While we are proud of the work that has been accomplished, we want to acknowledge the primary challenges that have limited the success of this program. They are listed below along with the strategies we implemented to alleviate them.

Lengthy Processing Times for Business Licenses

Applicants are encouraged to start the business license application process well in advance of their PAVE application. They receive technical assistance in finding the appropriate city for their business license application and the necessary materials needed for submission.

PAVE Portal Approval Delays

Applicants are provided comprehensive guidelines and checklists to ensure they submit complete and accurate applications, reducing back-and-forth communication and re-submissions.

Slow Feedback on PAVE Application Errors from the DHCS

Applicants are provided links to training sessions, webinars, and detailed resource materials to assist with the enrollment process.

These obstacles continue to impact program uptake and doula engagement, resulting in lower-than-expected participation. First 5 OC staff is committed to continued support for community-based doulas in becoming Medi-Cal contracted providers and recommends maintaining the stipend program. Additionally, staff is working with the University of California, Irvine (UCI) to support the Black P.E.A.R.L.¹ doula training model and use our stipend program to help doulas trained under their model with the Medi-Cal provider enrollment process. The Black P.E.A.R.L. program will play a crucial role in supporting the Medi-Cal doula population by increasing the number of trained doulas in Orange County and our partnership will provide these doulas access to additional financial support.

With fewer than 11 doulas currently enrolled as Medi-Cal providers in Orange County and persistent maternal health disparities, there is still an ongoing critical need to increase the number of doulas in the county. First 5 OC staff will continue to track progress and provide regular updates to the First 5 OC board, ensuring that our efforts remain aligned with the goals of supporting the Medi-Cal doula population and enhancing maternal health outcomes in Orange County. We will work closely with the Black P.E.A.R.L. staff to identify how and where doula services can be integrated with other programmatic areas.

STRATEGIC PLAN & FISCAL SUMMARY:

The proposed action has been reviewed in relation to First 5 Orange County's Strategic Plan and is consistent with its goals.

PRIOR COMMISSION ACTIONS:

- August 2023 – Approval of Funding to Support a Doula Stipend Program

RECOMMENDED ACTION:

Receive update for Orange County Doula Stipend Program and next steps to support doulas in Orange County.

ATTACHMENTS:

None

CONTACT: Sara Brown and Anaiah Brown

¹ Black P.E.A.R.L. (Promoting Equity, Anti-Racism, and Love)



Agenda Item 4 October 2, 2024

DATE: August 27, 2024
TO: First 5 Orange County
FROM: Kimberly Goll, President/CEO
ACTION: Receive Report on Systems Change Efforts

A handwritten signature in black ink that reads "Kimberly Goll".

SUMMARY:

Each year, First 5 Orange County facilitates the Systems Change Questionnaire with funded partners and collaboratives in which we participate to help us understand how we and our partners are contributing to positive change within systems serving children and families. This agenda item reports on the findings from this year's Systems Change Questionnaire.

DISCUSSION:

First 5 Orange County has several ways in which we track and assess our work and how it aligns with, and helps to advance, our Strategic Plan. Annually, we review population level indicators that reflect how young children, families, and their communities are doing in key areas needed for children to thrive (included on the last pages of the Strategic Plan). We also provide regular progress reports on the Action Plans that outline our day-to-day programmatic work through bi-monthly agenda reports to the First 5 OC Board and our Annual Report to the Board and the public.

In addition to tracking population level data and programmatic progress, we are interested in understanding our impact on improving early childhood systems in the county. To do so, we developed the Systems Change Questionnaire, which covers key areas of systems work such as shared vision and goals; being responsive to parent and community voices and empowering them to be champions; sharing data to improve coordination, services, and service delivery; and leveraging funds for early childhood.

Several of the funded programs and collaboratives that we support complete this questionnaire once a year, allowing us to better understand how our joint work is helping to align and improve the systems that serve Orange County's youngest children.

The questionnaire asks partners and collaboratives to rate themselves on whether they have "not yet started," are "just getting started," are "making progress," or are "well on their way" towards a series of aspirational statements. The questionnaires for funded partners and collaboratives are provided as Attachment 1.

We began implementing the questionnaire with school districts and have phased in additional funded partners and collaboratives over time. When we first started working with the questionnaire, we anticipated that it could help us measure our progress towards system change – a "progress" measurement tool. We expected that we would be able to observe and track linear

progress from “just getting started” to “well on our way.” After working with the questionnaire for a few years, we have come to understand that it is more of a “process” tool.

When we facilitate the questionnaire with funded partners or collaboratives, it generates meaningful discussion about systems change and participants, staff, and consultants all see value in the discussion. It is a way to regularly ground the work in the vision of systems change and reflect on the activities of the partner or collaborative to celebrate impactful work and identify areas needing adjustment or refocus. However, the nature of both systems change and collaboratives result in non-linear findings. New partners may join which can change the results of the questionnaire. A partner or collaborative may become more sophisticated in their understanding of systems and decide to move their ranking backward instead of forward – recognizing they have more work to do than they initially thought. Consequently, when you compare the findings of the questionnaire from one year to the next, they may not show quantitative progress.

Below are highlights from the Systems Change Questionnaire results for 2023-24.

School Districts

We are in the fourth year of using the questionnaire with the school districts. There has been a positive shift in the number of school districts that are active participants within an initiative or collaborative focused on early childhood. The number of districts that are well on their way in this area more than tripled (from five to 17 districts), and no districts are indicating that they have not started work in this area. Additionally, more districts are well on their way to making financial investments in early childhood beyond First 5 OC funding, from six districts in 2020 to 18 districts in 2024. The number of districts well on their way in adopting a framework that prioritizes early childhood has increased from just two districts in 2020 to 14 districts in 2024.

Engaged Neighborhoods

After school districts, we rolled out the questionnaire with Engaged Neighborhoods, for which we have three years' worth of results. The Engaged Neighborhoods are a good example of non-linear movement in the questionnaire results. There was significant change in a few of the Engaged Neighborhoods in terms of personnel, backbone agency, and partners added to the collaboratives. Looking at the chart showing the results, you will notice that in Year 2, many of the Engaged Neighborhoods answered “well on our way” to several questions. In Year 3, there are many more responses in the “just getting started” or “making progress” categories, and fewer “well on our ways.” Rather than this being backwards movement, it shows the collaboratives are having robust discussion and being thoughtful in their responses, setting them up for continued work on key areas of systems work. This year we saw some forward movement in creative use of funding, data sharing, and including representation on the collaborative of relevant systems or sectors with a focus on families and community leaders. And, several Engaged Neighborhoods noted they have more work to do in seeking community voice, establishing common goals and objectives, and securing new funding.

Pretend City Education Committee

We have one year's data for the Pretend City Education Committee. On all measures of the Systems Change Questionnaire, they indicated that they were well on their way.

Collaboratives

We have been using the questionnaire with collaboratives for several years. We started with Detect & Connect OC, and have gradually phased in other collaboratives including the following:

- Child Care Task Force (Systems Collaborative)
- Home Visiting Collaborative
- Local Child Care Planning Council
- SEEDs for Thriving Families

The collective findings of these collaboratives for 2023-24 shows they are furthest along on having a common stated vision and goals, as well as providing the partners with the tools and materials they need to promote the shared vision. Also, they are making good progress in including relevant system representation on the collaborative. On the other hand, all five collaboratives were not started or just getting started on having shared progress measurement and data sharing agreements in place.

We will continue to work with our partners to drive awareness and discussion about our collective commitment to improve early childhood systems of care, and report to the Board regularly on system improvements as one component of First 5 OC's evaluation efforts.

STRATEGIC PLAN & FISCAL SUMMARY:

The recommended action presented in this staff report has been reviewed in relation to the Strategic Plan and is consistent with applicable goals. This agenda item does not include a funding request.

PRIOR COMMISSION ACTIONS:

- October 2023 – Received Report on Systems Change Efforts
- August 2022 – Received Update on Systems Change Efforts
- August 2021 – Received Report on Measuring Systems Change

RECOMMENDED ACTION:

Receive update on systems change efforts.

ATTACHMENT:

1. System Change Questionnaires

CONTACT: Lisa Burke



Systems Change Questionnaire (Program)

Introduction:

First 5 Orange County seeks to facilitate discussion with funded partners about how we can collectively create seamless systems of care for young children and families. Rather than providing direct services, systems change efforts strive to shift the conditions that are holding stubborn problems in place.

We believe four of the most effective strategies to drive systems change include: getting involved early, elevating equity, empowering champions, and aligning systems of care, and that making progress in these areas will greatly benefit Orange County's children and families.

Measuring progress on this work is more qualitative than quantitative. Systems work does not have the same type of measurement as traditional program analysis, which quantifies outputs or outcomes like the number of children served, or the number of children who are 100% immunized by the end of the program we are funding. We are asking our partners to complete the short Systems Change Questionnaire, attached, to better understand how our joint work is positively helping to shift systems of care, and to create actions to move toward more seamless systems. For those that have participated in a systems change questionnaire discussion in the past, you will notice there is one additional open-ended question at the end to share a system improvement or collaborative success story.

If you have any questions about this questionnaire, please feel free to reach out to your program lead or to Lisa Burke, First 5 Orange County, at (714) 277-5878 or lisa.burke@cfcoc.ocgov.com. We will share the results of the questionnaires back with you and provide TA support as desired. Thank you for your continued support.

Systems Change Questionnaire (Program)

Organization Name: _____ Program Name: _____

F5OC Contract #: _____ Date Questionnaire Completed: _____

Contact Person: _____ Contact Email: _____

Please review the aspirational statements below and indicate to what extent your organization has reached each of these levels. Use the Comments column to provide details that support progress made on each statement.

Desired System Change	Not Started	Just Getting Started	Making Progress	Well on our Way	Comments
Get Involved Early					
1. Our organization has made a commitment to early childhood:					
1a. Our organization is an active participant within a taskforce, initiative or collaborative focused on early childhood	<input type="checkbox"/> Our organization is not yet part of an early childhood group	<input type="checkbox"/> Our organization has identified a group and are in process of becoming members or are in process of creating a group	<input type="checkbox"/> Our organization attends meetings with a group regularly	<input type="checkbox"/> Our organization is engaged in active and strategic work within an early childhood group (e.g., our organization shares data or work towards shared measures, or contributes to the work product within a strategic initiative of the group)	
1b. Our organization has adopted guidelines that prioritize positive early childhood outcomes, which are used during development of organizational goals, policies, programming, and communications. An example of such guidelines is the Early Childhood Policy Framework (https://earlychildhoodoc.org/)	<input type="checkbox"/> Our organization has not yet adopted guidelines that prioritize early childhood	<input type="checkbox"/> Our organization has identified potential guidelines that prioritize early childhood	<input type="checkbox"/> Our organization has adopted guidelines that prioritize early childhood	<input type="checkbox"/> Our organization is actively applying guidelines that prioritize early childhood	

Desired System Change	Not Started	Just Getting Started	Making Progress	Well on our Way	Comments
Getting Involved Early (cont.)					
1c. Our organization makes financial investments in early childhood beyond First 5 OC funding	<input type="checkbox"/> Our organization does not yet make financial investments in early childhood	<input type="checkbox"/> Our organization has started meeting to identify potential investment strategies to sustain or enhance early childhood quality services	<input type="checkbox"/> Our organization has implemented an investment strategy to sustain or enhance early childhood quality services	<input type="checkbox"/> Our organization is implementing more than one investment strategy to sustain or enhance early childhood quality services	
1d. Our organization initiates innovative and best practices to address emergent needs and gaps in the county's early childhood system of care	<input type="checkbox"/> Our organization maintains standard service practices to address a local need, but is not currently growing or advancing those practices	<input type="checkbox"/> Our organization stays current on national trends, promising practices, and emerging local needs by engaging with early childhood research data, professional organizations, and stakeholders	<input type="checkbox"/> Our organization is pioneering at least one innovative or best practice to address an unmet need	<input type="checkbox"/> Our organization regularly implements and tests program quality improvements and/or new service models and tools to address unmet needs and produce better early childhood outcomes	

Desired System Change	Not Started	Just Getting Started	Making Progress	Well on our Way	Comments
Elevating Equity by Engaging Families					
2. Our organization seeks, incorporates and is responsive to voices of community residents who have children ages 5 and younger	<input type="checkbox"/> Our organization does not yet solicit feedback from residents	<input type="checkbox"/> Our organization seeks feedback from residents on their needs	<input type="checkbox"/> Our organization has begun incorporating feedback from residents into work	<input type="checkbox"/> Our organization is a partner with our residents	
3. Our organization provides families and residents (with children ages 5 and younger) with resources and/or support for becoming advocates and agents of change in their community	<input type="checkbox"/> Our organization does not yet support families in advocating for their community	<input type="checkbox"/> Our organization works with families to help them understand their communities' early childhood health, developmental and early learning systems	<input type="checkbox"/> Our organization supports families in identifying and prioritizing community needs related to early childhood	<input type="checkbox"/> Our organization has developed formal protocols to help families advocate for identified community needs related to early childhood	

Can you share one system improvement or a success that occurred because of this collaboration?



Systems Change Questionnaire (Collaborative)

Introduction:

First 5 Orange County seeks to facilitate discussion with partners about how we can collectively create seamless systems of care for young children and families. Rather than providing direct services, systems change efforts strive to shift the conditions that are holding stubborn problems in place.

We believe four of the most effective strategies to drive systems change include: getting involved early, elevating equity, empowering champions, and aligning systems of care, and that making progress in these areas will greatly benefit Orange County's children and families.

Measuring progress on this work is more qualitative than quantitative. Systems work does not have the same type of measurement as traditional program analysis, which quantifies outputs or outcomes like the number of children served, or the number of children who are 100% immunized by the end of the program we are funding. We are asking our collaborative partners to complete the short Systems Change Questionnaire, attached, to better understand how our joint work is positively helping to shift systems of care, and to create actions to move toward more seamless systems. For those that have participated in a systems change questionnaire discussion in the past, you will notice there is one additional open-ended question at the end to share a system improvement or collaborative success story.

If you have any questions about this questionnaire, please feel free to reach out to Lisa Burke, First 5 Orange County, at (714) 277-5878 or lisa.burke@cfcoc.ocgov.com. We will share the results of the questionnaires back with you and provide TA support as desired. Thank you for your continued support.

Systems Change Questionnaire (Collaborative)

Name of Collaborative: _____ Date Questionnaire Completed: _____

Contact person: _____ Contact Email: _____

Please review the aspirational statements below and indicate to what extent your organization has reached each of these levels. Use the Comments column to provide details that support progress made on each statement.

Desired System Change	Not Started	Just Getting Started	Making Progress	Well on our Way	Comments
Elevating Equity by Engaging Families					
1. Our collaborative seeks, incorporates and is responsive to voices of community residents who represent the needs of families raising young children	<input type="checkbox"/> Families are not yet active participants in our collaborative	<input type="checkbox"/> We have <u>identified</u> strategies for families to participate in our collaborative (e.g., time of meetings, language/translation, support for families)	<input type="checkbox"/> We have <u>implemented</u> strategies for families to participate in our collaborative	<input type="checkbox"/> Families are part of the collaborative's decision-making process	Note for future: evolve language to be more strengths-based, prevention focused? Caution to not get so broad that it doesn't relate to the collaborative
Aligning Systems of Care					
2. In general, our collaborative works together to create a seamless system of supports for families by having...					
2a. A common, stated vision and/or mission	<input type="checkbox"/> Our collaborative does not have a common, stated vision and / or mission	<input type="checkbox"/> Our collaborative has begun developing a common, stated vision and / or mission	<input type="checkbox"/> Our collaborative has ratified a common, stated vision and / or mission	<input type="checkbox"/> Our collaborative is actively promoting our common, stated vision and / or mission	
2b. Common, stated goals and/or objectives	<input type="checkbox"/> Our collaborative does not have common, stated goals and/or objectives	<input type="checkbox"/> Our collaborative has begun developing common, stated goals and/or objectives	<input type="checkbox"/> Our collaborative has ratified common, stated goals and/or objectives	<input type="checkbox"/> Our collaborative is implementing activities to achieve our stated goals and/or objectives	
2c. Shared measurement regarding progress towards common goals and vision	<input type="checkbox"/> Our collaborative does not have shared measurement towards	<input type="checkbox"/> Our collaborative has developed shared measurement metrics and tools	<input type="checkbox"/> Our collaborative is collecting and/or evaluating data to support shared	<input type="checkbox"/> Our collaborative is using our shared measurement data and results to drive our work	

Desired System Change	Not Started	Just Getting Started	Making Progress	Well on our Way	Comments
	common goals and vision		measurement metrics and tools	going forward (e.g., identifying strategies to strengthen our impact)	
2d. Data sharing is in place to improve coordination of services among programs	<input type="checkbox"/> No data sharing has occurred	<input type="checkbox"/> Partners have begun to share data with the group to advance our shared work and identify data gaps and/or barriers	<input type="checkbox"/> Tools or processes have been identified/ developed to share data to address gaps and barriers	<input type="checkbox"/> New data sharing tools and processes are being consistently and systematically implemented	
3. Our collaborative is leveraging funding for early childhood					
3a. A commitment to family well-being is demonstrated by the joint, efficient, creative use of <u>existing</u> funding or leveraging of currently funded programs	<input type="checkbox"/> Organizations are not working together to leverage funding/funded programs to support families	<input type="checkbox"/> Organizations have met and are exploring ways of leveraging funding/ funded programs to support families	<input type="checkbox"/> Organizations have developed a plan to leverage funding streams or funded programs to support families	<input type="checkbox"/> Existing funds/funded programs are leveraged to support families	
3b. There is commitment to advancing early childhood demonstrated by joint efforts to secure <u>new</u> funding	<input type="checkbox"/> Organizations are not working together to identify new funding for early childhood	<input type="checkbox"/> Organizations have met and are exploring new funding streams to support early childhood	<input type="checkbox"/> Organizations have developed a plan to secure new funding streams to support early childhood	<input type="checkbox"/> New funds are secured to support early childhood policies and programs	
Empowering Champions					
4. In general, our collaborative is making a commitment to early childhood and this collaborative's shared vision by...					
4a. Including representation of relevant systems/sectors with a focus on families and community leaders	<input type="checkbox"/> We have not thought about or identified pertinent sectors	<input type="checkbox"/> We have identified pertinent sectors that are affected and needed for this work	<input type="checkbox"/> We have invited organizations and have a plan to engage	<input type="checkbox"/> Pertinent organizations are at the table and are engaged, and relevant systems are regularly reviewed and invited	

Desired System Change	Not Started	Just Getting Started	Making Progress	Well on our Way	Comments
4b. Providing collaborative partners with the tools/materials they need to promote our shared vision	<input type="checkbox"/> Have not yet identified opportunities to promote our shared vision	<input type="checkbox"/> We have identified opportunities and tools needed to promote our shared vision	<input type="checkbox"/> We have co-created or are co-creating tools needed to promote our shared vision	<input type="checkbox"/> We are using the tools and advancing our shared vision in the community	Example of tools (talking points, social media posts, op-ed, PPT presentation, infographic, etc.)
Getting Involved Early					
5. Families are connected to resources as early as possible	<input type="checkbox"/> Our collaborative has not yet begun to identify strategies to connect families to resources as early as possible	<input type="checkbox"/> Our collaborative has begun to identify strategies to connect families to resources as early as possible	<input type="checkbox"/> Our collaborative has identified strategies to connect families to resources as early as possible	<input type="checkbox"/> Our collaborative is implementing strategies to connect families to resources as early as possible	Potentially, some groups like ECMH, could help us here – as them – what is the systems question(s) that would be good to be talking about and tracking over time

Can you share one system improvement or a success that occurred because of this collaboration?

Questions for individual organizations that are members of the collaborative:


<p>Our organization is an active participant in this collaborative</p>	<p><input type="checkbox"/></p> <p>Our organization is committed to staying connected and aware of the work of the collaborative</p>	<p><input type="checkbox"/></p> <p>Our organization an active participant in this collaborative, with consistent participation in meetings, etc.</p>	<p><input type="checkbox"/></p> <p>Our involvement in this collaborative has informed our internal thinking and priorities around early childhood</p>	<p><input type="checkbox"/></p> <p>Our organization is engaged in active and strategic work focused on our shared vision (e.g., our organization shares data or work towards shared measures, or contributes to the work product within a strategic initiative of the group)</p>	<p>Use the polling tool in Zoom or other platform and get percentages for the response.</p>
<p>Our organization uses its voice and initiatives to advance the collaborative’s shared vision</p>	<p><input type="checkbox"/></p> <p>We have not yet shared externally that we are part of this collaborative and support the shared vision</p>	<p><input type="checkbox"/></p> <p>We elevate our work through this collaborative in our initiatives and/or external messaging</p>	<p><input type="checkbox"/></p> <p>We have committed to leveraging our influence and being an active champion externally for our shared vision</p>	<p><input type="checkbox"/></p> <p>We use our influence to advance the shared vision of this collaborative (e.g., messaging/op-ed; practice change within our organization)</p>	

Sectors:

- Business
- Child Care
- Civic Organization
- Community Service Organization
- Community Initiative
- Education
- Faith-based Organization
- Funder
- Health
- Justice System
- Media
- Political Organization
- Recreational Club/Organization
- Social Service Organization



Agenda Item 5 October 2, 2024

DATE: September 19, 2024
TO: First 5 Orange County
FROM: Kimberly Goll, President/CEO 
ACTION: Receive Update on First 5 Orange County Guiding Principles

SUMMARY:

During the first half of calendar year 2024, First 5 OC staff began working on a set of guiding principles. This agenda item reviews the process for developing the guiding principles and an overview of how they will be used to guide our day-to-day work.

DISCUSSION:

In early 2024, staff nominated a subset of their co-workers to be part of a core team to create the agency's guiding principles. This work was done in consultation with Tracy Benelli, an organizational development expert. Guiding principles are more than values – they are actionable behaviors that will become everyday culture. They also create standards of how staff will work together.

The core team gathered input from their co-workers and spent several months refining ideas to create the initial draft Guiding Principles document. This draft was shared with First 5 OC's leadership team for feedback, and a final review of the proposed principles was completed by all staff. In addition to the multiple rounds of staff input, the Guiding Principles were grounded in the Equity Accountability Plan.

The Guiding Principles are anchored in three words with customized definitions.

- **Accountability:** We commit to being present for each other, our partners and our community, no matter the place or situation. We set clear expectations, check for understanding, and follow through. We accept responsibility, learn from our risks, and move forward.
- **Communication:** We assume positive intent in all our interactions and in the way we communicate. We seek to understand before being understood by engaging in active two-way conversation. We commit to providing and receiving feedback and information in an authentic, clear, and direct manner with grace.
- **Trust:** We believe trust is the foundation to successful, healthy, and productive working relationships. We build trust through consistency in our actions, clear communication, promptly addressing conflict, and valuing each other.

The complete Guiding Principles document is provided as Attachment 1, which includes the definitions above as well examples of associated behaviors for each of these concepts.

STRATEGIC PLAN & FISCAL SUMMARY:

The recommended action presented in this item has been reviewed in relation to First 5 OC's Strategic Plan and is consistent with applicable goals. There is no funding action proposed for this item.

PRIOR COMMISSION ACTIONS:

N/A

RECOMMENDED ACTION:

Receive update on First 5 Orange County Guiding Principles.

ATTACHMENT:

1. Guiding Principles

CONTACT:

Kim Goll

Everything begins with how we ACT Accountability, Communication, and Trust

Accountability. We commit to being present for each other, our partners and our community, no matter the place or situation. We set clear expectations, check for understanding, and follow through. We accept responsibility, learn from our risks, and move forward.

What Accountability Looks Like in ACTion:

- We nurture a collaborative environment where everyone contributes their unique skills and expertise.
- We recognize and uplift community voice.
- We will develop meeting norms and leverage meeting charters to set expectations for content and interactions at meetings.
- We take responsibility for our decisions, and intentionally assess outcomes.

Communication. We assume positive intent in all our interactions and in the way we communicate. We seek to understand before being understood by engaging in active two-way conversation. We commit to providing and receiving feedback and information in an authentic, clear, and direct manner with grace.

What Communication Looks Like in ACTion:

- We support a culture of curiosity to deepen cross team understanding and encourage a diversity of perspectives to strengthen outcomes.
- We check in with each other, seizing the opportunity to understand and collaborate.
- We use two-way communication that responds and reflects on what is being said. When approaching conflict, we focus on facts, have empathy for others' perspectives, build on areas of agreement, and commit to a final decision.
- We use our collective privilege as an organization to listen, represent, include and amplify community voice.

Trust. We believe trust is the foundation to successful, healthy, and productive working relationships. We build trust through consistency in our actions, clear communication, promptly addressing conflicts, and valuing each other.

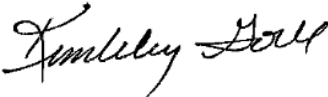
What Trust Looks Like in ACTion:

- We act with courtesy, goodwill and respect; we are honest and ethical always attempting to do the right thing.
- We commit to continued dialogue, discussion, and reflection until a resolution, or next steps are reached.
- We communicate directly with each other while honoring unique perspectives, lived experiences, and competencies.





**Agenda Item 6
October 2, 2024**

DATE: August 30, 2024
TO: First 5 Orange County
FROM: Kimberly Goll, President/CEO 
ACTION: Conduct Public Hearing to Receive and Authorize Certification of the Fiscal Year 2023-2024 Annual Report

SUMMARY:

First 5 Orange County is required to conduct a public hearing to approve the annual program report and audit that is then submitted to First 5 California and the State Controller's Office. This item includes the annual program report and an update regarding the independent audit conducted by Eide Bailly LLP.

DISCUSSION:

The California Children and Families Act of 1998 (Health and Safety Code Sections 130140 & 130150) requires that each county commission complete an annual audit and program report of the preceding fiscal year, conduct a public hearing on the audit and program reports, and submit both to the First 5 California Commission, and the audit report to the State Controller's Office on or before November 1st of each year. The annual program report has been completed and is submitted for the public hearing.

First 5 California annually requests each county commission's Executive Director to certify compliance with Health and Safety Code Section 130140 (Fiscal Memo 10-01) prior to July 1 to remain eligible to receive Proposition 10 tobacco tax revenues. This certification was submitted to First 5 California in June 2023 prior to the prescribed deadline. First 5 California also requests that each county commission certify that Commission funds have been used only to supplement, not supplant, existing program funding for grantees. Authorization is requested for the President/CEO to provide the required statement that First 5 Orange County did not use its funding to supplant existing program funds.

Fiscal Year 2023-2024 State Annual Report

County commissions are required to report specific information to First 5 California including their fiscal revenues and expenditures and the population served. Counties report data annually and these data are aggregated to the State level. This compilation of county work helps to show impact and effectiveness across all First 5s throughout the state. First 5 Orange County's annual program report to the state is provided as Attachment 1.

In addition to the specific information submitted to the state, we prepare our own summary annual report that highlights major accomplishments, the numbers of children and family members served, and the systems, equity, and family engagement efforts that are foundational to all of First 5's work. Beginning with Fiscal Year 2021-2022, we transitioned First 5 Orange County's annual report to an online version featured on our website. This year, we continue the approach of

creating a digital report. The website has been updated with the new annual report information that will go live after the October meeting.

On the webpage, you will see highlights from programs we have been focusing on throughout the year including HealthySteps, Kid Builders, home visiting, Community Health Workers, and child care, along with our systems work, community engagement and outreach efforts, and relationship building and government affairs. In addition to highlighting systems and community engagement work, the annual summary includes results from the direct services we fund. In total this fiscal year, we supported 44,679 young children and 52,913 parents/caregivers. Another major focus this year has been our efforts to seek and secure non-tobacco tax revenues. The annual report notes these successes which include \$2,000,000 from CalOptima Health for expansion of HealthySteps; \$8,000,000 and \$1,800,000 from OC Health Care Agency for CalWORKs Home Visitation and Black Infant Health, respectively, and \$2,900,000 from University of California, Irvine, for the Black Pearls program.

After today's meeting, we will send out an eblast sharing the updated report with our partners and include it in our social calendar.

Annual Comprehensive Financial Report (ACFR)

Due to circumstances outside of the control of Commission staff and auditors, we have submitted an extension to file the annual financial statements to both the California State Controller's Office and First 5 California.

As a participant in the County of Orange Retiree Medical Plan, First 5 Orange County is required to comply with Government Accounting Standards Board Statement No. 75, Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions for the fiscal year ending June 30, 2024. To fully comply with all requirements of the accounting standard, a detailed actuarial report of the County Plan must be completed by an actuary and audited by an independent auditing firm. The County Plan has provided the actuarial report and is in the process of having it audited.

First 5 Orange County's financial statements cannot be finalized in time to meet the filing deadline for the October meeting. The Comprehensive Annual Financial Report will be finalized and presented at the December First 5 OC Board meeting to meet the extended deadline of December 9, 2024.

STRATEGIC PLAN & FISCAL SUMMARY:

The reports and recommended actions presented in this report have been reviewed in relation to First 5 OC's Strategic Plan and are consistent with applicable goals. There is no funding action proposed for this item.

PRIOR COMMISSION ACTIONS:

- April 2024 – Conducted Public Hearing Receiving First 5 California 2022-2023 Annual Report and the California State Controller's Results of Audit Oversight of County Commission
- October 2023 – Conducted Public Hearing on Fiscal Year 2022-2023 Annual Report and Approved Submittal to First 5 California
- April 2023 – Conducted Public Hearing Receiving First 5 California's Fiscal Year 2021-2022 Annual Report
- October 2022 – Conducted Public Hearing on Fiscal Year 2021-2022 Annual Report and Approved Submittal to First 5 California

RECOMMENDED ACTIONS:

1. Conduct Public Hearing.
2. Authorize the Executive Director to execute required certifications (Attachment 1) to the First 5 California Commission and to incorporate revisions to the annual data summary as needed to the Annual Program Report for Fiscal Year 2023-2024 prior to submittal.

ATTACHMENT:

1. First 5 Annual Program Report: July 1, 2023 – June 30, 2024, Forms AR-1, AR-2, and AR-3

CONTACT:

Michael Garcell and Lisa Burke



Annual Report AR-1
Orange Revenue and Expenditure Summary
July 1, 2023 - June 30, 2024

Revenue Detail

Category	Amount
Tobacco Tax Funds	\$16,768,863
IMPACT Legacy	\$962,240
Small Population County Augmentation Funds	\$0
Home Visiting Coordination Funds	\$380,795
Refugee Family Support Funds	\$0
Other First 5 California Funds	\$199,753
Other First 5 California Funds Description SMIF	
Other Public Funds	\$0
Other Public Funds Description	
Donations	\$0
Revenue From Interest Earned	\$3,237,535
Grants	\$0
Grants Description	
Other Funds	\$4,386,243
Other Funds CalWORKS Home Visiting, CalOptima Healthy Steps Expansion, DHCS IGT. CalOptima Community Health Workers, Conditions of Children Report	
Total Revenue	\$25,935,429

Improved Family Functioning

Service	Grantee	Program(s)	Children	Caregivers	Providers	Amount
General Family Support	CBO/Non-Profit	<ul style="list-style-type: none"> Not Applicable () 	467	583	8	\$469,168
Intensive Family Support	CBO/Non-Profit	<ul style="list-style-type: none"> Not Applicable () 	13	13	0	\$204,612
					Total	\$673,780

Improved Child Development

Service	Grantee	Program(s)	Children	Caregivers	Providers	Amount
Quality Early Learning and Care Supports	CBO/Non-Profit	<ul style="list-style-type: none"> Quality Counts California 	114	156	14	\$243,699
Quality Early Learning and Care Supports	County Office of Education/School District	<ul style="list-style-type: none"> Quality Counts California 	9631	11924	1782	\$6,531,645
Quality Early Learning and Care Supports	Higher Education	<ul style="list-style-type: none"> Quality Counts California 	72	68	4	\$33,333
					Total	\$6,808,677

Improved Child Health

Service	Grantee	Program(s)	Children	Caregivers	Providers	Unique Families	Amount
General Health Education and Promotion	CBO/Non-Profit	<ul style="list-style-type: none"> Not Applicable () 	7248	2991	10	0	\$473,991
General Health Education and Promotion	Hospital/Health Plan	<ul style="list-style-type: none"> Not Applicable () 	1859	1781	1215	0	\$1
Oral Health Education and Treatment	CBO/Non-Profit	<ul style="list-style-type: none"> Not Applicable () 	6393	13139	253	0	\$839,936
Perinatal and Early Childhood Home Visiting	CBO/Non-Profit	<ul style="list-style-type: none"> Local Model 	1943	2294	11	1943	\$1,690,318
Perinatal and Early Childhood Home Visiting	Hospital/Health Plan	<ul style="list-style-type: none"> Local Model 	8633	8606	512	8633	\$969,882
Perinatal and Early Childhood Home Visiting	County Health & Human Services	<ul style="list-style-type: none"> Nurse Family Partnership 	209	224	0	0	\$1,393,848
Prenatal and Infant/Toddler Pediatric Support	CBO/Non-Profit	<ul style="list-style-type: none"> Local Model 	1256	1594	4	1256	\$2,230,045
Prenatal and Infant/Toddler Pediatric Support	Higher Education	<ul style="list-style-type: none"> Local Model 	4423	4423	14	4423	\$241,162
Prenatal and Infant/Toddler Pediatric Support	Hospital/Health Plan	<ul style="list-style-type: none"> Local Model 	2418	5117	1355	2418	\$34,742
Total							\$7,873,925

Improved Systems Of Care

Service	Grantee	Program(s)	Amount
Systems Building	CBO/Non-Profit	<ul style="list-style-type: none"> • Not Applicable ()	\$594,649
Systems Building	Other Public	<ul style="list-style-type: none"> • Not Applicable ()	\$124,921
Systems Building	First 5 County Commission	<ul style="list-style-type: none"> • Not Applicable ()	\$2,258,380
Systems Building	Research/Consulting Firm	<ul style="list-style-type: none"> • Not Applicable ()	\$2,163,985
Total			\$5,141,935

Expenditure Details

Category	Amount
Program Expenditures	\$20,498,317
Administrative Expenditures	\$1,277,060
Evaluation Expenditures	\$570,211
Total Expenditures	\$22,345,588
Excess (Deficiency) Of Revenues Over (Under) Expenses	\$3,589,841

Other Financing Details

Category	Amount
Sale(s) of Capital Assets	\$0
Other A Capital Outlay of \$44,520 was recognized as an expenditure in 23/24 that netted against a \$44,520 Other Financing Source to recognize a seven-year storage space lease signed by the Commission during the fiscal year.	\$0
Total Other Financing Sources	\$0

Net Change in Fund Balance

Category	Amount
Fund Balance - Beginning	\$65,625,425
Fund Balance - Ending	\$69,215,266
Net Change In Fund Balance	\$3,589,841

Fiscal Year Fund Balance

Category	Amount
Nonspendable	\$772,144
Restricted	\$10,866,289
Committed	\$44,400,860
Assigned	\$13,175,973
Unassigned	\$0
Total Fund Balance	\$69,215,266

Expenditure Note

The Capital Outlay explained in the Other Financing Sources is not included in the Expenditure Details as a Program, Administrative, or Evaluation expenditure. The actual lease payments are included in the annual expenditures. The Capital Outlay and related Other Financing Sources are explained in the note and were reported following GASB 87. The Capital Outlay and Other Financing Source net against each other and have no impact on the final reported Fund Balance.



Annual Report AR-2
Orange Demographic Worksheet
July 1, 2023 - June 30, 2024

Population Served

Category	Number
Children – Ages Unknown (birth to 6th Birthday)	2,027
Providers	5,182
Children Less than 3 Years Old	22,484
Children from 3rd to 6th Birthday	20,168
Primary Caregivers	52,913
Total Population Served	102,774

Primary Languages Spoken in the Home

Category	Number of Children	Number of Primary Caregivers
Cantonese	402	234
Mandarin	698	592
Korean	64	66
Unknown	2,106	15,089
English	24,858	22,987
Spanish	14,752	12,119
Vietnamese	851	762
Other - Specify with text box Arabic, Farsi, Cambodian, Portuguese	948	1,064
Totals	44,679	52,913

Race/Ethnicity of Population Served

Category	Number of Children	Number of Primary Caregivers
Alaska Native/American Indian	256	250
Asian	4,376	4,105
Black/African-American	1,244	704
Hispanic/Latino	25,254	22,647
Native Hawaiian or Other Pacific Islander	156	178
White	7,831	7,480
Two or more races	1,581	1,276
Other – Specify with text box Filipino, MENA, Asian Indian, French, Pakistani	1,397	711
Unknown	2,584	15,562
Totals	44,679	52,913

Duplication Assessment

Category	Data
Degree of Duplication	15%
Confidence in Data	Moderately confident
Additional Details (Optional)	Within each grant, we present unduplicated counts. We are less confident across all grants as it is possible that some individuals were served by more than one First 5 OC funded program.



Annual Report AR-3

Orange County Evaluation Summary and Highlights

July 1, 2023 - June 30, 2024

County Evaluation Summary

Evaluation Activities Completed, Findings, and Policy Impact

No data entered for this section as of 9/19/2024 3:49:58 PM.

County Highlights

County Highlight

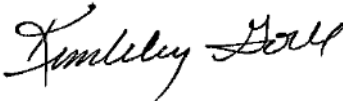
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Agenda Item 7 October 2, 2024

DATE: September 22, 2024

TO: First 5 Orange County

FROM: Kimberly Goll, President/CEO 

ACTION: Receive Update on Prenatal Strategy and Adopt Resolution Authorizing Agreements for Continued Implementation

SUMMARY:

In August 2023, the First 5 Orange County Board approved funding for the OC Prenatal Connect program. This agenda item requests authorization to amend agreements with three providers, MOMS Orange County, Padres Unidos, and Help Me Grow Orange County, for its continued implementation.

DISCUSSION:

In 2021, First 5 Orange County conducted a prenatal to three landscape analysis, which found there is a gap in services for approximately 10,000 families who would benefit from support and connection during pregnancy and after birth. Given the size of the gap, and the high cost of expanding home visiting services, staff explored other options for this target population with an early prevention strategy.

We focused our programmatic expansion efforts on the prenatal period because it represents the earliest prevention strategy. Additionally, there is a need in Orange County for prenatal services, as most existing supports were focused on the postnatal time frame. Supporting families prenatally allows for a proactive approach to address high risk needs, promote healthier birth outcomes, support optimal maternal mental health, prioritize prenatal care, and optimize birth experiences and postpartum recoveries.

The Board's action in August 2023 funded three services providers who co-designed a curriculum centered on themes such as breastfeeding, healthy relationships, child birth preparation, health needs, and concrete supports for families in the prenatal period. This work also included shared messaging and a prenatal outreach strategy targeting obstetrics and gynecology providers, community prenatal education providers, key community partners, along with the creation of collateral materials.

A summary of the program's accomplishments to date is provided below:

- 55+ prenatal resources have been added to the 211/Help Me Grow resource inventory.

- Help Me Grow experienced an increase in prenatal call volume indicating awareness of prenatal services.
- Nearly 65 unique prenatal families participated in the 6 to 8 week group series led by trained Community Health Workers.
- Prenatal classes were provided in English and Spanish in Santa Ana, Garden Grove, and Anaheim, as well as through a virtual option. Classes will be held at a new site in San Juan Capistrano beginning in late October.
- Eight partner staff members were trained as Community Health Workers through Orange Coast College's training program.
- Providence St. Joseph's OB providers were trained and given information about OC Prenatal Connect and prenatal resources available through 211/Help Me Grow resource inventory.
- Referrals pathways were established from the prenatal group series to Help Me Grow and vital support services based on the identified needs of participants.

OC Prenatal Connect experienced a longer-than-expected ramp up time and faced challenges with initial implementation including low response rates to the group series, staff turnover among partnering agencies, and difficulty reaching specific prenatal populations. Despite these challenges, both group series providers have received favorable family feedback about the value of prenatal social connections provided for mothers, fathers, and larger family units.

During the initial implementation phase, we learned valuable lessons regarding the importance of using incentives to maintain engagement, cultivating relationships with medical professionals who are affiliated with birthing hospitals, maintaining flexible scheduling options, and employing multi-touch outreach approaches for obstetric and prenatal providers. Based on these learnings, we are regrouping with funded partners to reflect on the learnings, address the challenges, and develop ways to more effectively utilize OC Prenatal Connect resources.

Next Steps

First 5 OC staff recommends authorizing funding for an additional 1.5 years, through June 2026 and modifying the partners' scopes of work to address initial learnings and improve intended outcomes. This will allow opportunities to build connections to other supports in the prenatal to three system, including with home visiting providers and the Engaged Neighborhoods. Additionally, this continued funding will allow for the creation of a Parent Champion Network (leveraging parents who previously participated in a prenatal group series as trusted messengers for outreach), incorporation of incentives to encourage participation, and revamping of outreach efforts.

Given our declining revenue, it is crucial to align these proposed services with expansion of the Community Health Worker (CHW) benefit to support sustainability. Once that alignment has occurred, it may be possible to scale these services to more communities in Orange County. First 5 OC is currently attending the CalOptima Health CHW Academy and is scheduled to begin serving as a CHW supervising provider and billing for services in early 2025.

STRATEGIC PLAN & FISCAL SUMMARY:

The recommended action has been reviewed in relation to the Strategic Plan and aligns with the Get Involved Early, Elevate Equity, and Align Systems of Care strategies. The funding amounts listed in the attached term sheet will be included in the corresponding fiscal year budget.

PRIOR COMMISSION ACTIONS:

- April 2024 – Authorize Receipt of Funds and Adopt Resolution Authorizing a Grant Agreement with CalOptima for participation in the Community Health Worker Learning Academy
- December 2023 – Receive Presentation on Orange County’s Prenatal-to-Three System of Care
- August 2023 – Adopt resolution authorizing agreements with MOMS, Padres Unidos and Help Me Grow Orange County to Expand Prenatal Services in Orange County
- April 2023 – Recommendations to Contract with Service Providers for Prenatal to Three Services

RECOMMENDED ACTION:

Adopt resolution (Attachment 2) authorizing President/CEO, or designee, and Commission Counsel to prepare and negotiate agreements, at the President/CEO sole discretion, with designated organizations to provide prenatal services for the terms, in the amounts, and on the conditions as specified in Attachment 1.

ATTACHMENTS:

1. Term sheet
2. Resolution

CONTACT: Yvette Nunez

Organization	Contract Number	Term: 1.5 yrs 01/02/2025-06/30/2026	Total Maximum Obligation	Scope of Work
MOMS Orange County	FCI-PN3-01	01/02/2024-06/30/2026	\$150,000	Provide prenatal prevention and intervention with lighter touch services. Provide 6 week prenatal group series in two targeted communities with anticipation of serving 150 families. Participate in Community Health Worker (CHW) billing, maintain CHW documentation, support with CHW workflow, connect families to Help Me Grow for expanded PN3 services process and administer pre and post prenatal group series surveys.
Padres Unidos	FCI-PN3-02	01/02/2024-06/30/2026	\$150,000	Provide prenatal prevention and intervention with lighter touch services. Provide 8 week prenatal group series with the intergration of Daddy Bootcamp in the three targeted communities with anticipation of serving 150 families. Participate in Community Health Worker (CHW) billing, maintain CHW documentation, support with CHW workflow, connect families to Help Me Grow for expanded PN3 services process and administer pre and post prenatal group series surveys.
Children's Hospital of Orange County (Help Me Grow Orange County)	FCI-PN3-03	01/02/2024-06/30/2026	\$95,000	Provide targeted physican outreach to OB/GYN clinics, WIC, and Federally Qualified Health Clinics implementing a strategic follow-up cadence. Increase number of outreach presentations to prenatal practices/providers per month. Track prenatal families, referrals to prenatal groups series connecting with MOMS and Padres Unidos and other prenatal and postnatal service providers to ensure effective triaging and referral linkage. Continue to additional prenatal services to the Help Me Grow resource inventory. Participate in Community Health Worker (CHW) billing, maintain CHW documentation, and support with CHW workflow and use of Bridges Connect system.
TOTAL			\$395,000	

CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY

RESOLUTION NO. ___-24-C&FC

October 2, 2024

A RESOLUTION OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AUTHORIZING THE PRESIDENT/CEO AND COMMISSION COUNSEL TO PREPARE AND NEGOTIATE AMENDMENTS TO AGREEMENTS, AT THE PRESIDENT/CEO'S SOLE DISCRETION, WITH DESIGNATED ORGANIZATIONS TO PROVIDE PRENATAL SERVICES; AND, AUTHORIZING APPROVAL AND EXECUTION OF SUCH AMENDMENTS ON BEHALF OF THE COMMISSION

WHEREAS, in order to facilitate the creation and implementation of an integrated, comprehensive, and collaborative system of information and services to enhance optimal early childhood development, the legislature adopted legislation set forth in the California Children and Families Act of 1998, Health and Safety Code Section 130100, *et seq.* (as amended, the "Act") implementing the Children and Families First Initiative passed by the California electorate in November, 1998 and establishing the California Children and Families Commission and County Children and Families Commissions, including this Children and Families Commission of Orange County ("Commission"); and

WHEREAS, Commission adopted its Strategic Plan to define how funds authorized under the Act and allocated to the Commission should best be used to meet the critical needs of Orange County's children prenatal to five years of age as codified in the Act; and

WHEREAS, the Commission previously entered into agreements for prenatal services (hereinafter collectively referred to as the "Agreements") with various organizations (hereinafter referred to as the "Contractors"), which are identified in the staff report and attachments for this October 2, 2024 Agenda Item (hereinafter referred to as the "Agenda Item").

WHEREAS, the Commission desires to amend the Agreements, at the President/CEO's sole discretion, with each of the Contractors for the terms, in the amounts, and on the conditions as described in the Agenda Item (hereinafter referred to as the "Amendments"); and

WHEREAS, each Contractor desires to amend its Agreement, if authorized by the President/CEO, in furtherance of the purposes of the Act and the Strategic Plan on the terms and conditions set forth in the Amendment; and

WHEREAS, Commission has reviewed the Agenda Item relating to the scopes of services to be provided and hereby finds and determines that the proposed Amendments are in furtherance of and consistent with the Commission's Strategic Plan; and

WHEREAS, Commission desires to authorize the Commission Chair and Commission Clerk to execute the Amendments to the Agreements with each of the Contractors for the terms, in the amounts, and on the conditions as specified in the Agenda Item.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMISSIONERS OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AS FOLLOWS:

Section 1 Commission finds and determines the foregoing Recitals are true and correct and are a substantive part of this Resolution.

Section 2 Commission authorizes the President/CEO, or designee, and Commission Counsel to prepare and negotiate Amendments to Agreements with each of the Contractors for the terms, in the amounts, and on the conditions consistent with the Agenda Item; and

Section 3 The form of any Amendments shall be substantially similar to the standard form agreements, subject to minor, non-substantive revisions, or as otherwise required to comply with funding sources, and will be reviewed and approved by the President/CEO, or designee, and Commission Counsel. The approval by the President/CEO, or designee, of the Agreements shall be conclusively evidenced by the execution of such Amendments by the Commission Chair and delivery thereof to the Commission Clerk.

Section 4 Commission hereby approves the Amendments, which will be authorized at the President/CEO's sole discretion, with each of the Contractors for the terms, in the amounts, and on the conditions as specified in the Agenda Item.

Section 5 The Commission Chair and the Clerk of the Commission are hereby authorized to execute and attest, respectively, the Amendments on behalf of the Commission.

Section 6 A copy of each final Amendment when executed by the Commission Chair and attested by the Clerk of the Commission shall be appended hereto as a part of Exhibit A to this Resolution. Exhibit A is hereby fully incorporated as a part of this Resolution by this reference and made a part hereof. Each final executed Amendment shall be placed on file in the office of the Clerk of the Commission.

Section 7 In addition to the authorization of Section 2 above, the President/CEO, or designee, is hereby authorized, on behalf of the Commission, (i) to sign all documents necessary and appropriate to carry out and implement the Agreement(s), including any Amendment(s); (ii) to cause the issuance of warrants; (iii) to administer the Commission's obligations, responsibilities, and duties to be performed under such agreement(s); and (iv) during the term thereof to provide waivers, administrative interpretations, and minor modifications of the provisions of such agreement(s) in the furtherance thereof.

Section 8 The Clerk of the Commission shall certify to the adoption of this Resolution.

The foregoing resolution was passed and adopted by the following vote of the Children and Families Commission of Orange County on October 2, 2024 to wit:

AYES Commissioners: _____

NOES: Commissioner(s): _____

EXCUSED: Commissioner(s): _____

ABSTAINED: Commissioner(s) _____

CHAIR

STATE OF CALIFORNIA)
)
COUNTY OF ORANGE)

I, ROBIN STIELER, Clerk of the Commission of Orange County, California, hereby certify that a copy of this document has been delivered to the Chair of the Commission and that the above and foregoing Resolution was duly and regularly adopted by the Children and Families Commission of Orange County.

IN WITNESS WHEREOF, I have hereto set my hand and seal.

ROBIN STIELER
Clerk of the Commission, Children and Families Commission of
Orange County, County of Orange, State of California

Resolution No: __-24-C&FC

Agenda Date: October 2, 2024

Item No. __



I certify that the foregoing is a true and correct copy of the Resolution adopted by the

Robin Stieler, Clerk of the Commission

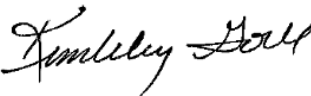
By: _____
Deputy

EXHIBIT A TO RESOLUTION OF COMMISSION

(Attach copy(ies) of final executed Amendments and Agreements)



Agenda Item 8 October 2, 2024

DATE: September 12, 2024
TO: First 5 Orange County
FROM: Kimberly Goll, President/CEO 
ACTION: Receive Update for Black P.E.A.R.L. Model Implementation

SUMMARY:

In June 2024, First 5 Orange County received authorization to enter into an agreement with The Regents of the University of California, Irvine to become a sub-contractor on a US Department of Health and Human Services (DHHS) grant award from the Office of Minority Health for the Black P.E.A.R.L. (Promoting Equity, Anti-Racism and Love) model. This agenda item provides an update on the model and outlines the next steps for implementation, focusing on community stakeholder engagement for integrating maternal support services within UCI Health and the Orange County community.

DISCUSSION:

The implementation of the Black P.E.A.R.L. model, as funded by the US DHHS, addresses maternal and infant health disparities affecting Black birthing persons in Orange County. Black birthing persons are less likely to receive early prenatal care and more likely to deliver prematurely and have low birth weight infants, as reported in the 29th Annual Report on the Conditions of Children in Orange County. The Black P.E.A.R.L. model seeks to build a more inclusive and effective maternal care system by integrating the use of doulas, who provide culturally responsive support throughout pregnancy, labor, and the postpartum period.

First 5 OC staff believes that the partnership with UCI for implementation of the DHHS grant is a valuable opportunity to accelerate and enhance both the Doula Stipend Program and the Black Infant Health Program, while also allowing us to strengthen our support within the Black maternal and infant health system in Orange County. DHHS has approved First 5 OC a sub-contractor and we are awaiting a formalized agreement with UCI Health.

In the interim, First 5 OC will use our existing resources for the following activities:

- **Update Kid Builders:** The Kid Builders platform will be updated to ensure materials are culturally responsive and engaging for Black families. Community listening sessions and outreach activities will be conducted to promote the updated resources and gather input from Black families on how these materials can best serve them.
- **Develop Marketing and Collateral Materials:** Marketing efforts will include photo shoots in cities with significant Black populations (e.g., Irvine, Anaheim, Santa Ana) to create culturally relevant materials. These images and materials will be utilized across First 5 OC platforms, reflecting the community's feedback on what resonates with them.
- **Hold Community Listening and Learning Sessions:** Three listening and learning sessions will be held to collect feedback from Black birthing persons, doulas, healthcare providers, and other stakeholders to identify service gaps and inform improvements. These sessions will

explore opportunities to better integrate community-based services, including collaboration with the Orange County Black Infant Health (BIH) program.

- **Conduct a Doula Landscape Analysis:** A comprehensive doula services analysis will be updated to assess existing doula training, identify challenges faced by local doulas, and review best practices for integrating doulas into health systems. This analysis will build on previous findings and provide recommendations for expanding doula availability and retention in Orange County.

Upon an executed contract with UCI Health, staff will begin launching competitive selection processes to contract with partners to assist with doula training, enhance community input efforts, and continue evaluating culturally responsive strategies to support Black birthing persons and their families.

STRATEGIC PLAN & FISCAL SUMMARY:

The proposed action has been reviewed in relation to First 5 Orange County's Strategic Plan and is consistent with its goals. Funding for this item is included in the Fiscal Year 2024-2025 Budget.

PRIOR COMMISSION ACTIONS:

- June 2024 – Adopt resolution to authorize the President/CEO, or designee, and Commission Counsel to execute an agreement with the Regents of the University of California, Irvine to accept up to \$740,000 annually or \$2,960,000 in total for the four-year agreement to support the implementation of the Black P.E.A.R.L. model.
- December 2023 – Authorize an Agreement with the OC Health Care Agency to accept funding to implement a Black Infant Health Program.

RECOMMENDED ACTION:

Receive update for or Black P.E.A.R.L Model Implementation

ATTACHMENTS:

None

CONTACT: Sara Brown and Anaiah Brown



Commissioners:
Jackie Filbeck, Chair
Soledad Rivera, Vice Chair, Ramin Baschshi, M.D
Doug Chaffee, Veronica Kelley Ph.D., Angie Rowe,
Irene Salazar, An Tran, Yvette Lavery
CEO/President: Kimberly Goll

**Agenda Item 9
October 2, 2024**

DATE: September 13, 2024
TO: First 5 Orange County
FROM: Kimberly Goll, President/CEO *Kimberly Goll*
ACTION: Adopt Resolutions Authorizing an Amendment to Agreement with Health Plus Studio and a New Agreement with Orange County Health Care Agency to Continue Early Childhood Mental Health Systems Planning Work

SUMMARY:

In July 2023, First 5 Orange County identified the need to increase coordination among Early Childhood Mental Health (ECMH) stakeholders in Orange County. Health Plus Studio was selected as the consultant to support this initial planning process. This item requests authorization to amend their agreement to extend the term and add funding.

DISCUSSION:

The goals of Health Plus Studio’s work are to develop a shared understanding of community needs, experiences, and desires for an Early Childhood Mental Health system; to co-create with providers and families a vision for a sustainable, achievable continuum of care; and to build relationships and support for ongoing collaboration in implementing and sustaining a continuum of care. Health Plus Studio began the work in January 2024. They conducted background research, including reviewing local data, interviewing community partners, and conducting a three-day site visit to engage with stakeholders. This work helped identify key assets, service gaps, and the existing infrastructure that supports early childhood mental health in Orange County. In addition, Health Plus Studio convened multiple meetings with local organizations to validate what they had been learning in their research. They also have also outreached to other regions that have conducted similar work to learn about best practices.

A summary of progress to date, which has been shared with stakeholders, is provided as Attachment 1. Key findings are shown in the table below, which includes a pairing of assets and opportunities across critical areas of focus.

Asset	Opportunity
Awareness: Mental health is a well-documented issue for the county, across all ages	Ensure appropriate emphasis and investment on young children
Alignment: Consensus in the need for greater attention to promotion and prevention	Develop a shared understanding of what promotion, prevention and early intervention means in practice

Providers: there are many organizations working to support mental health across sectors	Reduce disparities in access
Workforce: Strategies to address mental health workforce shortages have been outlined	Ensure efforts include the workforce needed to support early childhood mental health including non-clinical professionals
Data: significant effort and infrastructure established around data collection	Build trust in data so that partners can confidently engage in sensemaking; report on how data informs decision making
Funding: the county has been successful in drawing down state funds and has several engaged champions for early childhood mental health at the county level	Address distribution of funds to ensure equitable access
Collaboration: Recognition an experience with partnership and collaboration	Reduce redundancy and or set clear goals to avoid frustration with lack of progress and burnout
Multi-level infrastructure: Orange County public services are managed at multiple levels providing opportunity to meet the diverse geographical and population needs	Seek to engage city, regional, and county level leadership to better align efforts and goals
Family Engagement: Infrastructure for parent and family engagement exists and importance of engaging families is understood	Avoid taxing families by aligning engagement for collective learning and demonstrating how input is used

Next steps in the process include:

- **Documentation and Community Engagement (November-December 2024):** Compile insights from September and October community engagement to document the vision, assets, and gaps. Provide an update to the community through a webinar in December. Define the ongoing role and structure of the advisory committee.
- **Advisory Group Formation (Early 2025):** Form a multi-sector community advisory group to translate the vision into a plan and oversee implementation. Identify members for the Roadmap Oversight Committee and develop a meeting schedule and structure. Launch the committee and consider forming subcommittees to address key areas, such as funding.
- **Roadmap Development (Mid 2025):** Host learning sessions to support translating the vision into a roadmap and begin crafting the roadmap. Identify pathways for ongoing management and oversight of the initiatives. Provide community updates through webinars or other engagement channels.
- **Final Roadmap and Community Update (Late 2025):** Finalize the roadmap, including roles, responsibilities, and next steps. Share the completed roadmap and implementation plans with the community through a final update.

To complete the scope of work identified above, staff recommends that the First 5 OC Board authorize an amendment to the existing agreement with Health Plus Studio to add a year to the term and \$250,000 in funding bringing the total maximum payment obligation to \$415,000. Consistent with the first phase of this work, the County of Orange Health Care Agency (HCA) has agreed to contribute towards the cost of the project, and therefore staff recommends entering into a new agreement with HCA to support this work.

First 5 OC staff will continue to elevate the issue of early childhood mental health with our systems partners that have mission alignment and resources.

STRATEGIC PLAN & FISCAL SUMMARY:

The proposed actions have been reviewed in relation to First 5 Orange County's Strategic Plan and are consistent with its goals. Funding for this item will be included in the Fiscal Year 2024-2025 Amended Budget.

PRIOR COMMISSION ACTIONS:

- October 2023 – Adopt Resolution Authorizing Agreement with Health Plus Studio to Provide Support for Family and Early Childhood Mental Health Community Planning.

RECOMMENDED ACTIONS:

1. Adopt resolution (Attachment 2) authorizing the President/CEO, or designee, and Commission Counsel to prepare and negotiate an amendment to Agreement No. C-482 with Health Plus Studio for the period November 1, 2023 through December 31, 2025 for a new total maximum obligation of \$415,000.
2. Authorize receipt of funding and Adopt resolution (Attachment 3) authorizing the President and CEO, or designee, and Commission Counsel to prepare and negotiate an agreement with the Health Care Agency of Orange County to receive up to \$50,000 to support the Family Early Childhood Mental Health work.

ATTACHMENTS:

1. Community Update
2. Health Plus Studio Resolution
3. Orange County Health Care Agency Resolution

CONTACT: Anaiah Brown



Family, Infant, and Early Childhood Mental Health in Orange County

Community Update

August 29, 2024

Today's Goals & Agenda

- Update on process and learnings to date
- Seek input on family-centered journey for mental health
- Discuss next steps and opportunities for engagement





We Work In Service Of Making Health Possible For All

Health+ is a social impact agency.

We bring together expertise in public health and marketing to support partners that seek to improve the health and well-being of children, adolescents, families, and communities.

Our approach and team composition is nimble and project specific.

Initiative Purpose

Partners in Orange County seek to bring greater intention and alignment to the system of services and programs that support Family, Infant, and Early Childhood Mental Health (FIECMH)

- Prenatal to age 8
- Focus on promotion and prevention

Orange County systems and organizational leadership, service providers, and families are being engaged in a process to:

- Develop a shared understanding of community needs, experiences, and desires for FIECMH
- Co-create a vision for a sustainable, achievable continuum of care for FIECMH
- Begin to build relationships and support for ongoing collaboration in implementing and sustaining a continuum of care

Approach



Discovery

Relationship development

Build connection with partners on the ground

Problem definition

Review literature and data; interview key partners to understand the key challenge

Visioning

Focused engagement

Fill knowledge gaps with perspectives not currently reflected in engagement

Collective visioning

Collaborate to envision a seamless and sustainable continuum of care

Roadmap Development

Shared understanding

Learn together about community perspective, existing landscape, and promising models

Prioritize and plan

Develop a roadmap for building the vision

Implementation

Monitoring and adapting

Working together to ensure that the roadmap is implemented and progress is made

Discovery: Process to Date

Review existing
resources

Interviews with
OC partners

Interviews with
similar efforts in
other regions

Engagement with
collaboratives

Convening
systems advisory
group

Coordination
with aligned
projects

Site visits in
Orange County

Development of
working papers

Key Learnings to date: FIECMH in Orange County

Asset	Opportunity
Awareness: Mental health is well-documented issue for the County, across all ages.	Ensure appropriate emphasis and investment on young children.
Alignment: Consensus in the need for greater attention to promotion and prevention.	Develop a shared understanding of what “promotion & prevention” means in practice.
Providers: There are many organizations working to support mental health across sectors.	Reduce disparities in access.
Workforce: Strategies to address mental health workforce shortages have been outlined.	Ensure efforts include the workforce needed to support FIECMH, including child care workers and non-clinical professionals.
Data: Significant effort and infrastructure established around data collection	Build trust in data so that partners can confidently engage in sensemaking; report on how data informs decision making.

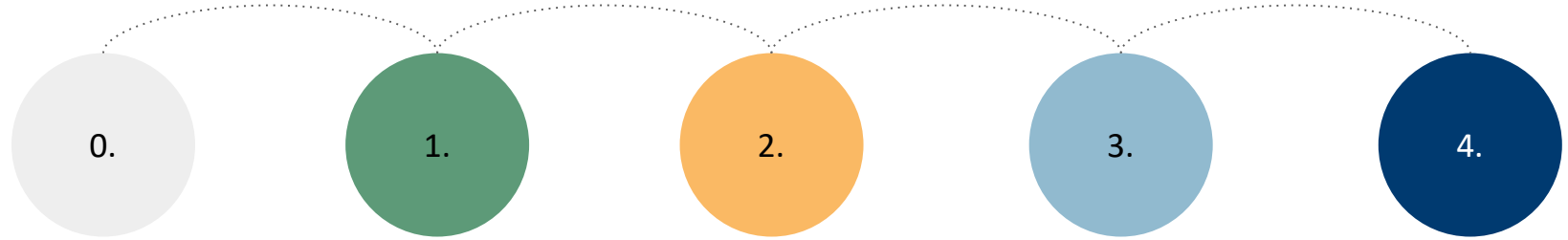
Key Learnings to date: FIECMH in Orange County

Asset	Opportunity
<p>Funding: The county has been successful in drawing down state funds and has several engaged champions for FIECMH at the county level.</p>	<p>Address distribution of funds to ensure equitable access.</p>
<p>Collaboration: Recognition and experience with partnership and collaboration.</p>	<p>Reduce redundancy and/or set clear goals to avoid frustration with lack of progress and burnout.</p>
<p>Multi-level infrastructure: Orange County public services are managed at multiple levels providing opportunity to meet the diverse geographical and population needs</p>	<p>Seek to engage city, regional, and county level leadership to better align efforts and goals.</p>
<p>Family engagement: Infrastructure for parent/family engagement exists and importance of engaging families is understood.</p>	<p>Avoid taxing families by aligning engagements for collective learning and demonstrating how input is used.</p>

What might a continuum of care look like from the family perspective?



Family-Centered Journey for Mental Health



0.
Providing for family
emotional well-being

Family experiences or seeks out conditions and strategies that promote and enhance emotional well-being, reduce or remove risks, and establish supportive environments for mental health.

1.
Identifying signal(s)
that more support
may be needed

Family or other caring individual identify or experience sign of distress in child or family through observation or screening.

2.
Learning more

Family learns more about the signal; may include formal assessment or diagnosis that helps define next steps.

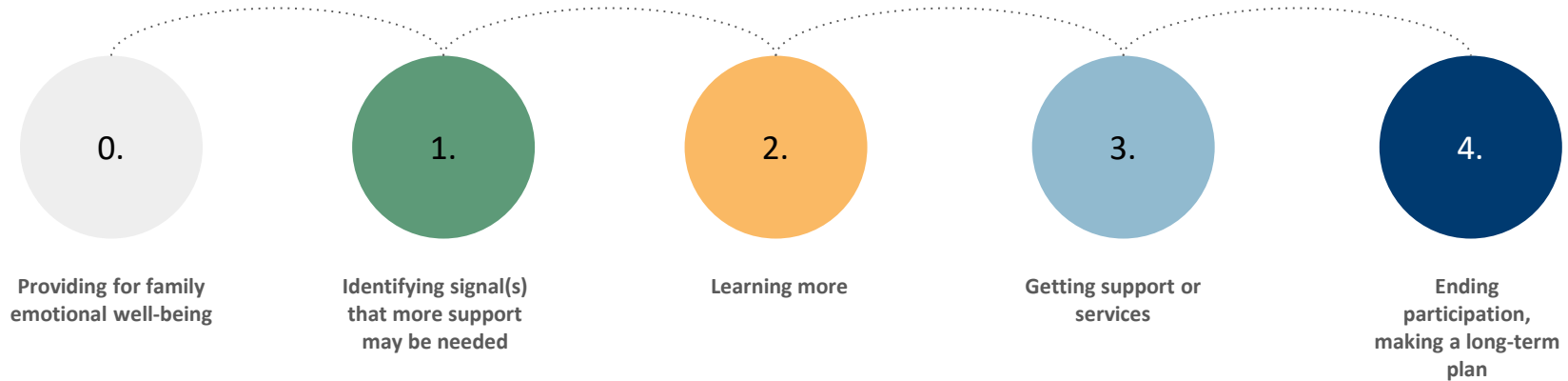
3.
Getting support or
services

Family finds age-appropriate supports for child and family to reduce symptoms and disability, enhance rehabilitation, and prevent relapses.

4.
Ending
participation,
making a long-term
plan

Supports wrap up when goals are accomplished or course of treatment is deemed complete. For some mental health conditions, treatment will be ongoing and adapt over time.

Family-Centered Journey for Mental Health – Mapping Resources



In each step of this journey, what resources, supports, and services do families have access to in Orange County?

What else would be helpful, that doesn't exist now?

What's Next – Focused Engagement

With families – September-October

Develop a vision for an environment and system of services that fosters mental wellness in visioning workshops

With CBOs – ongoing

Mapping of relied on services and supports, identifying assets and gaps – in partnership with CACHI and FFPSA initiatives

With everyone – October 29

Identify agencies, collaboratives, and CBOs who hold aspects of the vision at cross-sector convening

With systems partners – future

Ongoing mapping of funding streams and opportunities

How can you stay involved?

- **Community visioning sessions**
 - Is there a parent group affiliated with your organization who might be interested in hosting a session?
- **October 29 convening**
 - RSVP to participate in half-day workshop to review community input and identify avenues for bringing the vision to life

Thank you.

Sara Silverio Marques sara@healthplusstudio.com

Heather Imboden heather@communitiesincollaboration.com

Danni Gorden danni@healthplusstudio.com

CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY

RESOLUTION NO. ___-24-C&FC

October 2, 2024

A RESOLUTION OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AUTHORIZING THE PRESIDENT/CEO AND COMMISSION COUNSEL TO PREPARE AND NEGOTIATE AN AMENDMENT TO AGREEMENT NO. C-482, AT THE PRESIDENT/CEO'S SOLE DISCRETION, WITH HEALTH PLUS STUDIO FOR EARLY MENTAL HEALTH SYSTEMS PLANNING WORK; AND, AUTHORIZING APPROVAL AND EXECUTION OF SUCH AMENDMENT ON BEHALF OF THE COMMISSION

WHEREAS, in order to facilitate the creation and implementation of an integrated, comprehensive, and collaborative system of information and services to enhance optimal early childhood development, the legislature adopted legislation set forth in the California Children and Families Act of 1998, Health and Safety Code Section 130100, *et seq.* (as amended, the "Act") implementing the Children and Families First Initiative passed by the California electorate in November, 1998 and establishing the California Children and Families Commission and County Children and Families Commissions, including this Children and Families Commission of Orange County ("Commission"); and

WHEREAS, Commission adopted its Strategic Plan to define how funds authorized under the Act and allocated to the Commission should best be used to meet the critical needs of Orange County's children prenatal to five years of age as codified in the Act; and

WHEREAS, the Commission previously entered into Agreement No. C-482 (hereinafter referred to as the "Agreement") with Health Plus Studio (hereinafter referred to as the "Contractor"), for early mental health systems planning work, which was previously amended; and

WHEREAS, the Commission desires to amend the Agreement, at the President/CEO's sole discretion, for the terms, in the amounts, and on the conditions as described in in the staff report, and applicable attachments, for this October 2, 2024 Agenda Item (hereinafter referred to as the "Agenda Item"); and

WHEREAS, the Contractor desires to amend the Agreement, if authorized by the President/CEO, in furtherance of the purposes of the Act and the Strategic Plan on the terms and conditions set forth in the amendment (hereinafter referred to as the "Amendment"); and

WHEREAS, Commission has reviewed the Agenda Item relating to the scopes of services to be provided and hereby finds and determines that the proposed Amendment is in furtherance of and consistent with the Commission's Strategic Plan; and

WHEREAS, Commission desires to authorize the Commission Chair and Commission Clerk to execute the Amendment with the Contractor for the terms, in the amounts, and on the conditions as specified in the Agenda Item.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMISSIONERS OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AS FOLLOWS:

Section 1 Commission finds and determines the foregoing Recitals are true and correct and are a substantive part of this Resolution.

Section 2 Commission authorizes the President/CEO, or designee, and Commission Counsel to prepare and negotiate the Amendment with the Contractor for the terms, in the amounts, and on the conditions consistent with the Agenda Item; and

Section 3 The form of the Amendment shall be substantially similar to the standard form agreements, subject to minor, non-substantive revisions, or as otherwise required to comply with external funding sources, and will be reviewed and approved by the President/CEO, or designee, and Commission Counsel. The approval by the President/CEO, or designee, of the Amendment shall be conclusively evidenced by the execution of such Amendment by the Commission Chair and delivery thereof to the Commission Clerk.

Section 4 Commission hereby approves the Amendment, which will be authorized at the President/CEO's sole discretion, with the Contractor for the terms, in the amounts, and on the conditions as specified in the Agenda Item.

Section 5 The Commission Chair and the Clerk of the Commission are hereby authorized to execute and attest, respectively, the Amendment on behalf of the Commission.

Section 6 A copy of the final Amendment, when executed by the Commission Chair and attested by the Clerk of the Commission, shall be appended hereto as a part of Exhibit A to this Resolution. Exhibit A is hereby fully incorporated as a part of this Resolution by this reference and made a part hereof. The final executed Amendment shall be placed on file in the office of the Clerk of the Commission.

Section 7 In addition to the authorization of Section 2 above, the President/CEO, or designee, is hereby authorized, on behalf of the Commission, (i) to sign all documents necessary and appropriate to carry out and implement the Agreement(s), including any Amendment(s); (ii) to cause the issuance of warrants; (iii) to administer the Commission's obligations, responsibilities, and duties to be performed under such agreement(s); and (iv) during the term thereof to provide waivers, administrative interpretations, and minor modifications of the provisions of such agreement(s) in the furtherance thereof.

Section 8 The Clerk of the Commission shall certify to the adoption of this Resolution.

The foregoing resolution was passed and adopted by the following vote of the Children and Families Commission of Orange County on October 2, 2024 to wit:

AYES Commissioners: _____

NOES: Commissioner(s): _____

EXCUSED: Commissioner(s): _____

ABSTAINED: Commissioner(s) _____

CHAIR

STATE OF CALIFORNIA)
)
COUNTY OF ORANGE)

I, ROBIN STIELER, Clerk of the Commission of Orange County, California, hereby certify that a copy of this document has been delivered to the Chair of the Commission and that the above and foregoing Resolution was duly and regularly adopted by the Children and Families Commission of Orange County.

IN WITNESS WHEREOF, I have hereto set my hand and seal.

ROBIN STIELER
Clerk of the Commission, Children and Families Commission of
Orange County, County of Orange, State of California

Resolution No: __-24-C&FC

Agenda Date: October 2, 2024

Item No. __



I certify that the foregoing is a true and correct copy of the Resolution adopted by the

Robin Stieler, Clerk of the Commission

By: _____
Deputy

EXHIBIT A TO RESOLUTION OF COMMISSION

(Attach copy(ies) of final executed Agreements)

CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY

RESOLUTION NO. ___-24-C&FC

October 2, 2024

A RESOLUTION OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AUTHORIZING THE PRESIDENT/CEO TO EXECUTE AN AGREEMENT WITH THE COUNTY OF ORANGE HEALTH CARE AGENCY FOR EARLY MENTAL HEALTH SYSTEMS PLANNING WORK; AND AUTHORIZING APPROVAL AND EXECUTION OF SUCH AGREEMENT ON BEHALF OF THE COMMISSION

WHEREAS, in order to facilitate the creation and implementation of an integrated, comprehensive, and collaborative system of information and services to enhance optimal early childhood development, the legislature adopted legislation set forth in the California Children and Families Act of 1998, Health and Safety Code Section 130100, *et seq.* (as amended, the “Act”) implementing the Children and Families First Initiative passed by the California electorate in November, 1998 and establishing the California Children and Families Commission and County Children and Families Commissions, including this Children and Families Commission of Orange County (“Commission”); and

WHEREAS, Commission adopted its Strategic Plan to define how funds authorized under the Act and allocated to the Commission should best be used to meet the critical needs of Orange County’s children prenatal to five years of age as codified in the Act; and

WHEREAS, Commission desires to receive funding and enter into an agreement (hereinafter referred to as the “Grant Agreement”) with the County of Orange Health Care Agency (hereinafter referred to as “Grantor”) to support early mental health systems and planning work;

WHEREAS, the Commission desires to enter into the Grant Agreement with Grantor for the terms and in the amounts as specified in the October 2, 2024 staff report, including attachments, for this Agenda Item (hereinafter referred to as the “Agenda Item”); and

WHEREAS, Commission desires to enter into the Grant Agreement with Grantor in furtherance of the purposes of the Act and the Strategic Plan on the terms and conditions set forth in the Agreement; and

WHEREAS, Commission has reviewed the Agenda Item relating to the grant and hereby finds and determines that the proposed Grant Agreement is in furtherance of and consistent with the Commission’s Strategic Plan; and

WHEREAS, Commission desires to authorize the President/CEO, Commission Chair, and/or Commission Clerk, as required by the grant, to execute the Grant Agreement with the Grantor in the amount and for the terms as specified in the Agenda Item;

NOW, THEREFORE, BE IT RESOLVED BY THE COMMISSIONERS OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AS FOLLOWS:

Section 1 Commission finds and determines the foregoing Recitals are true and correct and are a substantive part of this Resolution.

Section 2 Commission authorizes the President/CEO, or designee, execute the Grant Agreement with the Grantor to in the amounts and for the terms consistent with the Agenda Item.

Section 3 The Grant Agreement may be on the form provided by the Grantor and shall be reviewed and approved by the President/CEO, or designee, and Commission Counsel. The approval by the President/CEO, or designee, of the Agreement shall be conclusively evidenced by the execution of such Agreement by the President/CEO or by the Commission Chair and delivery thereof to the Commission Clerk, as required by the Grant Agreement.

Section 4 Commission hereby approves the Grant Agreement with the Grantor in the amounts and for the terms as specified in the Agenda Item.

Section 5 The President/CEO and/or Commission Chair and the Clerk of the Commission are hereby authorized to execute and attest, respectively, the Grant Agreement on behalf of the Commission.

Section 6 A copy of the Grant Agreement when executed by the President/CEO and/or Commission Chair and attested by the Clerk of the Commission shall be appended hereto as a part of Exhibit A to this Resolution. Exhibit A is hereby fully incorporated as a part of this Resolution by this reference and made a part hereof. The final executed Grant Agreement shall be placed on file in the office of the Clerk of the Commission.

Section 7 In addition to the authorization of Section 2 above, the President/CEO, or designee, is hereby authorized, on behalf of the Commission, (i) to sign all documents necessary and appropriate to carry out and implement the Grant Agreement, including any Amendment(s); (ii) to cause the issuance of warrants; (iii) to administer the Commission's obligations, responsibilities, and duties to be performed under such Grant Agreement and any Amendment(s); and (iv) during the term thereof to provide waivers, administrative interpretations, and minor modifications of the provisions of such Amendment(s) in the furtherance thereof.

Section 8 The Clerk of the Commission shall certify to the adoption of this Resolution.

The foregoing resolution was passed and adopted by the following vote of the Children and Families Commission of Orange County on October 2, 2024 to wit:

AYES Commissioners: _____

NOES Commissioner(s): _____

EXCUSED Commissioner(s): _____

ABSTAINED Commissioner(s): _____

CHAIR

STATE OF CALIFORNIA)
)
COUNTY OF ORANGE)

I, ROBIN STIELER, Clerk of the Commission of Orange County, California, hereby certify that a copy of this document has been delivered to the Chair of the Commission and that the above and foregoing Resolution was duly and regularly adopted by the Children and Families Commission of Orange County.

IN WITNESS WHEREOF, I have hereto set my hand and seal.

ROBIN STIELER
Clerk of the Commission, Children and Families
Commission of Orange County, County of Orange,
State of California

Resolution No: __-24-C&FC

Agenda Date: October 2, 2024

Item No. __



I certify that the foregoing is a true and correct copy of the Resolution adopted by the

ROBIN STIELER, Clerk of the Commission

By: _____
Deputy

EXHIBIT A TO RESOLUTION OF COMMISSION

(Attach copy(ies) of final executed Agreement)



Agenda Item 10
October 2, 2024

DATE: September 23, 2024

TO: First 5 Orange County

FROM: Kimberly Goll, President/CEO

A handwritten signature in black ink, appearing to read "Kimberly Goll", written over a light blue horizontal line.

ACTION: Receive the President/Chief Executive Officers Report

Technical Advisory Committee

On September 30, the Technical Advisory Committee (TAC) will hold its second meeting of the year. The primary agenda item will be to debrief the TAC Summit that was held on May 10 where the Steering Group of Detect & Connect OC and their colleagues were invited to share about systems work related to well-child visits and developmental screenings. At the summit, participants heard about the collaborative's vision and areas of focus, as well as findings from data related to well-child visits and screenings, barriers to children and families receiving services, and potential solutions that Detect & Connect has identified. The summit was used to assist with prioritizing solutions and developing next steps.

At the September 30, 2024 meeting, the TAC will reflect on their experience participating in the summit, including what worked well and strategies to use for future summits. They will also discuss the initial intent of the summit, if those expectations were met, and any other learnings and questions that arose. Other TAC agenda items include selection of a Chair and Vice Chair, updates on the system collaboratives each TAC member represents, and a proposed calendar of meetings for the First 5 OC Board's consideration.

Quarterly Investment Report

Pursuant to First 5 Orange County's Fiscal Year 2023-2024 Investment Policy Statement, the Orange County Treasurer submits Quarterly Investment Reports to the Commission. The Investment Report (Attachment 1) summarizes investment activities for the three-month period of April 1 through June 30, 2024. The primary objective of the Treasurer is to invest First 5 Orange County's funds to provide safety of principal and liquidity, while providing a reasonable return on investments. Currently, the Treasurer has invested 100 percent of First 5 OC's funds in the Orange County Investment Pool (OCIP).

Update on the CalOptima Dyadic Grants

Over the past two months First 5 OC consultants have worked closely with ZERO TO THREE (ZTT) and the 10 clinics that were awarded CalOptima Health Dyadic grants to prepare the clinics to implement the HealthySteps program. All clinics have had their 1:1 planning meeting with the ZTT Program Director for California to begin their onboarding process. Four of the clinics were able to complete the onboarding work. The six clinics that have not completed their initial onboarding include the three AltaMed clinics (whose program lead is currently coordinating onboarding calls for all three clinics) and the three independent private practices (they have requested a meeting with a private practice that has implemented HealthySteps, and this meeting scheduled for September 27, 2024).

The clinics received a copy of their contract for the \$150,000 grant on September 16, 2024, and we are in the process of completing contract review and execution. They have also been given a copy of CalOptima Health's Pediatric Quality Measure Guide for HEDIS which delineates requirements related to Well Child Visits and Developmental Screening. Within one month of signing their agreement, clinics must submit their baseline Performance Metrics with quarterly reporting beginning in April 2025 until June 2026.

Action Plan Update

Attachment 2 provides a summary matrix with an overarching view of our Action Plans, staff leads, and current progress status.

A more detailed summary of accomplishments and challenges for each Action Plan is provided as Attachment 3. Below are few notable highlights from our work over the past several months.

- Participated in five events showcasing Kid Builders and trained/are collaborating with two new libraries to use Kid Builders activities.
- Began offering monthly reflective supervision for First 5 OC home visiting network leadership.
- Onboarded new CalWORKs home visiting providers into our home visiting data platform.
- Conducted three legislative site visits to HealthySteps locations.
- 34 future child care providers graduated from the business incubator program.
- Hosted a community visioning session on Early Relational Health.
- Begun interviews with 20 stakeholders for First 5 OC's perception audit to help develop clear messaging for First 5 OC and how our community understands the work we do.

Legislative Update

The two-year legislative session in California has now concluded, and several key bills are awaiting the Governor's signature. Governor Gavin Newsom has until the end of September to either sign these bills into law or issue a veto. Bills we have been monitoring that are pending the Governor's signature as of September 24, 2024, include:

- [SB 1112](#) This bill directs the Department of Social Services and Department of Health Care Services to establish a Memorandum of Understanding between Managed Care Providers and Child Care Alternative Payment Programs. The goal is to create a referral pathway to connect children in subsidized care with developmental screenings and health services through Medi-Cal.
- [AB 176](#) An Education Omnibus Trailer Bill contains statutory revisions and appropriations to the 2024-25 budget, including provisions related to serving 2-year-olds in the State Preschool Program. It also addresses modifications and delays to recent fire code regulations for day care centers.
- [AB 1808](#) Childcare and development services: eligibility. This bill creates a 24-month eligibility period for recertification. Currently, CalWORKs child care program must recertify after 12 months to maintain eligibility. Other child care programs provide a 24-month eligibility period. This includes General Child Care and Development Program, Family Child Care Education Home Network, Migrant Child Care and Development Program, Migrant Alternative Payment Program, and Children with Severe Disabilities and Alternative Payment Program. The bill would align CalWORKs Child care program with other child care programs.
- [AB 3218](#) Makes technical changes to California's flavored tobacco ban requiring the Attorney General to establish and maintain an Unflavored Tobacco List (UTL) and prohibits any wholesale or delivery seller from selling tobacco products not on the UTL to a consumer in California.

The Policy Team will provide an update on these bills in an October weekly update after the Governor's deadline.

Office Renovation Update

The First 5 OC office renovation is taking shape and will include an expansion of our office space, new furniture, and a facelift through updated flooring and paint. Our team has been working closely with property management and the furniture vendor, and we anticipate the renovations will be completed sometime this fall.

Attachments:

1. Quarterly Investment Report
 2. Action Plan Status Matrix
 3. Action Plan Summary of Progress
-

**First Five Orange County
Quarterly Investment Report
April 1, 2024 through June 30, 2024**

OVERVIEW

Pursuant to the First Five Orange County (Commission) fiscal year 2023/2024 Investment Policy Statement (IPS), the Treasurer submits this Quarterly Investment Report to the Commission. This Investment Report summarizes investment activities for the Commission for the three-month period from April 1 through June 30, 2024.

COMMISSION INVESTMENTS

The primary objective of the Treasurer is to invest the Commission's funds to provide safety of principal and liquidity, while providing a reasonable return on investments. Currently, the Treasurer has invested 100% of the Commission's funds in the Orange County Treasurer's Pool (OCTP). As of June 30, 2024, these totaled \$72,291,656.14. All funds are managed by the Office of the Orange County Treasurer. We have attached a summary of key OCTP investment information (Attachment A).

ORANGE COUNTY TREASURER'S POOL

The primary goal of the OCTP is to invest public funds in a manner which will provide the maximum security of principal invested with secondary emphasis on providing adequate liquidity to Pool Participants and lastly to achieve a market rate of return.

PORTFOLIO PERFORMANCE SUMMARY – QUARTERLY

The following table presents an overview of the Commission's investments for the months of April, May, and June 2024. The net year-to-date yield for fiscal year 2023/2024 is 4.246%, slightly higher than the original forecast of 4.0%, net of the estimated investment administrative fee of 3.4 basis points. We expect to provide our forecasted gross and net yields and the estimated investment administrative fee for this fiscal year 2024-2025 next quarter. The OCTP has a Net Asset Value of 0.99 and there is sufficient liquidity to meet the forecasted expenditures for the next six months.

Month Ended	Commission's Month End Balance	Commission's Monthly Gross Yield	OCTP Accrued Interest ⁽¹⁾	OCTP Market Value	OCTP Book Value	Weighted Average Maturity (Days)	Net Asset Value	Duration
April	\$ 72,152,927	4.478%	\$ 137,827,781	\$ 16,476,385,642	\$ 16,615,179,742	424	0.99	1.09
May	\$ 71,841,576	4.376%	\$ 146,002,985	\$ 15,582,796,755	\$ 15,685,829,187	420	0.99	1.08
June	\$ 72,291,656	4.492%	\$ 162,864,261	\$ 15,031,074,673	\$ 15,116,940,626	407	0.99	1.10

(1) Accrued interest has been broken out separately from OCTP Market Value.

The OCTP market value is sensitive to changes of interest rates as it invests 100% in fixed income investments. With a month end balance of \$72.3 million and duration of 1.10, every 1% increase or decrease in short term interest rates would have an additional estimated \$795,208.22 decrease or increase in the market value.

ECONOMIC UPDATE

The U.S. economy experienced strong employment gains and continued inflation in the second quarter of 2024. Employment gains averaged 168,000 per month, lower than the previous quarter's 267,000 (revised) per month average. Headline inflation remained elevated at 3.0% year over year, lower than the previous quarter's 3.5%. Core inflation, which excludes food and energy, increased 3.3% from a year ago, the highest level since 1993. The Federal Open Market Committee (FOMC) held the Fed Funds Rate (Rate) at a range of 5.25-5.50% during the quarter. The bond market is pricing a 0.25% decline to the rate during the FOMC meetings in the third quarter of 2024. Other U.S. economic releases from the second quarter of 2024:

- The Unemployment Rate increased to 4.1% (survey: 4.0%) at the end of the second quarter, up 0.3% from the prior quarter.
- The Empire State Manufacturing Index increased to -6.0 (survey: -10.0) from -20.9 in the first quarter while the Philadelphia Fed Index fell to 1.3 (survey: 5.0) from 3.2 at the end of the first quarter. The Federal Reserve uses these indexes as regional economic gauges with a positive reading signaling economic expansion.

The Treasury par yield curve rose during the second quarter. The 90-day Treasury Bill yield increased to 5.48% from 5.46%. The 2-year Treasury Note yield rose to 4.71% from 4.59% while the 10-year Treasury Bond yield increased to 4.36 from 4.20%.

COMPLIANCE MONITORING

As of June 30, 2024, 100% of the holdings of the Commission were in compliance with the Commission's IPS. The investment in OCIF has no compliance exceptions in the quarter ended June 30, 2024.

The County Treasurer's investments are audited regularly by the County Auditor-Controller, Internal Audit Division (AC-IAD). The AC-IAD issued one report (Attachment D) during the quarter ended June 30, 2024, as follows:

Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended December 31, 2023 - (Attachment D).

On April 11, 2024, the Auditor-Controller issued their report on Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended December 31, 2023, that stated no compliance exceptions were identified.

We have attached the Investment Policy (IPS) and Treasury Oversight Committee Compliance Summary as reported in the Treasurer's Monthly Report for the months of April, May, and June 2024 (Attachment C). This summary tracks compliance in a variety of areas.

STATEMENT OF ACTIVITY

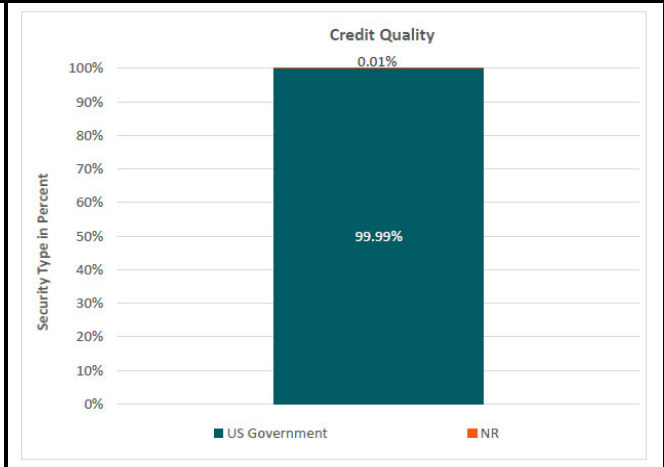
We have attached the Statement of Activity for the months of April, May, and June 2024. (Attachment B). These Statements report the beginning and ending balances of the Commission's funds invested in the OCTP.

Attachments:

- A. OCTP Summary.
- B. Statement of Activity for the months of April, May, and June 2024.
- C. Investment Policy and Treasury Oversight Committee Compliance Summaries April, May, and June 2024.
- D. Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended December 31, 2023.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
ORANGE COUNTY TREASURER'S POOL
INVESTMENT POOL SUMMARY
AT June 2024, 2024**

Security Type	OCTP PORTFOLIO COMPOSITION			
	Market Value ¹	% of Market Value	Book Value ¹	Unrealized Gain (Loss)
U.S. GOVERNMENT AGENCIES	\$ 11,081,538,972	73.73%	\$ 11,110,472,368	(28,933,396)
U.S. TREASURIES	3,154,056,640	20.98%	3,210,989,196	(56,932,557)
MONEY MARKET MUTUAL FUNDS	794,102,649	5.28%	794,102,649	-
LOCAL AGENCY INVESTMENT FUND	1,376,412	0.01%	1,376,412	-
TOTAL	\$ 15,031,074,673	100.00%	\$ 15,116,940,625	\$ (85,865,953)



**SUMMARY OF INVESTMENT DATA
INVESTMENT TRENDS
OCTP**

	JUNE 2024	MAY 2024	INCREASE (DECREASE)	NET CHANGE %	JUNE 2023	INCREASE (DECREASE)	NET CHANGE %
Orange County Treasurer's Pool (OCTP)							
End Of Month Market Value ¹	\$ 15,031,074,673	\$ 15,582,796,755	\$ (551,722,082)	-3.54%	\$ 14,562,737,196	\$ 468,337,477	3.22%
End Of Month Book Value	\$ 15,116,940,626	\$ 15,685,829,187	\$ (568,888,561)	-3.63%	\$ 14,745,091,712	\$ 371,848,914	2.52%
Monthly Average Balance	\$ 15,179,019,008	\$ 16,041,822,552	\$ (862,803,544)	-5.38%	\$ 14,500,098,218	\$ 678,920,790	4.68%
Year-To-Date Average Balance ²	\$ 14,912,088,826	\$ 14,887,822,446	\$ 24,266,380	0.16%	\$ 13,556,747,669	\$ 1,355,341,157	10.00%
Monthly Accrued Earnings ³	\$ 56,523,703	\$ 60,158,459	\$ (3,634,756)	-6.04%	\$ 44,886,895	\$ 11,636,808	25.92%
Monthly Net Yield ³	4.492%	4.366%	0.126%	2.89%	3.732%	0.760%	20.36%
Year-To-Date Net Yield ³	4.246%	4.212%	0.034%	0.81%	2.576%	1.670%	64.82%
Annual Estimated Gross Yield ⁴	4.310%	4.310%	0.000%	0.00%	2.615%	1.695%	64.81%
Weighted Average Maturity (WAM) ⁵	407	420	(13)	-3.10%	267	140	52.43%

¹ Market values provided by Northern Trust, excludes accrued interest.

² The OCTP Year-To-Date Average Balance is higher than the prior year primarily due to an increase in property assessed values of 6.41% in FY 23-24.

³ The OCTP Monthly Accrued Earnings, Monthly Net Yield and Year-To-Date Net Yield are higher than prior year due to the FOMC target rate range of 5.25% -5.50%, and reinvestment yields that are higher than the current portfolio yield and the maturing securities, respectively.

⁴ The OCTP Annual Estimated Gross Yield is higher than the prior year due to continued higher yields from short-term rate increases. The OCTP Annual Estimated Gross Yield for March 2023 is reported at the actual annual gross yields for FY 22-23.

⁵ The OCTP WAM is higher than the prior year due to longer term purchases. The financial market is not pricing a change in rates at the next FOMC meeting on May 1, 2024.



COUNTY OF ORANGE
OFFICE OF THE TREASURER-TAX COLLECTOR
 Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM
 P. O. BOX 4515
 SANTA ANA, CA 92702-4515

Attachment B



octreasurer.com/publicfunds
 April 30, 2024

FIRST 5 ORANGE COUNTY

Fund Number : 225

APRIL 2024 STATEMENT

Transactions

<u>Transaction Date</u>	<u>Transaction Description</u>	<u>Amount</u>
04/01/2024	March 2024 Investment Admin Fee	\$ (1,173.96)
04/17/2024	January 2024 Interest Paid	\$ 254,946.16

Summary

Total Deposit:	\$ 4,728,443.66	Beginning Balance:	\$ 70,410,784.51
Total Withdrawal:	\$ (2,986,301.08)	Ending Balance:	\$ 72,152,927.09



COUNTY OF ORANGE
OFFICE OF THE TREASURER-TAX COLLECTOR
 Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM
 P. O. BOX 4515
 SANTA ANA, CA 92702-4515

Attachment B



octreasurer.com/publicfunds
 May 31, 2024

FIRST 5 ORANGE COUNTY

Fund Number : 225

MAY 2024 STATEMENT

Transactions

<u>Transaction Date</u>	<u>Transaction Description</u>	<u>Amount</u>
05/01/2024	April 2024 Investment Admin Fee	\$ (608.06)
05/20/2024	February 2024 Interest Paid	\$ 245,345.72

Summary

Total Deposit:	\$ 1,333,917.38	Beginning Balance:	\$ 72,152,927.09
Total Withdrawal:	\$ (1,645,268.23)	Ending Balance:	\$ 71,841,576.24



COUNTY OF ORANGE
OFFICE OF THE TREASURER-TAX COLLECTOR
 Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM
 P. O. BOX 4515
 SANTA ANA, CA 92702-4515

Attachment B



octreasurer.com/publicfunds
 June 30, 2024

FIRST 5 ORANGE COUNTY

Fund Number : 225

JUNE 2024 STATEMENT

Transactions

<u>Transaction Date</u>	<u>Transaction Description</u>	<u>Amount</u>
06/03/2024	May 2024 Investment Admin Fee	\$ (617.35)
06/19/2024	March 2024 Interest Paid	\$ 254,249.74

Summary

Total Deposit:	\$ 2,535,496.42	Beginning Balance:	\$ 71,841,576.24
Total Withdrawal:	\$ (2,085,416.52)	Ending Balance:	\$ 72,291,656.14

ORANGE COUNTY TREASURER-TAX COLLECTOR
OCTP INVESTMENT POLICY (IPS) COMPLIANCE SUMMARY⁽¹⁾
April 30, 2024

Investment Type	IPS Diversification Limit	Market Value of Investments ⁽²⁾	Percent of Portfolio
U.S. Government Agency Securities	100%	\$ 12,516,348,683	75.33%
U.S. Treasury Securities	100%	3,584,813,508	21.57%
Municipal Debt	20%	-	-
Medium-Term Notes	20%	-	-
Banker Acceptances	40%	-	-
Commercial Paper	40%	-	-
Certificates of Deposits	20%	-	-
Local Agency Investment Fund (LAIF)	\$75MM	1,376,412	0.01%
Repurchase Agreements	20%	-	-
Money Market Mutual Funds (MMMFs)	20%	513,403,232	3.09%
JPA Investment Pools (JPA)	20%	-	-
Supranationals	30%	-	-
		\$ 16,615,941,835	100.00%

Compliance Category	IPS Limitations	Compliance (Yes/No)
Percentage Limits		
Issuer Limit	5%	Yes
MMMFs, JPA, Repurchase Agreements ⁽⁴⁾	20%	Yes
Government Agencies Issuer	100%	Yes
Diversification Limit	<i>See Above</i>	Yes
Maturity/Duration Limits		
Duration ⁽⁵⁾	1.5 Years	Yes/ 1.09
Final Maturity	5 Years/1826 days	Yes/ 1660
Rating Limits		
Short Term/Long Term	A-1/≥ AA ⁽³⁾	Yes
Authorized Issuer	<i>Approved Issuer List</i>	Yes
Authorized Broker/Dealer	<i>Broker/Dealer List</i>	Yes
Net Asset Value	>0.9975	0.9917

(1) The 2024 IPS compliance effective January 1, 2024.

(2) All investments are marked to market in compliance with the IPS and market values are provided by Northern Trust and include accrued interest.

(3) Excludes US Government Debt per the 2024 IPS policy approved by the Board of Supervisors on December 19, 2023 effective on January 1, 2024.

(4) IPS requirements further limit investments in each MMMF account, JPA pool and repurchase agreement issuer to 10%.

(5) Modified duration which does not take into consideration all embedded options such as callable bonds.

Note: Compliance exceptions, if any, are noted by red shading for the specific IPS guideline.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) AND TREASURY OVERSIGHT COMMITTEE (TOC) COMPLIANCE SUMMARY
April 30, 2024**

COMPLIANCE CATEGORY	RESPONSIBLE PARTY	REGULATORY/POLICY GUIDELINES	CURRENT STATUS
Annual Compliance Audit of County Treasurer's Compliance with CGC Article 6	TOC	Performance Evaluation-Cal Govt. Code 27134	Annual Compliance Audit as of June 30, 2023 not yet started. Procurement options are in process for the annual compliance audit.
Quarterly Compliance Monitoring of County Treasury	TOC	TOC Directive	December 31, 2023 complete, March 31, 2024 in progress
Annual Schedule of Assets Audit of County Treasury	AC	Performance Evaluation-Cal Govt. Code 26920(b)	June 30, 2023 in progress.
Quarterly Schedule of Assets Review of County Treasury	AC	Performance Evaluation-Cal Govt. Code 26920(a)	December 31, 2023 and March 31, 2024 in progress.
Investment Administrative Fee Recalculation of Estimate to Actual	TTC	Compensation Agreement-Cal Govt. Code 27013 and IPS Section XVI.	FY 22/23 refunds totaling approximately \$1.1 million complete.
Annual Broker/Dealer/Financial Institutions Review	TTC	Authorized Financial Dealers and Qualified Institutions	Calendar year 2023 in progress.
Annual Broker/Dealer IPS Acknowledgement of Receipt	TTC	Authorized Financial Dealers and Qualified Institutions, IPS XI	2024 IPS Acknowledgement requests in progress.
IPS Compliance Findings	TTC	Investment/Diversification/Maturity Restrictions	FY 23/24 identified six compliance incidents as of April 30, 2024.
TOC Bylaw Changes	BOS	TOC Review and BOS Annual Approval	The TOC reviewed Bylaws and made no changes at the October 25, 2023 meeting. No BOS approval required.
Annual IPS Approval	BOS	TOC Review and BOS Annual Approval	The TOC reviewed proposed IPS changes at the October 25, 2023 meeting and provided input on the proposed IPS changes. BOS approved on December 19, 2023.
TOC Annual Report	BOS	TOC Bylaws Rule 34 - Oral and Written Report	The 2023 Annual Report was approved by TOC on January 31, 2024 and received by the BOS on March 26, 2024.
Broker/Financial Institution List	TTC	OC Gift Ban Ordinance and Form 700	The TOC members were provided a list of active TTC Broker/Dealers and Financial Institutions at the TOC meeting on October 25, 2023.
TOC Certificates of Compliance	TTC	TOC Bylaws Rule 40 - Annual	The TOC members are in compliance for calendar year 2024, except one member who has not attended any 2024 meetings.
TOC Ethics Training	TTC	TOC Bylaws Rule 40 - Every Two Years	In process for 2024.
TOC Conflict of Interest Form 700 Filing	TTC	TOC Bylaws Rule 40 / IPS - Every Year	All current TOC members and designated employees are in compliance for calendar year 2023.

LEGEND	
Auditor-Controller	AC
Board of Supervisors	BOS
Treasury Oversight Committee	TOC
Office of Treasurer-Tax Collector	TTC

ORANGE COUNTY TREASURER-TAX COLLECTOR
OCTP INVESTMENT POLICY (IPS) COMPLIANCE SUMMARY⁽¹⁾
May 31, 2024

Investment Type	IPS Diversification Limit	Market Value of Investments ⁽²⁾	Percent of Portfolio
U.S. Government Agency Securities	100%	\$ 11,787,431,472	75.64%
U.S. Treasury Securities	100%	3,291,938,619	21.12%
Municipal Debt	20%	-	-
Medium-Term Notes	20%	-	-
Banker Acceptances	40%	-	-
Commercial Paper	40%	-	-
Certificates of Deposits	20%	-	-
Local Agency Investment Fund (LAIF)	\$75MM	1,376,412	0.01%
Repurchase Agreements	20%	-	-
Money Market Mutual Funds (MMMFs)	20%	502,994,132	3.23%
JPA Investment Pools (JPA)	20%	-	-
Supranationals	30%	-	-
		\$ 15,583,740,635	100.00%

Compliance Category	IPS Limitations	Compliance (Yes/No)
Percentage Limits		
Issuer Limit	5%	Yes
MMMFs, JPA, Repurchase Agreements ⁽⁴⁾	20%	Yes
Government Agencies Issuer	100%	Yes
Diversification Limit	See Above	Yes
Maturity/Duration Limits		
Duration ⁽⁵⁾	1.5 Years	Yes/ 1.08
Final Maturity	5 Years/1826 days	Yes/ 1629
Rating Limits		
Short Term/Long Term	A-1/≥ AA ⁽³⁾	Yes
Authorized Issuer	Approved Issuer List	Yes
Authorized Broker/Dealer	Broker/Dealer List	Yes
Net Asset Value	>0.9975	0.9936

(1) The 2024 IPS compliance effective January 1, 2024.

(2) All investments are marked to market in compliance with the IPS and market values are provided by Northern Trust and exclude accrued interest.

(3) Excludes US Government Debt per the 2024 IPS policy approved by the Board of Supervisors on December 19, 2023 effective on January 1, 2024.

(4) IPS requirements further limit investments in each MMMF account, JPA pool and repurchase agreement issuer to 10%.

(5) Modified duration which does not take into consideration all embedded options such as callable bonds.

Note: Compliance exceptions, if any, are noted by red shading for the specific IPS guideline.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) AND TREASURY OVERSIGHT COMMITTEE (TOC) COMPLIANCE SUMMARY
May 31, 2024**

COMPLIANCE CATEGORY	RESPONSIBLE PARTY	REGULATORY/POLICY GUIDELINES	CURRENT STATUS
Annual Compliance Audit of County Treasurer's Compliance with CGC Article 6	TOC	Performance Evaluation-Cal Govt. Code 27134	Annual Compliance Audit as of June 30, 2023 not yet started. Procurement options are in process for the annual compliance audit.
Quarterly Compliance Monitoring of County Treasury	TOC	TOC Directive	March 31, 2024 in progress
Annual Schedule of Assets Audit of County Treasury	AC	Performance Evaluation-Cal Govt. Code 26920(b)	June 30, 2023 in progress.
Quarterly Schedule of Assets Review of County Treasury	AC	Performance Evaluation-Cal Govt. Code 26920(a)	December 31, 2023 and March 31, 2024 in progress.
Investment Administrative Fee Recalculation of Estimate to Actual	TTC	Compensation Agreement-Cal Govt. Code 27013 and IPS Section XVI.	FY 22/23 refunds totaling approximately \$1.1 million complete.
Annual Broker/Dealer/Financial Institutions Review	TTC	Authorized Financial Dealers and Qualified Institutions	Calendar year 2023 in progress.
Annual Broker/Dealer IPS Acknowledgement of Receipt	TTC	Authorized Financial Dealers and Qualified Institutions, IPS XI	2024 IPS Acknowledgement requests in progress.
IPS Compliance Findings	TTC	Investment/Diversification/Maturity Restrictions	FY 23/24 identified six compliance incidents as of May 31, 2024.
TOC Bylaw Changes	BOS	TOC Review and BOS Annual Approval	The TOC reviewed Bylaws and made no changes at the October 25, 2023 meeting. No BOS approval required.
Annual IPS Approval	BOS	TOC Review and BOS Annual Approval	The TOC reviewed proposed IPS changes at the October 25, 2023 meeting and provided input on the proposed IPS changes. BOS approved on December 19, 2023.
TOC Annual Report	BOS	TOC Bylaws Rule 34 - Oral and Written Report	The 2023 Annual Report was approved by TOC on January 31, 2024 and received by the BOS on March 26, 2024.
Broker/Financial Institution List	TTC	OC Gift Ban Ordinance and Form 700	The TOC members were provided a list of active TTC Broker/Dealers and Financial Institutions at the TOC meeting on October 25, 2023.
TOC Certificates of Compliance	TTC	TOC Bylaws Rule 40 - Annual	The TOC members are in compliance for calendar year 2024, except one member who has not attended any 2024 meetings.
TOC Ethics Training	TTC	TOC Bylaws Rule 40 - Every Two Years	In process for 2024.
TOC Conflict of Interest Form 700 Filing	TTC	TOC Bylaws Rule 40 / IPS - Every Year	All current TOC members and designated employees are in compliance for calendar year 2023.

LEGEND	
Auditor-Controller	AC
Board of Supervisors	BOS
Treasury Oversight Committee	TOC
Office of Treasurer-Tax Collector	TTC

ORANGE COUNTY TREASURER-TAX COLLECTOR
OCTP INVESTMENT POLICY (IPS) COMPLIANCE SUMMARY⁽¹⁾
June 30, 2024

Investment Type	IPS Diversification Limit	Market Value of Investments ⁽²⁾	Percent of Portfolio
U.S. Government Agency Securities	100%	\$ 11,081,538,972	73.73%
U.S. Treasury Securities	100%	3,154,056,640	20.98%
Municipal Debt	20%	-	-
Medium-Term Notes	20%	-	-
Banker Acceptances	40%	-	-
Commercial Paper	40%	-	-
Certificates of Deposits	20%	-	-
Local Agency Investment Fund (LAIF)	\$75MM	1,376,412	0.01%
Repurchase Agreements	20%	-	-
Money Market Mutual Funds (MMMFs)	20%	794,102,649	5.28%
JPA Investment Pools (JPA)	20%	-	-
Supranationals	30%	-	-
		\$ 15,031,074,673	100.00%

Compliance Category	IPS Limitations	Compliance (Yes/No)
Percentage Limits		
Issuer Limit	5%	Yes
MMMFs, JPA, Repurchase Agreements ⁽⁴⁾	20%	Yes
Government Agencies Issuer	100%	Yes
Diversification Limit	<i>See Above</i>	Yes
Maturity/Duration Limits		
Duration ⁽⁵⁾	1.5 Years	Yes/ 1.10
Final Maturity	5 Years/1826 days	Yes/ 1599
Rating Limits		
Short Term/Long Term	A-1/≥ AA ⁽³⁾	Yes
Authorized Issuer	<i>Approved Issuer List</i>	Yes
Authorized Broker/Dealer	<i>Broker/Dealer List</i>	Yes
Net Asset Value	>0.9975	0.9943

(1) The 2024 IPS compliance effective January 1, 2024.

(2) All investments are marked to market in compliance with the IPS and market values are provided by Northern Trust and exclude accrued interest.

(3) Excludes US Government Debt per the 2024 IPS policy approved by the Board of Supervisors on December 19, 2023 effective on January 1, 2024.

(4) IPS requirements further limit investments in each MMMF account, JPA pool and repurchase agreement issuer to 10%.

(5) Modified duration which does not take into consideration all embedded options such as callable bonds.

Note: Compliance exceptions, if any, are noted by red shading for the specific IPS guideline.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) AND TREASURY OVERSIGHT COMMITTEE (TOC) COMPLIANCE SUMMARY
June 30, 2024**

COMPLIANCE CATEGORY	RESPONSIBLE PARTY	REGULATORY/POLICY GUIDELINES	CURRENT STATUS
Annual Compliance Audit of County Treasurer's Compliance with CGC Article 6	TOC	Performance Evaluation-Cal Govt. Code 27134	Annual Compliance Audit as of June 30, 2023 in progress.
Quarterly Compliance Monitoring of County Treasury	TOC	TOC Directive	March 31, 2024 in progress
Annual Schedule of Assets Audit of County Treasury	AC	Performance Evaluation-Cal Govt. Code 26920(b)	June 30, 2023 in progress.
Quarterly Schedule of Assets Review of County Treasury	AC	Performance Evaluation-Cal Govt. Code 26920(a)	December 31, 2023 and March 31, 2024 in progress.
Investment Administrative Fee Recalculation of Estimate to Actual	TTC	Compensation Agreement-Cal Govt. Code 27013 and IPS Section XVI.	FY 22/23 refunds totaling approximately \$1.1 million complete.
Annual Broker/Dealer/Financial Institutions Review	TTC	Authorized Financial Dealers and Qualified Institutions	Calendar year 2023 in progress.
Annual Broker/Dealer IPS Acknowledgement of Receipt	TTC	Authorized Financial Dealers and Qualified Institutions, IPS XI	2024 IPS Acknowledgement requests in progress.
IPS Compliance Findings	TTC	Investment/Diversification/Maturity Restrictions	As of June 30, 2024 six self-identified compliance incidents for FY 23/24 , all in November 2023.
TOC Bylaw Changes	BOS	TOC Review and BOS Annual Approval	The TOC reviewed Bylaws and made no changes at the October 25, 2023 meeting. No BOS approval required.
Annual IPS Approval	BOS	TOC Review and BOS Annual Approval	The TOC reviewed proposed IPS changes at the October 25, 2023 meeting and provided input on the proposed IPS changes. BOS approved on December 19, 2023.
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TOC Conflict of Interest Form 700 Filing	TTC	TOC Bylaws Rule 40 / IPS - Every Year	All current TOC members and designated employees are in compliance for calendar year 2023.

LEGEND	
Auditor-Controller	AC
Board of Supervisors	BOS
Treasury Oversight Committee	TOC
Office of Treasurer-Tax Collector	TTC



Andrew N. Hamilton, CPA Orange County Auditor-Controller



Internal Audit

Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended December 31, 2023

Audit Manager: Michael Steinhaus
Auditor II: John C. Lim, CIA

Audit Number 2305
Report Date: April 11, 2024



OFFICE OF THE
ORANGE COUNTY AUDITOR-CONTROLLER

ANDREW N. HAMILTON, CPA
AUDITOR-CONTROLLER

DATE: April 11, 2024

TO: Treasury Oversight Committee

FROM: Michael Steinhaus, Audit Manager

SUBJECT: Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended December 31, 2023

At the request of the Treasury Oversight Committee, we have completed our compliance monitoring of the Treasurer's investment portfolio for the quarter ended December 31, 2023. Our report is attached for your review.

We appreciate the assistance extended to us by the personnel of the Treasurer-Tax Collector during this engagement. If you have any questions please contact me at 714-834-6106.

Other recipients of this report:

- Board of Supervisors
- Audit Oversight Committee
- Dana Schultz, Assistant Treasurer-Tax Collector
- Jason Prole, Director of Investments
- Brian Winn, Accounting/Compliance Manager
- Salvador Lopez, Chief Deputy Auditor-Controller
- John Lim, Auditor II
- Foreperson, Grand Jury
- Robin Stieler, Clerk of the Board of Supervisors
- Eide Bailly LLP, County External Auditors

Internal Auditor's Report

We have completed our compliance monitoring of the Treasurer's investment portfolio for the quarter ended December 31, 2023. The purpose of our engagement was to determine whether the Treasurer's investment portfolio complied with the Treasurer's Investment Policy Statement (IPS).

We analyzed the FIS Quantum data for 15 business days to perform the following procedures:

1. Authorized Investments (IPS Sections VI, VII, IX, and XI): We selected one investment purchase per day and confirmed that it conformed to the IPS restrictions.
Results: We found no exceptions.
2. Diversification Limits (IPS Section VIII.1): We confirmed that investments did not exceed the diversification limits.
Results: We found no exceptions.
3. Maximum Maturity Limits (IPS Section VIII.2): We confirmed that investments did not exceed the maximum maturity limits.
Results: We found no exceptions.
4. Lower Maturity Limits (IPS Section VIII.1): We confirmed that investments with lower limits than those covered by IPS Section VIII.2 did not exceed the lower maturity limits.
Results: We found no exceptions.
5. Issuer Concentration Limits (IPS Section VIII.1): We confirmed that the investments did not exceed the issuer concentration limits.
Results: We found no exceptions.
6. Financial Reporting (IPS Section XXI): We confirmed that T-TC reported any compliance exceptions in the Treasurer's Monthly Investment Report.
Results: We found no exceptions.

This report is intended solely for the information and use of the Treasury Oversight Committee and the Office of the Treasurer-Tax Collector; however, this report is a matter of public record and its distribution is not limited.



Michael Steinhaus
Audit Manager
April 11, 2024

Summary of First 5 Orange County Action Plans for 2024-2025

Action Plan	Staff Lead	Status
Increase awareness and use of Kid Builders in OC	Erwin	On Track
Invest in Orange County's home visiting system by expanding training opportunities for the workforce, developing a coordinated entry system, co-designing a performance management plan, and leveraging regional learnings	Jessica	On Track
Develop a performance management reporting structure for Prenatal to Three reporting agencies	Andrew	Making Progress
Facilitate use of Community Health Worker benefit to sustain Prenatal to Three services	Sara, Andrew	On Track
Expand First 5 OC-funded services to prenatal families	Yvette	On Track
Increase use and integration of Family Wellness Plans	Yvette	On Track
Increase stakeholder commitment to advance policy for early childhood	Tiffany	On Track
Increase number of child care providers and quality of current programs	Cristina	On Track
Develop countywide vision and action plan for continuum of care for early relational health	Anaiah	On Track
Increase well-child visits, developmental screens, and linkage to service	Lisa, Sara	On Track
Increase Medi-Cal families' access to support from doulas in Orange County	Anaiah	On Track
Increase number of communities and families that are empowered changemakers and have access to resources	Erwin	On Track
Increase Relevance of Early Development Index (EDI)	Cristina	On Track
Create a First 5 OC postnatal strategy for families	Sara, Yvette	Action Plan Not Yet Started; anticipated later in 2024
Elevate importance of father engagement across First 5 OC and partner programming	Andrew	On Track
Strengthen School District data-informed engagement and relationship-building with local families and child care	Cristina	On Track
Provide technical assistance and capacity building for an Orange County Black Infant Health program	Anaiah	On Track
Develop clear messaging for First 5 OC to cultivate and leverage partnerships and improve outcomes for young children and families	Tiffany	On Track
Implement accountability plan for First 5's Equity Commitment	Cristina	Just Getting Started

Summary of Progress on Strategic Plan Action Plans

October 2024

❖ Increase awareness and use of Kid Builders in OC

Accomplishments:

Over the past two months, we have attended five events showcasing Kid Builders, with three lined up for the next few weeks. In addition, we trained and are collaborating with two public libraries to incorporate Kid Builders into their programs, and we are in conversation with three more libraries for similar Kid Building training and programming support.

Our team is creating a more robust structure to ensure there are enough staff and volunteers to cover Kid Builders Events. We have begun drafting role description for events, a volunteer application form, and crew member handbook. We are researching best practices for volunteers including reaching out to community partners. Since August, we have also been brainstorming ideas to create a Kid Builders toolkit for healthcare providers.

❖ Invest in Orange County's home visiting system by expanding training opportunities for the workforce, developing a coordinated entry system, co-designing a performance management plan, and leveraging regional learnings

Accomplishments:

First 5 OC has met with all partners in the Home Visiting Collaborative (HVC) to discuss upcoming changes to Health Management Association's contract and their role and capacity in supporting the Home Visiting Collaborative after June 2025. We are proposing that First 5 OC become the backbone entity and will be discussing possible governance structures for the collaborative at the September 25, 2024 HVC meeting.

Other recent activities include partnering with Orange County Department of Education Quality Start to hold two Professional Development opportunities for Home Visiting direct service staff and enhancing the home visiting referral system to capture capacity and language and allow for inter-network referrals. Finally, we began offering monthly group reflective supervision for First 5 OC Home Visiting Network leadership staff.

❖ Develop a performance management reporting structure for Prenatal to Three reporting agencies

Accomplishments:

We have completed updating our home visiting data platform, Bridges Connect, to onboard the new CalWORKs home visiting providers. Their cases are now included in

Attachment 2 Summary of Progress on Strategic Plan Action Plans

October 2024

our data tracking system. Additionally, First 5 OC coordinated with five other First 5 counties to agree on universal understanding and definitions for reporting CalWORKs home visiting information to the state.

❖ Facilitate use of Community Health Worker benefit to sustain prenatal to three services

Accomplishments:

Staff has continued our participation in the CalOptima CHW Academy which ends in October. We have gained insight into the CHW benefit and eligible services as well as supervision of CHWs. We have secured a consultant to support the CHW work and have begun to build out a CHW section on the Bridges Connect data platform.

❖ Expand First 5 OC-funded services to prenatal families

Accomplishments:

Most recently, one of our funded partners, MOMS, launched a virtual prenatal group series. Four additional prenatal group series we start in mid-October, including a new site in South County. To date, about 65 families have participated in the various prenatal classes put on by MOMS and Padres Unidos. Additionally, Help Me Grow continued to expand their prenatal outreach to OB providers such as St. Joseph's Baby Unit, Providence OBs, and FQHCs. Three more individuals were trained and completed the Orange Coast College (OCC) Community Health Worker (CHW) training. First 5 OC's Health System and Family Resilience team will begin testing CHW billing with CalOptima in October and continue explore and evaluate potential data systems that best support and streamline the CHW billing process.

We envision connecting families with developmental playgroups to continue building their social networks and understanding of important child health and developmental milestones. We recently completed a review of developmental playgroup curricula and interviewed other First 5 partners supporting development play groups. There is interest by some Engaged Neighborhood partners to co-design and pilot a developmental play group. Key to this work will be to identify the components of a developmental playgroup that can be billed under CHW, for sustainability.

Since our last report to the Board, we have initiated evaluation of the prenatal group series, including starting to gather information from families who completed the prenatal classes. The evaluation will also assess the effectiveness of the Orange Coast College Community Health Worker curriculum in preparing individuals for work as CHWs.

Summary of Progress on Strategic Plan Action Plans

October 2024

Challenges:

Continuity in staffing for one of the funded partners has continued to be a challenge. This continuity is important for the prenatal classes which run for several weeks. Additionally, our partners have expressed challenges with outreach to OB/GYNs, which appears to require multiple touchpoints before they remember and are comfortable referring to the prenatal classes. Additionally, the likelihood of increasing referrals from OB/GYNs increases when outreach occurs in the weeks immediately prior to classes.

❖ Increase use and integration of Family Wellness Plans

Accomplishments:

Over the past few months, we updated and released the Family Wellness Plan Initiative issue brief. We also helped to develop a Family Wellness Plan Readiness Checklist for community agencies and providers to use. A companion tool kit is in progress, that will support direct service providers in administering Family Wellness Plans. Finally, we created a three-part education series that was rolled out for service providers in August. The 2nd annual OC Summit will take place on October 24, 2024 to review Orange County's progress on Family Wellness Plans and plan for the coming year's activities.

Challenges:

There is slower-than-expected interest in agencies' willingness and ability to facilitate and provide coordination for Family Wellness Plans.

❖ Increase stakeholder commitment to advance policy for early childhood

Accomplishments:

The Policy team has been coordinating legislative site visits to HealthySteps locations, including with Senator Newman, Senator Umberg, and Assemblymember Valencia since the last report to the First 5 OC Board. We have drafted a resolution template for cities that want to be known for their focus on early childhood. We are in the process of receiving feedback from cross-sector partners on that template and will move forward with seeking city commitment to becoming child friendly cities. The Policy team also continues to monitor and support aligned State, Federal, and Local policies.

Summary of Progress on Strategic Plan Action Plans

October 2024

❖ Increase number of child care providers and quality of current programs

Accomplishments:

Child Care Business Incubator

There have been 34 new graduates of the child care business incubator program who will receive the first stipend for completing the coursework. These were graduates of Cohort 4 of the program. Cohort 5 will kick off October 2, 2024.

Child Care Apprenticeships

Savanna School District is in the process of hiring apprentices, and we are in talks with Centralia and Buena Park districts as well. Additionally, Irvine Valley College reached out to discuss a partnership for a new apprentice program they are starting in their Early Childhood Education department.

Child Care Systems Collaborative

The Systems Collaborative has completed their information/learning sessions and met to prioritize what systems change activity they want to focus on this year. Due to some participants not attending the meeting, the voting has not yet closed but will soon and will provide direction for the group. Additionally, First 5 California's BEELS initiative will push into this workgroup to add an equity lens to the systems change efforts. In October, this group anticipates finalizing a network map and creating plan for systems change priority areas as well as rolling out a communications campaign in a joint effort with all agencies sharing the same message at the same time.

Communications Campaign on Options for Quality Child Care

Staff has worked with our communications team to update the child care page of the website and review Universal Prekindergarten state-wide resources for use on social media.

❖ Develop countywide vision and action plan for continuum of care for Early Relational Health

Accomplishments:

There is an agenda item on the October 2024 First 5 OC agenda describing progress on the Early Relational Health initiative in Orange County and recommending the allocation of additional funding to Health Plus Studio – the consulting firm spearheading these efforts. On September 18, 2024, Health Plus Studio held a targeted visioning session to gather community insights on early relational health in Orange County. This successful event marks the first of several sessions aimed at specific populations throughout the county.

Attachment 2 Summary of Progress on Strategic Plan Action Plans

October 2024

❖ Increase Well-Child Visits, developmental screens and linkage to service

Accomplishments:

Detect & Connect OC

The Steering Group met on September 16, 2024. In addition to completing the Systems Change Questionnaire, we discussed the work that the Steering Group will focus on for the year. We narrowed to two areas, which need to be further delineated for specific activities and members to lead the work: 1) innovative/nontraditional delivery of care to help address waiting lists as well as what caregivers can do while waiting for services like speech/language and autism (e.g., telehealth, IT solutions, AI, etc.); 2) develop a pilot/proof of concept for an approach to developmental screening that can be sustainably implemented within well visits for Medi-Cal. The System of Care workgroup finalized informational materials with tips and information the process for accessing Speech and Language services, which will now be disseminated by Detect & Connect partners, using a phased approach so the materials can continue to be refined based on use in the field. The System of Care Work Group has identified autism as their current area of focus and is working to bring additional partners to the table who are critical to this topic. Detect & Connect OC's other workgroups have not yet met to determine their focus for the year, but will likely continue with developing a countywide data dashboard for well-child visits and screening, and creating shared messaging campaigns.

Speech and Language Services

Since the August F5OC Board meeting, our consultant, Jacqueline Erickson-Russell has had meetings with Orange County colleges/universities to gauge interest in their students providing services to children at sites in the community. We are working on a proposal that would match students with nearby sites that accept Medi-Cal patients and have waiting lists for services. Additionally, we have filmed several video clips of speech pathologists working with young children on speech/language milestones. We are currently completing voice-overs and hope to share this resource for parents soon.

HealthySteps:

Over the past two months First 5 OC consultants have worked closely with ZERO TO THREE (ZTT) and the 10 clinics that were awarded CalOptima Health Dyadic grants to prepare the clinics to implement the HealthySteps program. All clinics have had their 1:1 planning meeting with the ZTT Program Director for California to begin their onboarding process. Four of the clinics were able to complete the onboarding work. The six clinics that have not completed their initial onboarding include the three AltaMed clinics (whose program lead is currently coordinating onboarding calls for all three clinics) and the three independent private practices (they have requested a meeting with a private practice that has implemented HealthySteps, and this meeting scheduled for September 27, 2024).

Summary of Progress on Strategic Plan Action Plans

October 2024

❖ Increase Medi-Cal families' access to support from doulas in Orange County

Accomplishments:

We have intensified our outreach efforts to doulas to promote the utilization of our doula stipend program. Although uptake remains low, we are exploring various initiatives to strengthen the doula workforce in Orange County, which will ultimately enhance the usage of the doula benefit.

❖ Increase number of communities and families that are empowered changemakers and have access to resources

Accomplishments:

Engaged Neighborhoods

All four Engaged Neighborhoods are working on their planned activities for this quarter. At the October First 5 OC Board meeting, there will be a full report on the Engaged Neighborhoods' accomplishments during the first year of their current agreement with First 5 OC, as well as an overview of planned activities for Year 2 which began in July. Of note, the Santa Ana Engaged Neighborhood is implementing the Community Health Worker (CHW) model into their scope of work, with Garden Grove and La Habra Engaged Neighborhoods planning on incorporating CHWs in the near future.

Family Ambassadors

Two workgroups (Civic Engagement and Family Centered Resource Fairs) have begun meeting regularly to brainstorm and plan activities. The Civic Engagement workgroup is finalizing a slide deck on how parents can engage with their cities/governments and advocate for their needs. The Family Centered Resource Fair workgroup is currently planning a service fair for families with children of all abilities, and the idea is to invite partners that can participate with a tangible activity/service for attendees. The group is looking at partnering with the Early Learning Specialists of Centralia School district to have the fair at one of their school sites. Also, one of our Family Ambassadors spoke about his parenting experience during the OC Fatherhood Coalition provider training.

We are planning an in-person meeting in November with the current Family Ambassadors to gather their opinions and thoughts about their experience in the program as we look to future iterations of the Family Ambassador program and what aspects we want to maintain and/or modify.

Attachment 2 Summary of Progress on Strategic Plan Action Plans

October 2024

Challenges:

One of the Engaged Neighborhoods is still working on modifying their budget and scope of work for this fiscal year. This is due to not being able to spend out their budget last year because of staffing issues, requiring a rollover of funds and modification of their scope. Also, one Family Ambassador had to leave their role due to changes in their personal and professional life, which left a vacancy in the parent voice in the Cross Sector Childcare Task Force. We are currently interviewing candidates from our pool of applicants to recruit for the Child Care Task Force and other workgroups.

❖ Increase the Relevance of Early Development Index (EDI)

Accomplishments:

We work with the school districts to collect EDI data once every three years, and this year (2024-25) is the year for collecting new data. In preparation, we have sent an email to all districts, collecting training dates and kindergarten teacher salary information to prepare for stipend payments, as well as connecting with district data teams for student data transfer. In the next months, we will present on the EDI to districts at their monthly meetings to update them on the data collection process and share more about the benefits of having this data with new leaders.

Since our last report to the Board, we presented a master slide deck for the EDI to staff and consultants, provided talking points, and collected information about how EDI is being used for systems change from the group. We will use the feedback from the group to update the slide deck. We have also been exploring ways to include parent perspectives to complement the teacher-implemented EDI.

❖ Create a First 5 OC postnatal strategy for families

Note: this will be a new Action Plan and it is currently under development, so we do not have accomplishments or challenges to report at this time.

❖ Elevate importance of father engagement across First 5 OC and partner programming

Accomplishments:

Since the last report to the F5OC Board, we have brought on a new consultant, Chrystina Rasshan, to support this work. She has been introduced to the OC Fatherhood Coalition Steering Committee and they have agreed to start working on a Collective Impact framework. Also, the OC Fatherhood Coalition, in partnership with First 5 OC, OC Department of Education, and Child Support Services, hosted a webinar titled "Breaking Down Barriers: Addressing Implicit Bias in Fatherhood Programs." More than 25 service providers from Orange and Riverside counties attended the event, gaining valuable

Attachment 2 Summary of Progress on Strategic Plan Action Plans

October 2024

insights into the impact of implicit bias on fathers and learning practical strategies for creating more inclusive programs. The webinar explored the origins of implicit bias and how it can manifest in social services. Participants learned how to identify and address implicit bias within their own organizations and create a more equitable environment for fathers. First 5 OC is invested in educating program staff about this topic because dads are under-engaged in services such as home visiting.

❖ Strengthen School District data-informed engagement and relationship-building with local families and child care

Accomplishments:

Each of the school district early learning teams have met and identified at least one goal working on integrating their Early Childhood Education program into their district. They are also using Early Development Index data to identify activities to make an impact on school readiness, and developing a three-year plan for how they will increase family and community engagement. All these efforts are documented in the districts' Early Childhood Accountability Plans, and Cristina Blevins, who leads the school district work for First 5 OC, is attending check-ins with districts this quarter to discuss their plans.

A technical assistance calendar has been created to plan and pace information sharing and strategy development with early learning leaders throughout the school year. At the next district leaders monthly meeting, First 5 OC's community and family engagement consultants will share strategies and research on impactful engagement for districts to begin to consider how they will increase engagement.

Capistrano, Garden Grove, and Fullerton districts are holding community events and have invited First 5 OC to participate.

California requires every district to create a three-year Local Control and Accountability Plan (LCAP) and update it annually with input from the community. The LCAP outlines how the district will use state funds to improve educational outcomes for students. First 5 OC staff recently assessed LCAP data to understand whether districts are including early childhood in their plans. Of the 25 districts with a kindergarten population, 17 include funding for early childhood programming, with an additional four including it in a limited capacity.

Summary of Progress on Strategic Plan Action Plans

October 2024

❖ Provide technical assistance and capacity building for an Orange County Black Infant Health program

Accomplishments:

We are nearing the execution of a contract with BreastfeedLA. As we have been working to finalize this agreement, we have maintained productive discussions aimed at enhancing Black maternal health services in Orange County.

Challenges:

The ongoing funding deficit poses a significant challenge. We are actively advocating for increased financial support by engaging stakeholders to help us achieve this goal.

❖ Develop clear messaging for First 5 OC to cultivate and leverage partnerships and improve outcomes for young children and families

Accomplishments:

As part of our ongoing perception audit, we have finalized the questions and identified 20 stakeholders that will be interviewed. Invitations and interviews have begun. These interviews will help to develop clear messaging for First 5 OC and how our community understands the work we do.

❖ Implement accountability plan for First 5 OC's Equity Commitment

Accomplishments:

We have finalized the Action Plan for this equity work and will be sharing it with the full program staff at First 5 OC to ensure coordination and integration across internal teams. Since we are kicking off the accountability work, we reissued an invitation to staff and consultants to join the equity workgroup, and several new participants have volunteered to join. Erwin Cox will also share this opportunity with the Family Ambassadors at their meeting to identify any new or renewing participants. The next step in this work is to bring together workgroup with new participants in early October to create smaller workgroups based on the focus and interest of staff, consultants, and families within the desired outcomes.