

SUMMARY ACTION MINUTES

REGULAR MEETING FIRST 5 ORANGE COUNTY, CHILDREN & FAMILIES COMMISSION

Wednesday, February 5, 2020, 9:00 A.M.

Orange County Transportation Authority Conference Center
550 South Main Street
Orange, California

MARIA E. MINON, MD
Chair

RAMIN BASCHSHI, MD
Vice Chair

SANDRA BARRY
Chair Pro Tem

DEBRA BAETZ
Commissioner

DOUG CHAFFEE
Commissioner

JACKIE FILBECK
Commissioner

KENN MCFARLAND
Commissioner

SANDRA PIERCE
Commissioner

RICHARD SANCHEZ
Commissioner

ATTENDANCE: Commissioners Baetz, Barry, Baschshi, Chaffee, Filbeck, McFarland, Minon, Pierce and Sanchez

EXCUSED: None

PRESENT: EXECUTIVE OFFICER
COMMISSION COUNSEL
CLERK OF THE COMMISSION

Kimberly Goll
Cassie Trapesonian
Maria Lopez, Deputy

PLEDGE OF ALLEGIANCE

Pledge of Allegiance led by Commission Counsel Cassie Trapesonian

PRESENTATIONS: (None)

CONSENT CALENDAR: (Item 1)

481235679 **APPROVED AS RECOMMENDED**

X

1. Approve Updated Staff Organizational Chart

SUMMARY ACTION MINUTES

REGULAR ITEMS: (Items 2 - 6)

2. Adopt Resolution Authorizing an Amendment to Agreement with Vista Community Clinic for Dental Transformation Initiative Local Dental Pilot Program

231456789 **APPROVED AS RECOMMENDED**
x *RESO: 20-001 C&FC*

3. Receive presentation on process for FY 2020/21 to FY 2022/23 program funding
RECEIVED AND FILED

4. Adopt resolution authorizing execution of agreements with designated organizations to provide Homeless Prevention services

612345789 **APPROVED AS RECOMMENDED**
RESO: 20-002 C&FC

5. Adopt resolution authorizing execution of agreements with designated organizations to provide Quality Early Learning Services

461235789 **APPROVED AS RECOMMENDED**
A *(Commissioner Filbeck recused herself from discussion and vote on this matter pursuant to Government Code section 1091.3 due to her position as a Board Member with the Anaheim Elementary School District)*
RESO: 20-003 C&FC

6. Adopt resolution authorizing execution of agreements with designated organizations to provide Prenatal to Three services

481235679 **APPROVED AS RECOMMENDED**
A A X *(Commissioner Baetz abstained from discussion and vote on this matter and declared her affiliation with Orange County Social Services Agency; Commissioner Sanchez abstained from discussion on this matter and declared his affiliation with Health Care Agency and Commissioner McFarland recused himself from discussion and vote on this matter pursuant to Government Code section 1091.3 due to his employment with Fountain Valley Regional Hospital)*
RESO: 20-004 C&FC

EXECUTIVE OFFICER REPORT: (Item 7)

7. Receive the Executive Officers Report
- Strategic Communications/Legislative Update
 - First Quarter Investment Report
 - Data Platform Exploration
 - Intergovernmental Transfer Opportunity
 - Staff Recruitment Update
 - Commissioner Recruitment Update

RECEIVED

PUBLIC & COMMISSION COMMENTS:

PUBLIC COMMENTS:

Gina Scott - Oral re: Introduced herself as the new Executive Director of HomeAid Orange County.

SUMMARY ACTION MINUTES

COMMISSION COMMENTS:

Commissioner MacFarland - Oral re: Privileged to be part of this amazing partnership and thanked staff leadership before him.

Commissioner Filbeck - Oral re: Attended Thompson Autism Center Opening. Thanked staff for answering her questions.

Commissioner Chaffee - Oral re: Would like to learn more about EDI

Commissioner Minon - Oral re: Congratulated Pamela Pimentel on her retirement and for her hard work and dedicated services to MOMS Orange County

ADJOURNED: 10:38 A.M.

*** KEY ***

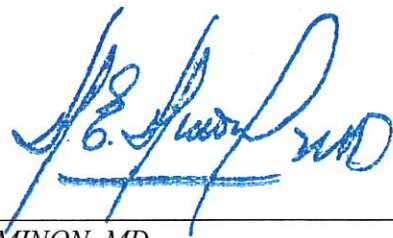
Left Margin Notes

- 1 Debra Baetz
- 2 Sandra Barry
- 3 Ramin Baschshi, MD
- 4 Doug Chaffee
- 5 Jackie Filbeck
- 6 Kenn McFarland
- 7 Maria E. Minon, MD
- 8 Sandra Pierce
- 9 Richard Sanchez

A = Abstained
X = Excused
N = No
C.O. = Commission Order

Reso = Resolution
Ord = Ordinance

(1st number = Moved by; 2nd number = Seconded by)



MARIA E. MINON, MD
Chair



Maria Lopez, Deputy
Clerk of the Commission

**Agenda Item 1**
February 5, 2020**DATE:** February 5, 2020**TO:** First 5 Orange County Children and Families Commission**FROM:** Kimberly Goll, Executive Director**ACTION:** Approve Updated Staff Organizational Chart

SUMMARY:

First 5 Orange County Children and Families Commission recently updated the staff organizational chart to better align with the Strategic Plan. This item seeks approval to update the staff organizational chart to revise the title of Executive Director to President/Chief Executive Officer.

DISCUSSION:

In October 2019, First 5 Orange County received a progress update on the revisions to the staff organizational chart. Various positions were restructured to more closely align with First 5 Orange County's Strategic Plan and to clearly define the staff roles and reporting structure. At the request of Commission Chair Minon, the organizational chart is brought to First 5 Orange County for approval to revise the Executive Director's title to President/Chief Executive Officer.

STRATEGIC PLAN & FISCAL SUMMARY:

The recommended actions have been reviewed in relation to the Strategic Plan and are consistent with all goal areas. There is no funding action proposed for this item.

PRIOR COMMISSION ACTIONS:

- October 2019 Receive Update on Organizational Design
- August 2019 Receive Report on Organizational Design

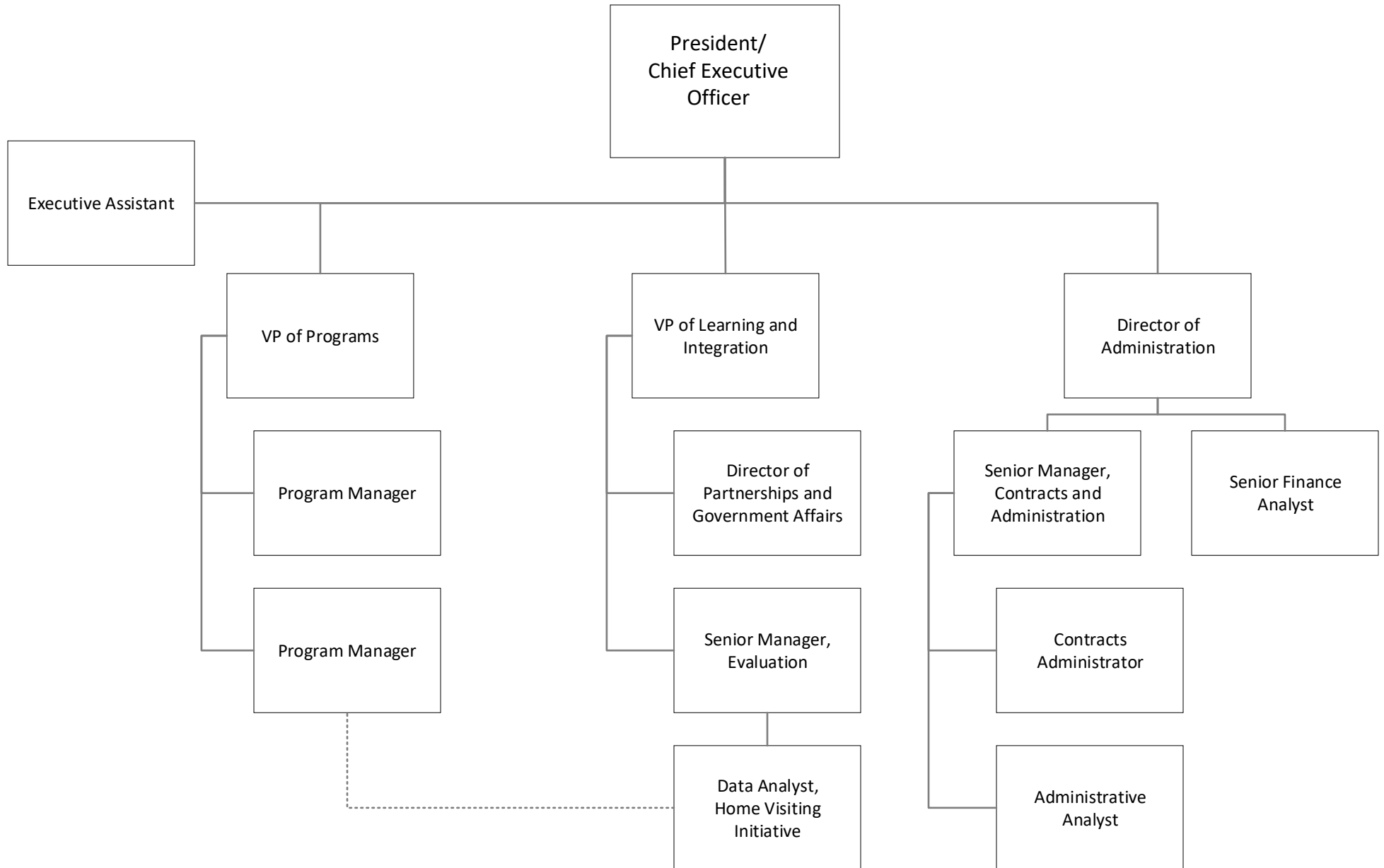
RECOMMENDED ACTION:

- 1.) Approve updated staff organizational chart

ATTACHMENTS

- 1.) Organizational Chart


Contact: Kim Goll



**Agenda Item 2**
February 5, 2020

DATE: January 9, 2020

TO: First 5 Orange County, Children and Families Commission

FROM: Kimberly Goll, Executive Director 

ACTION: Adopt Resolution Authorizing an Amendment to Agreement with Vista Community Clinic for Dental Transformation Initiative Local Dental Pilot Program

SUMMARY:

In September 2016, the First 5 Orange County Children and Families Commission was selected by the California Department of Health Care Services (DHCS), Dental Transformation Initiative, to lead a prevention-focused dental services project for children ages one to 20. This item includes an update on the project and a request to amend the maximum payment obligation for the agreement with one of the Federally Qualified Health Centers (FQHC), in order to transfer unspent unallocated rollover to that FQHC for expanded services.

DISCUSSION:

The Dental Transformation Initiative (DTI) is a State-led Medicaid Demonstration project designed to address persistent service gaps, low utilization of preventive services, and disproportionately high utilization of restorative dental care among children enrolled in Medi-Cal. The DTI aims to improve the health of California's most vulnerable children by promoting preventive care, increasing access, and using performance measures to drive delivery system reform. The goal of the DTI is to improve the Denti-Cal system and its outcomes for children by increasing the use of preventive dental services and enhancing continuity of care.

To date, the funding award for Orange County is \$18,148,315, which includes a total of \$17,406,633 currently budgeted for subcontracts with service partners, and \$741,682 for First 5 Orange County staff and consultants to manage the program. To carry out the scope of work, First 5 subcontracted with four Federally Qualified Health Centers (FQHCs) and Healthy Smiles for Kids of Orange County (Healthy Smiles) to implement the virtual dental home teledentistry model at early learning and K-12 schools throughout the county. The four FQHCs include Central City Community Health Center, Families Together Community Health Center, Serve the People Community Health Center, and Vista Community Clinic (VCC).

Training and services commenced in September 2017, and the project has reached the following milestones:

- A total of six virtual dental home units (i.e., teledentistry plus care coordination) are serving numerous preschools, Head Start programs, elementary schools, and community sites throughout the county. Existing partnerships have expanded, and new agreements are continuously being developed with school districts, housing programs, and community college campuses.

- Five dental forum events have taken place, providing educational opportunities, as well as information regarding participation in the Medi-Cal dental network, to over 290 providers. Two additional dental summits resulted in connections with over 400 dental providers.
- Healthy Smiles implemented a call center that provides direct referrals to families seeking a Medi-Cal dental provider. Working with an information technology vendor, the project developed policies, procedures, and a comprehensive database to ensure efficient services and relevant referrals, with room for future expansion. Providers are currently being credentialed and added to the referral database system.
- Two Federally Qualified Health Centers (Serve the People and Families Together of Orange County) purchased mobile dental units to provide restorative services to VDH patients in community settings. This model was tested in 2019 and found to be extremely effective in ensuring completion of follow-up services.
- Health centers participated in ongoing virtual dental home training with Dr. Paul Glassman of California Northstate University (CNSU) while gaining direct experience in this new delivery model. CNSU will conduct several targeted trainings during 2020 and more specific technical assistance to each FQHC including sustainability planning.
- Healthy Smiles implemented a new teledentistry model that integrates enhanced prevention, outreach, and education. The team also implemented a new *promotores* (community health advisor) model to increase program outreach and efficacy.

Due to savings generated in First 5 Orange County's consulting budget for the program, and the decision by two of the original six FQHCs not to continue with the program, additional unallocated funds are available for carryover to the 2020 program year budget. At the request of DHCS, First 5 Orange County staff worked with the DTI Local Dental Pilot Program partners to determine whether some portion of these unspent funds could be used to further expand services. Vista Community Clinic proposes purchasing a mobile unit at a cost of \$35,000 to provide expanded virtual dental home and restorative services to communities in north Orange County. Authorization is requested to increase the maximum payment obligation for Vista Community Clinic by \$35,000.

STRATEGIC PLAN & FISCAL SUMMARY:

The Dental Transformation Initiative Local Dental Pilot Project has been specifically reviewed in relation to the Commission's Strategic Plan and is consistent with the Comprehensive Health and Development goal area. The Fiscal Year 2020/2021 budget and future years will be amended as necessary to reflect this funding from the California Department of Health Care Services and related program expenses.

PRIOR COMMISSION ACTIONS:

- August 2019 – Authorized amendments to increase the maximum obligation to three health centers, commensurate with the additional funding amount awarded by the California Department of Health Care Services
- August 2018 – Authorized amendments to agreements with Local Dental Pilot Program participating community health centers to include additional equipment

- December 2017 – Received update and authorized subcontracts with designated consultants to provide contract development and compliance, project management, and data management and report services
- August 2017 – Received update and authorized subcontracts with designated community health centers
- October 2016 – Authorized subcontracts with Healthy Smiles for Kids of Orange County, Coalition of Orange County Community Health Centers, and the University of the Pacific Arthur A. Dugoni School of Dentistry
- July 2016 – Received notification of DHCS’ release of Local Dental Pilot Program grant application

RECOMMENDED ACTION:

Adopt resolution (Attachment 1) authorizing the Executive Director, or designee, to amend Agreement No. FCI-DTI-07 with Vista Community Clinic to increase the maximum payment obligation by \$35,000 for a total maximum payment obligation of \$687,871.63 for the term of August 2, 2017 – December 31, 2020.

ATTACHMENTS:

1. Resolution

Contact: Ilia Rolón

CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY

RESOLUTION NO. ____-20-C&FC

February 5, 2020

A RESOLUTION OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY DIRECTING THE EXECUTIVE DIRECTOR AND COMMISSION COUNSEL TO PREPARE AND NEGOTIATE THE FOURTH AMENDMENT TO AGREEMENT NO. FCI-DTI-07 WITH VISTA COMMUNITY CLINIC; AND, AUTHORIZING APPROVAL AND EXECUTION OF SUCH AMENDMENT ON BEHALF OF THE COMMISSION

WHEREAS, in order to facilitate the creation and implementation of an integrated, comprehensive, and collaborative system of information and services to enhance optimal early childhood development, the legislature adopted legislation set forth in the California Children and Families Act of 1998, Health and Safety Code Section 130100, *et seq.* (as amended, the “Act”) implementing the Children and Families First Initiative passed by the California electorate in November, 1998 and establishing the California Children and Families Commission and County Children and Families Commissions, including this Children and Families Commission of Orange County (“Commission”); and

WHEREAS, On August 2, 2017, Commission authorized the Executive Director or designee to prepare and negotiate Agreement No. FCI-DTI-07 (“Agreement”) with Vista Community Clinic to provide virtual dental homes services under the Dental Transformation Initiative;

WHEREAS, The Commission desires to prepare and negotiate a Fourth Amendment (“Amendment”) to the Agreement with Vista Community Clinic (hereinafter referred to as “Contractor”) to provide virtual dental homes services for the terms and in the amount as described in February 5, 2020 staff report for this agenda item; and

WHEREAS, Contractor desires to enter into the Amendment in furtherance of the purposes of the Act and the Strategic Plan on the terms and conditions set forth in the Agreement; and

WHEREAS, Commission has reviewed the staff report for the February 5, 2020 Commission meeting relating to the scopes of services to be provided and hereby finds and determines that the proposed Amendment is in furtherance of and consistent with the Commission’s Strategic Plan; and

WHEREAS, Commission desires to authorize the Commission Chair and Commission Clerk to execute the Amendment with Contractor for the terms and in the amount specified in the February 5, 2020 staff report for this Agenda Item.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMISSIONERS OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AS FOLLOWS:

Section 1 Commission finds and determines the foregoing Recitals are true and correct and are a substantive part of this Resolution.

Section 2 Commission authorizes the Executive Director, or designee, and Commission Counsel to prepare and negotiate the Amendment with the Contractor to provide virtual dental homes

services for the terms and in the amount consistent with the February 5, 2020 staff report and scope of services referenced therein.

Section 3 The form of the Amendment with the Contractor shall be substantially similar to the form of the standard Amendment to a Non-Profit Agreement, subject to minor, non-substantive revisions as reviewed and approved by the Executive Director or designee and Commission Counsel. The approval by the Executive Director or designee of the Amendment shall be conclusively evidenced by the execution of such Amendments by the Commission Chair and delivery thereof to the Commission Clerk.

Section 4 Commission hereby approves the Amendment with Contractor to provide virtual dental homes services for the terms and in the amount specified in the February 5, 2020 staff report for this Agenda Item.

Section 5 The Commission Chair and the Clerk of the Commission are hereby authorized to execute and attest, respectively, the Agreements on behalf of the Commission.

Section 6 A copy of the Amendment to Agreement when executed by the Commission Chair and attested by the Clerk of the Commission shall be appended hereto as a part of Exhibit A to this Resolution. Exhibit A is hereby fully incorporated as a part of this Resolution by this reference and made a part hereof. Each final executed Agreement shall be placed on file in the office of the Clerk of the Commission.

Section 7 In addition to the authorization of Section 2 above, the Executive Director, or designee, is hereby authorized, on behalf of the Commission, (i) to sign all documents necessary and appropriate to carry out and implement the Amendment, (ii) to cause the issuance of warrants, (iii) to administer the Commission's obligations, responsibilities, and duties to be performed under such agreement(s), and (iv) during the term thereof to provide waivers, administrative interpretations, and minor modifications of the provisions of such agreement(s) in the furtherance thereof.

Section 8 The Clerk of the Commission shall certify to the adoption of this Resolution.

The foregoing resolution was passed and adopted by the following vote of the Children and Families Commission of Orange County on February 5, 2020 to wit:

AYES Commissioners: _____

NOES Commissioner(s): _____

EXCUSED Commissioner(s): _____

ABSTAINED Commissioner(s): _____

CHAIR

STATE OF CALIFORNIA)
)
COUNTY OF ORANGE)

I, ROBIN STIELER, Clerk of the Commission of Orange County, California, hereby certify that a copy of this document has been delivered to the Chair of the Commission and that the above and foregoing Resolution was duly and regularly adopted by the Children and Families Commission of Orange County.

IN WITNESS WHEREOF, I have hereto set my hand and seal.

Robin Stieler
Clerk of the Commission, Children and Families
Commission of Orange County, County of Orange,
State of California

Resolution No: __-20-C&FC

Agenda Date: February 5, 2020

Item No. __



I certify that the foregoing is a true and correct copy of the Resolution adopted by the

ROBIN STIELER, Clerk of the Commission

By: _____
Deputy

EXHIBIT A TO RESOLUTION OF COMMISSION

(Attach copy of final executed Amendment)

**Agenda Item 3**
February 5, 2020**DATE:** January 22, 2020**TO:** First 5 Orange County, Children and Families Commission**FROM:** Kimberly Goll, Executive Director**ACTION:** Receive presentation on process for FY 2020/21 to FY 2022/23 program funding**SUMMARY:**

First 5 Orange County has funded organizations that provide homeless prevention, quality early learning, and prenatal-to-three services for young children and their families for many years. This is the third year of the most recent cycle of three-year agreements. Beginning in July 2019, three Commissioner-led panels met multiple times to review program information, receive stakeholder input and make recommendations for program funding for FY 2020/21 to FY 2022/23.

DISCUSSION:

First 5 Orange County staff Kim Goll and Lisa Burke will present information on the process used to develop recommendations for the FY 2020/21 to FY 2022/23 funding cycle. The funding review panels were divided into three categories: Homeless Prevention, Quality Early Learning, and Prenatal-to-Three, and included Commissioners and their appointees. Each panel met multiple times between July 2019 and January 2020, reviewing background information, relevant data, and program evaluation findings. During this time, staff also met with all stakeholders that would be impacted by the proposed funding recommendations.

For the three-year funding cycle from FY 2020/21 to FY 2022/23, First 5 Orange County proposes to invest in each of the three funding categories. Recommended funding totals approximately \$35,000,00 over the three-year period, allocated as follows:

- \$3,750,000 for Homeless Prevention;
- \$17,000,000 for Quality Early Learning; and
- \$14,275,000 for Prenatal-to-Three.

This overall funding, which includes some set asides for future anticipated programming and catalytic funding, can be accommodated within the projected program expenditures of the 10-year Financial Plan, which calls for an aggregate five percent decline in program funding each three-year cycle to match the projected decline in tobacco tax revenue. It also creates approximately \$3 million in annual budget reductions that may be used to offset volatile tobacco tax revenue and for potential system building work in alignment with the Strategic Plan.

In April 2019, First 5 Orange County updated its Strategic Plan, claiming a bold vision that all Orange County children reach their full potential, and outlining new strategic directions to reach that vision including:

- Building committed leaders working for children and families;
- Engaging neighborhoods using Early Development Index results;

- Connecting the systems of care that serve children and families;
- Aligning First 5 Orange County investments for greatest impact using data-informed, mission-driven decision making; and
- Sustaining funding for early childhood services by working to secure new or repurposed funds.

The Commissioner-led panels for each funding category considered the updated Strategic Plan goals and strategic directions as part of their deliberations.

Next Steps

Upon approval by the First 5 Orange County board on recommended funding for FY 2020/21 to FY 2022/23, staff will work with the designated organizations to ensure contracts are in place prior to July 1, 2020. Additionally, staff will work with funded partners to establish performance measures that include program-specific milestones as well as system measures.

STRATEGIC PLAN & FISCAL SUMMARY:

The recommended action is consistent with First 5 Orange County's Strategic Plan.

PRIOR COMMISSION ACTIONS:

- April 2019 - Adoption of the First 5 Orange County Strategic Plan
- February 2017 - Approved Renewal Funding Actions

RECOMMENDED ACTION:

Receive presentation on process for FY 2020/21 to FY 2022/23 program funding.

ATTACHMENT:

1. Funding Process Presentation

Contact: Lisa Burke



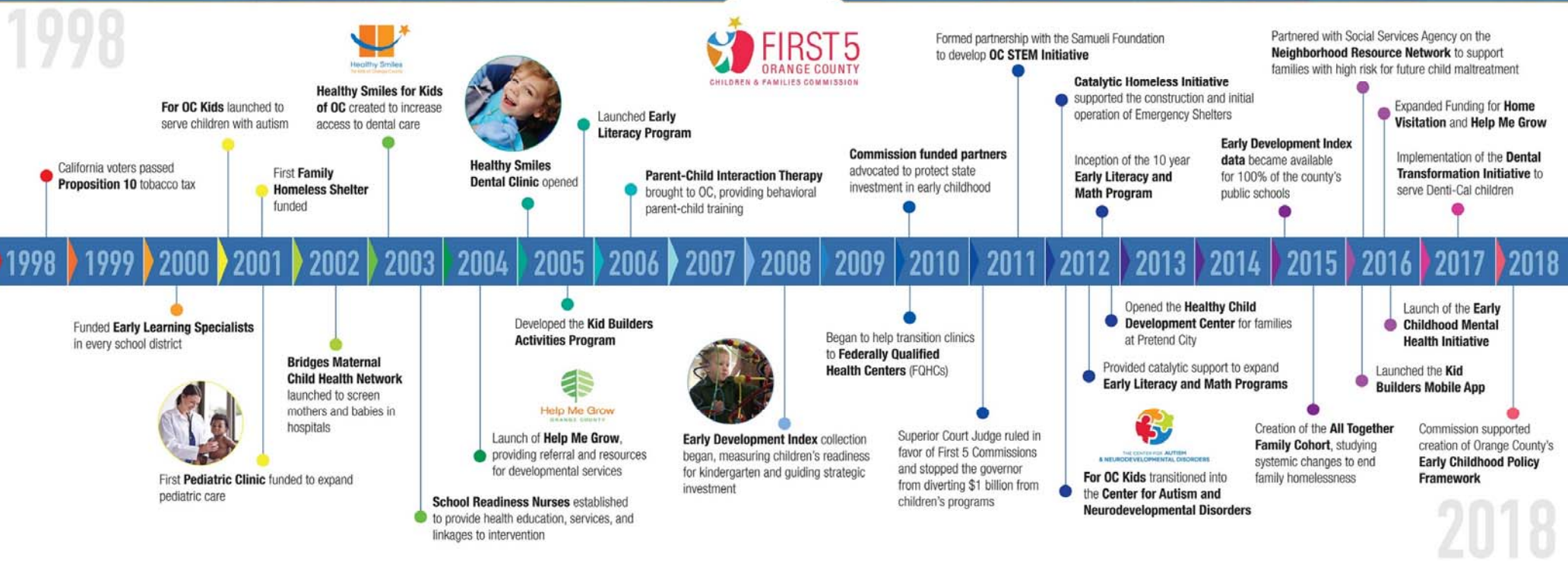
Program Funding Process Overview FY 2020/21 – FY 2022/23



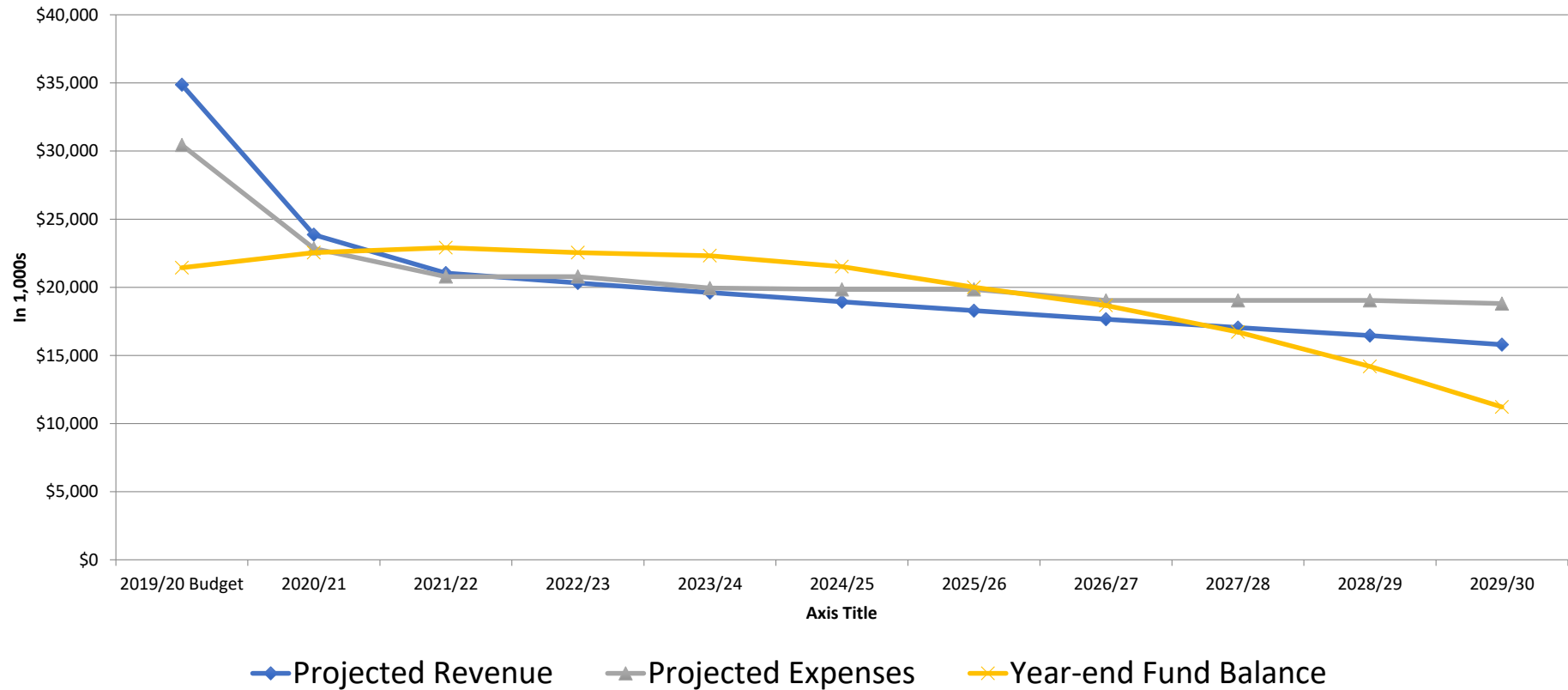


Our Vision: All children reach their full potential

20 Years of Investment in Orange County's Young Children



First 5 Revenue, Expenses and Fund Balance



Programming Recommendations

Total of approximately \$35,000,000 over 3 years, by review panel category

- \$3,750,000 Homeless Prevention
- \$17,000,000 Quality Early Learning
- \$14,275,000 Prenatal-to-Three

Approximately \$3 million annual budget reduction

- To offset volatile revenue
- For potential program expansion in alignment with Strategic Plan

Program Funding Process

Commissioner Engagement

- Multiple Commission-led panel meetings beginning in July 2019

Review of Data and Evaluations

Stakeholder Engagement

- School districts
- Nonprofit partners
- Philanthropic partners
- Hospitals
- Home visiting providers
- Homeless shelter providers

OUR GOALS AND SELECTED HEADLINE OBJECTIVES

Resilient Families Cultivate parenting skills, promote economic stability, and enhance access to services	Quality Early Learning Ensure children have access to quality early learning experiences and environments	Comprehensive Health and Development Promote the overall physical, social, emotional, and intellectual health of young children
Maternal mental health	Kindergarten readiness	Early identification
Family support services	Early care and education quality	Overweight and obesity
Family homelessness	Early care and education access	Dental care

STRATEGIC DIRECTIONS

Committed Leadership	Build community leadership knowledge and action related to early childhood by developing an internal and external leadership voice.
Engaged Neighborhoods	Use Early Development Index (EDI) results to increase awareness and engage neighborhoods in improving outcomes for their young children.
Connected Systems	Facilitate connected, high-functioning early childhood systems.
Aligned Investments	Invest First 5 Orange County resources for greatest impact using data-informed, mission-driven decision making.
Sustained Funding	Work to increase access to new or repurposed sources of funding to sustain or grow early childhood services.

Reflections

- Learnings from the program funding process
- Future adjustments to the Strategic Plan
- Frameworks for decision-making and priority setting
- Roadmap for driving system change


Next Steps

- Contract development
- Performance measurement
 - Program-specific
 - System measures

**Agenda Item 4**
February 5, 2020

DATE: January 22, 2020

TO: First 5 Orange County, Children and Families Commission

FROM: Kimberly Goll, Executive Director 

ACTION: Adopt resolution authorizing agreements with designated organizations to provide Homeless Prevention services

SUMMARY:

First 5 Orange County is in the third year of three-year agreements with organizations that provide Homeless Prevention services for young children and their families. Beginning in July 2019, a Commissioner-led panel met multiple times to review homeless prevention background information, program evaluation and data, receive stakeholder input, and make program funding recommendations for FY 2020/21 to FY 2022/23.

DISCUSSION:**Background**

For the three-year funding cycle from FY 2020/21 to FY 2022/23, First 5 Orange County proposes to invest approximately \$3,750,000 for Homeless Prevention programs. This funding, which includes a set aside for future anticipated programming and catalytic funding, can be accommodated within the projected program expenditures of the 10-year Financial Plan.

In April 2019, First 5 Orange County updated its Strategic Plan, claiming a bold vision that all Orange County children reach their full potential, and outlining new strategic directions to reach that vision including:

- Building committed leaders working for children and families;
- Engaging neighborhoods using Early Development Index results;
- Connecting the systems of care that serve children and families;
- Aligning First 5 Orange County investments for greatest impact using data-informed, mission-driven decision making; and
- Sustaining funding for early childhood services by working to secure new or repurposed funds.

The Commissioner-led panel considered the updated Strategic Plan goals and strategic directions as part of its deliberations.

Funding Panel Considerations

For several years, First 5 Orange County has invested in Homeless Prevention services for children and families, including developing a longstanding partnership with HomeAid Orange County and assisting with operational funding for shelters since 2002. First 5 has also provided capital investment to develop more than 400 family shelter units. In 2016, First 5 began working with a network of family homeless prevention providers to maximize the efficiency and effectiveness of the

overall system of care. The system investments from 2016 have resulted in a robust collaborative of 22 family shelter providers referred to as the Family Solutions Collaborative.

When developing its recommendations for program funding, the Homeless Prevention panel considered the findings from the recent evaluation of the family shelter system. The panel's recommendations support a transition away from the dependency of shelter providers on First 5 funding, and a focus on enhancing the system of homeless services.

In order to provide a smooth transition from First 5 funding, Homeless Prevention funding includes operational support for family emergency and transitional shelters for an additional funding cycle, after which these providers will no longer receive operational funds. Program recommendations also include new housing navigator and diversion programs. The housing navigator program is a nationally recognized practice to reduce the length of shelter stay and identify housing options for homeless families. The diversion program is solely focused on diverting families from entering the homeless system of care.

In summary, funding for the Homeless Prevention category totals \$2,465,000 of programmatic funds, not including set asides. Attachments 2 and 3 are the term sheets for the Homeless Prevention programs, including proposed length of agreements and funding amounts.

STRATEGIC PLAN & FISCAL SUMMARY:

The recommended action is consistent with First 5 Orange County's Strategic Plan. All funding amounts listed in the attached term sheets will be adopted annually in the corresponding fiscal year budget, FY 2020/21 to FY 2022/23.

PRIOR COMMISSION ACTIONS:

- April 2019 - Adoption of the First 5 Orange County Strategic Plan
- February 2017- Approved Renewal Funding Actions

RECOMMENDED ACTION:

Adopt resolution (Attachment 4) authorizing the Executive Director, or designee, and Commission Counsel to prepare and negotiate agreements and amendment to agreements, at Executive Director's sole discretion, with designated organizations to provide Homeless Prevention services for the terms and in the amounts as specified in Attachments 2 and 3.

ATTACHMENTS:

1. Review Panel Recommendations Presentation
2. Homeless Prevention Term Sheet (Catalytic)
3. Homeless Prevention Term Sheet (RFP)
4. Resolution

Contact: Anila Neumeister



Proposed Homeless Prevention Program Funding FY 2020/21 – FY 2022/23



Attachment 1

Programming Recommendations

Total of approximately \$35,000,000 over three years, by review panel category:

- ***\$3,750,000 Homeless Prevention*** ★
- \$17,000,000 Quality Early Learning
- \$14,275,000 Prenatal-to-Three

Homeless Prevention Background

- First 5 has a longstanding history of investing in day-to-day family shelter operations since 2002
- We have a strong partnership with HomeAid Orange County allowing First 5 funding to leverage capital and in-kind support through HomeAid's relationship with the building industry
- First 5 has supported the development of over 400 shelter units for families including adding 66 emergency shelter units since 2012
- Our system investments starting in 2016 have supported:
 - Development of the Family Solutions Collaborative
 - Extensive system performance management and evaluation
 - Establishment of shared shelter guidelines

Homeless Prevention Drivers

- A comprehensive system evaluation that recommended the establishment of diversion services to stop families from becoming homeless and expansion of family focused housing navigation to reduce the time in shelters
- Prioritize investments on the system and not on individual service providers
- Reduce the ongoing demand for First 5 funding for day-to-day shelter operations

Homeless Prevention Aspirations

- Reduce the number of families entering the homeless system of care
- Reduce the average number of days families need shelter
- Increase the number of families that exit to permanent housing
- Maximize efficiency of existing emergency and transitional housing

Shelter Recommendations

Term: Varies by shelter, see term sheet

Total Funding: \$1,765,000

Key Points

- Supports the day to day operations of 7 emergency & transitional shelters
- None of these allocations will be eligible for funding after this funding cycle
- Priority was given based on alignment with and membership in the Family Solutions Collaborative

Housing Navigator Recommendations

Term: 3 years

Total Funding: \$600,000

(\$200,000 annually for three years) to the Family Solutions Collaborative

Key Points

- Dedicated staff to help identify housing options for homeless families
- Navigators are a resource that all members of the Family Solutions Collaborative can access
- Implemented in collaboration with County Coordinated Entry System
- Nationally recognized practice intended to reduce shelter stay

Diversion Program Recommendations

Term: 6 months

Total Funding: \$100,000

6 month planning grant to the Family Solutions Collaborative

- Planning will focus on program design, linkage to Coordinated Entry System, and training
- Revised implementation plan due with consideration for continued funding

Key Points

Solely focused on diverting families from entering the homeless system of care

- Short-term financial assistance
- Case management



Homeless Prevention Panel

Homeless Prevention Catalytic Funding Term Sheet*

Organization/Program	Contract Number	Current Maximum Payment Obligation**	Term	FY 20/21	FY 21/22	FY 22/23	Maximum Payment Obligation	Scope of Work
Pathways of Hope	FCI-HA-01	\$929,000	7/1/14 - 6/30/34	\$125,000	\$100,000	\$75,000	\$1,229,000	Funding for direct services that support the day-to-day operations of a shelter
Mercy House Family Care Center	FCI-HA-07	\$1,357,500	5/1/16 - 6/30/22	\$150,000	\$150,000	\$0	\$1,657,500	Funding for direct services that support the day-to-day operations of a shelter
Family Assistance Ministries	FCI-HA-06	\$1,117,000	7/1/20 - 6/30/23***	\$75,000	\$50,000	\$25,000	\$1,267,000	Funding for direct services that support the day-to-day operations of a shelter
TOTAL				\$350,000	\$300,000	\$100,000	\$4,153,500	

* Funding recommendation is to use the part of the remaining First 5 OC catalytic funding currently held in escrow by HomeAid to supplement operational support for the eligible emergency shelters. The step down funding allows the shelters the time to leverage on-going funding resources while maintaining current service levels. The total funding for these amendments is \$750,000.

** Current maximum obligation includes HomeAid Project Management funding.

*** Contract term for Family Assistant is being extended through June 30, 2023.

Homeless Prevention RFP Funding Term Sheet

Emergency/Transitional Housing Services

Organization/Program	Contract Number	Term	FY 20/21	FY 21/22	FY 22/23	Maximum Payment Obligation	Scope of Work	Comments
Families Forward (for Family Shelter Collaborative -Murphy)	FCI-FSC-01	7/1/20 - 6/30/23	\$90,000	\$90,000	\$90,000	\$270,000	Funding for direct services that supports the day to day operations of a shelter	
Colette's Children's Home	FCI-H4-18	7/1/20- 6/30/23	\$105,000	\$105,000	\$105,000	\$315,000		
Precious Life Shelter	FCI-H7-02	7/1/20 - 6/30/23	\$60,000	\$60,000	\$60,000	\$180,000		
Casa Teresa	FCI-H8-03	7/1/20- 6/30/23	\$250,000	\$0	\$0	\$250,000		
TOTAL			\$505,000	\$255,000	\$255,000	\$1,015,000		

Housing Navigator

Organization/Program	Contract Number	Term	FY 20/21	FY 21/22	FY 22/23	Maximum Payment Obligation	Scope of Work	Comments
Families Forward (for Family Shelter Collaborative)	FCI-FSC-02	7/1/20 - 6/30/21	\$200,000	\$200,000	\$200,000	\$600,000	Funding for dedicated staff to help identify housing options for homeless families	
TOTAL			\$200,000	\$200,000	\$200,000	\$600,000		

Diversion Programs

Families Forward (for Family Shelter Collaborative)	FCI-FSC-03	7/1/20- 6/30/21	\$100,000	TBD	TBD	TBD	Financial assistance and case management support to keep families in housing	Six-month planning grant for concept development. Anticipated date to bring planning grant before Commission is August or October 2020. Funding for years 2 and 3 is dependent on Commission approval of planning grant and allocation of additional funding.
TOTAL			\$100,000	TBD	TBD	TBD		

CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY

RESOLUTION NO. ____-20-C&FC

February 5, 2020

A RESOLUTION OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY DIRECTING THE EXECUTIVE DIRECTOR AND COMMISSION COUNSEL TO PREPARE AND NEGOTIATE AGREEMENTS AND AMENDMENTS WITH ORGANIZATIONS TO PROVIDE HOMELESS PREVENTION, SERVICES AND AUTHORIZING APPROVAL AND EXECUTION OF SUCH AGREEMENTS ON BEHALF OF THE COMMISSION

WHEREAS, in order to facilitate the creation and implementation of an integrated, comprehensive, and collaborative system of information and services to enhance optimal early childhood development, the legislature adopted legislation set forth in the California Children and Families Act of 1998, Health and Safety Code Section 130100, *et seq.* (as amended, the “Act”) implementing the Children and Families First Initiative passed by the California electorate in November, 1998 and establishing the California Children and Families Commission and County Children and Families Commissions, including this Children and Families Commission of Orange County (“Commission”); and

WHEREAS, the Commission desires to prepare and negotiate an Agreement or Amendment with each of the organizations, hereinafter referred to as the “Contractors,” identified in the staff report for the February 5, 2020 Commission meeting, to provide Homeless Prevention services for the terms and in the amounts and on the conditions as specified in Attachments 2 and 3, therein; and

WHEREAS, each of the Contractors desires to enter into their respective Agreements or Amendments in furtherance of the purposes of the Act and the Strategic Plan on the terms and conditions set forth in the applicable Agreements and Amendments; and

WHEREAS, Commission has reviewed the staff report for the February 5, 2020 Commission meeting relating to the scopes of services to be provided and hereby finds and determines that the proposed Agreements and Amendments are in furtherance of and consistent with the Commission’s Strategic Plan; and

WHEREAS, Commission desires to authorize the Commission Chair and Commission Clerk to execute the Agreement or Amendment with each of the Contractors for the terms and in the amounts and on the conditions as specified in Attachments 2 and 3 to the February 5, 2020 staff report for this Agenda Item.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMISSIONERS OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AS FOLLOWS:

Section 1 Commission finds and determines the foregoing Recitals are true and correct and are a substantive part of this Resolution.

Section 2 Commission authorizes the Executive Director, or designee, and Commission Counsel to prepare and negotiate an Agreement or Amendment with each of the Contractors to provide Homeless Prevention services for the terms, in the amounts, and on the conditions as specified in Attachments 2 and 3 to the February 5, 2020 staff report .

Section 3 The form of the Agreements and Amendments with the Contractors shall be substantially similar to the standard form Agreements and Amendments to Agreements, subject to minor, non-substantive revisions as reviewed and approved by the Executive Director, or designee, and Commission Counsel. The approval by the Executive Director, or designee, of the Agreements or Amendments shall be conclusively evidenced by the execution of such Agreement or Amendment by the Commission Chair and delivery thereof to the Commission Clerk.

Section 4 Commission hereby approves the Agreements and Amendments with each of the Contractors to provide Homeless Prevention services for the terms and in the amounts and on the conditions as specified in Attachments 2 and 3 of the February 5, 2020 staff report for this Agenda Item.

Section 5 The Commission Chair and the Clerk of the Commission are hereby authorized to execute and attest, respectively, the Agreements or Amendments on behalf of the Commission.

Section 6 A copy of each Agreement, including Amendments, when executed by the Commission Chair and attested by the Clerk of the Commission shall be appended hereto as a part of Exhibit A to this Resolution. Exhibit A is hereby fully incorporated as a part of this Resolution by this reference and made a part hereof. Each final executed Agreement or Amendment shall be placed on file in the office of the Clerk of the Commission.

Section 7 In addition to the authorization of Section 2 above, the Executive Director, or designee, is hereby authorized, on behalf of the Commission, (i) to sign all documents necessary and appropriate to carry out and implement the Agreements or Amendments, (ii) to cause the issuance of warrants, (iii) to administer the Commission's obligations, responsibilities, and duties to be performed under such agreement(s), and (iv) during the term thereof to provide waivers, administrative interpretations, and minor modifications of the provisions of such Agreements and Amendments in the furtherance thereof.

Section 8 The Clerk of the Commission shall certify to the adoption of this Resolution.

The foregoing resolution was passed and adopted by the following vote of the Children and Families Commission of Orange County on February 5, 2020 to wit:

AYES Commissioners: _____

NOES Commissioner(s): _____

EXCUSED Commissioner(s): _____

ABSTAINED Commissioner(s): _____

CHAIR

STATE OF CALIFORNIA)
)
COUNTY OF ORANGE)

I, ROBIN STIELER, Clerk of the Commission of Orange County, California, hereby certify that a copy of this document has been delivered to the Chair of the Commission and that the above and foregoing Resolution was duly and regularly adopted by the Children and Families Commission of Orange County.

IN WITNESS WHEREOF, I have hereto set my hand and seal.

Robin Stieler

Clerk of the Commission, Children and Families Commission of
Orange County, County of Orange, State of California

Resolution No: ____-20-C&FC

Agenda Date: February 5, 2020

Item No. ____



I certify that the foregoing is a true and correct copy of the
Resolution adopted by the

ROBIN STIELER, Clerk of the Commission

By: _____
Deputy


EXHIBIT A TO RESOLUTION OF COMMISSION

(Attach copy(ies) of final executed Agreements or Amendments)

**Agenda Item 5**
February 5, 2020

DATE: January 22, 2020

TO: First 5 Orange County, Children and Families Commission

FROM: Kimberly Goll, Executive Director 

ACTION: Adopt resolution authorizing agreements with designated organizations to provide Quality Early Learning services

SUMMARY:

First 5 Orange County is in the third year of three-year agreements with organizations that provide quality early learning services for young children and their families. Beginning in July 2019, a Commissioner-led panel met multiple times to review background information, data and program evaluations, receive stakeholder input, and make recommendations for program funding for FY 2020/21 to FY 2022/23.

DISCUSSION:**Background**

For the three-year funding cycle from FY 2020/21 to FY 2022/23, First 5 Orange County proposes to invest approximately \$17,000,000 for Quality Early Learning services. This funding can be accommodated within the projected program expenditures of the 10-year Financial Plan.

In April 2019, First 5 Orange County updated its Strategic Plan, claiming a bold vision that all Orange County children reach their full potential, and outlining new strategic directions to reach that vision including:

- Building committed leaders working for children and families;
- Engaging neighborhoods using Early Development Index (EDI) results;
- Connecting the systems of care that serve children and families;
- Aligning First 5 Orange County investments for greatest impact using data-informed, mission-driven decision making; and
- Sustaining funding for early childhood services by working to secure new or repurposed funds.

The Commissioner-led panel considered the updated Strategic Plan goals and strategic directions as part of its deliberations.

Funding Panel Considerations

When making recommendations for the Quality Early Learning category, the panel considered the importance of leveraging First 5's historical partnership with every school district in Orange County with a kindergarten population. Also, for the first time ever, an evaluation was completed for First 5-funded school readiness programs, and the review panel considered these findings as part of its program assessment.

The evaluation found that First 5's significant investments in school districts and the Orange County Department of Education for more than 15 years has generated positive outcomes for children and families. Importantly, this work included collection of EDI data, resulting in comprehensive countywide data on children's readiness for kindergarten. While much has been accomplished, the data reveals that nearly half of Orange County kindergartners are not ready to learn when they start school and would benefit greatly from interventions to improve their health and developmental outcomes.

The new scope of work for the Kindergarten Readiness Initiative includes a formal early childhood leadership team at school districts, a focus on the whole child and increased access to family supports, targeted outreach to private, faith based and family, friend and neighbor child care providers, and strategic use of EDI data for district planning and outreach.

Another consideration that factored into the Quality Early Learning panel recommendations was the Strategic Plan goal of increasing neighborhood engagement. Again, the review panel considered EDI data, along with opportunities to leverage partnerships and funding, and recommends allocating funds for Engaged Neighborhood work including increasing awareness of the importance of early childhood, building committed community leadership, creating engagement opportunities, and providing technical assistance. Funding for the more narrowly focused Early Literacy and Math Program will be phased out over the next three years as the broader Engaged Neighborhood work ramps up.

In summary, the Quality Early Learning category includes the Kindergarten Readiness Initiative, Early Literacy and Math Program, and Engaged Neighborhood work. Total three-year proposed funding for this category is \$17,008,000. Panel recommendations include an overall future budget reduction resulting from the following factors:

- A 10% reduction in Kindergarten Readiness Initiative programming
- Conclusion of the Early Literacy and Math program catalytic funding to Think Together in July 2022.
- Conclusion of the AmeriCorps program cash match in 2021, as First 5 Association closes their AmeriCorps program.

Attachment 2, 3 and 4 are the term sheets for the Quality Early Learning category, including length of agreements and funding amount

STRATEGIC PLAN & FISCAL SUMMARY:

The recommended action is consistent with First 5 Orange County's Strategic Plan. All funding amounts listed in the attached term sheets will be adopted annually in the corresponding fiscal year budget, FY 2020/21 to FY 2022/23.

PRIOR COMMISSION ACTIONS:

April 2019 - Adoption of the First 5 Orange County Strategic Plan
February 2017 – Approved Renewal Funding Actions

RECOMMENDED ACTION:

Adopt resolution (Attachment 5) authorizing the Executive Director, or designee, and Commission Counsel to prepare and negotiate agreements, at Executive Director's sole discretion, with designated organizations to provide Quality Early Learning services for the terms and in amounts as specified in Attachments 2, 3 and 4.

ATTACHMENTS:

1. Review Panel Recommendations Presentation
2. Quality Early Learning- Kindergarten Readiness Initiative Term Sheet
3. Quality Early Learning- Early Literacy and Math Programs Term Sheet
4. Quality Early Learning - Engaged Neighborhood Term Sheet
5. Resolution

Contact: Lisa Burke



Proposed Quality Early Learning Program Funding FY 2020/21 – FY 2022/23



Attachment 1

Programming Recommendations

Total of approximately \$35,000,000 over 3 years, by review panel category

- \$3,750,000 Homeless Prevention
- ***\$17,000,000 Quality Early Learning*** ★
- \$14,275,000 Prenatal-to-Three

Kindergarten Readiness Initiative Background

- First 5 has invested significantly in school districts and the Orange County Department of Education for more than 15 years
 - Increased funding for state preschool
 - Majority of districts have an early learning department
- The Early Development Index has been collected for over 10 years
 - Comprehensive countywide data on children's readiness for kindergarten

Kindergarten Readiness Initiative Drivers

- Desire to leverage historical partnerships with all school districts
- School districts are the platform for collection of Early Development Index (EDI) data
- EDI data shows nearly half of children are not ready for kindergarten
- School readiness evaluation identified gaps and opportunities for program improvement

Kindergarten Readiness Aspirations

- The Early Development Index data is embedded in school district policies and measurements
- Districts serve as a bridge between the K-12 school system and community preschool programs and family, friend and neighbor caregivers
- More faith based, private and family friend and neighbor programs offer quality early childhood experiences
- Districts see their role as one of the first points of intervention and services for families with young children and consider the whole child

Kindergarten Readiness Initiative Recommendations

Term: 3 years

Total Funding: \$15,708,000

25 School Districts & Orange County Department of Education

Key Points

- EDI: use EDI data for strategic outreach and planning
- Quality Improvement: actively engage with private, faith based and family, friend and neighbor providers
- Leadership: create a formalized district early childhood leadership team
- Whole Child and Family Support: increase access to early interventions, health, economic support, parent education and other family support
- Sustained services: maximize all fiscal leveraging opportunities

Engaged Neighborhoods Initiative Background

- Increased focus on neighborhood work
- First 5 partners with the Orange County Community Foundation to build a continuum of services for prenatal to 3rd grade
- First 5 helped launch and now serves on Early Childhood OC to support countywide early childhood outreach

Engaged Neighborhoods Initiative Driver

- EDI data reveals neighborhoods with the most vulnerable children
- Need to increase the alignment of resources to provide technical assistance and funding for community engagement
- Existing partnerships can be leveraged to help build awareness and committed leadership

Engaged Neighborhoods Initiative Aspirations

- Communities use the Early Development Index data to set goals and measure progress on young children and families
- Additional philanthropic funding is provided to strengthen communities and align resources
- There are community and countywide movements to raise awareness about the importance and urgency of improving early childhood outcomes
- The achievement gap is narrowed because of community engagement and strengthening of school readiness

Engaged Neighborhoods Initiative Recommendations

Term: 1 year

Total Funding: \$235,000

Anaheim Elementary School District, La Habra City School District, Early Childhood OC, and the Santa Ana Early Learning Initiative

Key Points

- Engage neighborhoods with the Early Development Index data
- Build the capacity of the neighborhoods to advocate for their young children
- Build widespread community awareness and support of the importance of early childhood health and development

Early Literacy and Math Programs

Background

- In 2012 Think Together received a catalytic investment to implement countywide Early Literacy and Math programs

Drivers

- Program does not align with identified EDI vulnerable neighborhoods
- Program too limited in scope for alignment with Strategic Plan

Early Literacy and Math Programs Recommendations

Think Together

Term: 2 years

Total Funding: \$500,000

Continue to fund though the catalytic agreement in 2022

AmeriCorps

Term: 1 year

Total Funding: \$565,000

Conclude the cash match of the AmeriCorps program in June of 2021 in alignment with First 5 Association.

Fund the final year of AmeriCorps' cash match to support Think Together's Center Based program



Quality Early Learning Panel

Kindergarten Readiness Initiative Term Sheet

School District	Contract Number	Term	FY 20/21*	FY 21/22*	FY 22/23*	Maximum Payment Obligation	Scope of Work
Anaheim Elementary School District	FCI-SD4-01	7/1/20-6/30/23	\$390,200	\$337,600	\$337,600	\$1,065,400	School districts will reach its most vulnerable children ages 0-5 by collecting EDI results; promote a countywide agenda for young children; develop connections with private preschools and family care; and use data to evaluate long term outcomes and coordinate services with K-12 systems.
Brea Olinda Unified School District	FCI-SD4-02	7/1/20-6/30/23	\$91,700	\$84,400	\$84,400	\$260,500	
Buena Park School District	FCI-SD4-03	7/1/20-6/30/23	\$133,200	\$123,800	\$123,800	\$380,800	
Capistrano Unified School District	FCI-SD4-04	7/1/20-6/30/23	\$380,000	\$337,600	\$337,600	\$1,055,200	
Centralia School District	FCI-SD4-05	7/1/20-6/30/23	\$135,800	\$123,800	\$123,800	\$383,400	
Cypress School District	FCI-SD4-06	7/1/20-6/30/23	\$93,800	\$84,400	\$84,400	\$262,600	
Fountain Valley School District	FCI-SD4-07	7/1/20-6/30/23	\$138,400	\$129,400	\$129,400	\$397,200	
Fullerton School District	FCI-SD4-08	7/1/20-6/30/23	\$240,000	\$213,800	\$213,800	\$667,600	
Garden Grove Unified School District	FCI-SD4-09	7/1/20-6/30/23	\$407,600	\$337,600	\$337,600	\$1,082,800	
Huntington Beach City School District	FCI-SD4-10	7/1/20-6/30/23	\$181,900	\$168,800	\$168,800	\$519,500	
Irvine Unified School District	FCI-SD4-11	7/1/20-6/30/23	\$338,900	\$298,200	\$298,200	\$935,300	
Laguna Beach Unified School District	FCI-SD4-12	7/1/20-6/30/23	\$89,100	\$84,400	\$84,400	\$257,900	
La Habra City School District	FCI-SD4-13	7/1/20-6/30/23	\$211,400	\$208,200	\$208,200	\$627,800	
Lowell Joint School District	FCI-SD4-15	7/1/20-6/30/23	\$89,100	\$84,400	\$84,400	\$257,900	
Los Alamitos Unified School District	FCI-SD4-14	7/1/20-6/30/23	\$141,900	\$129,400	\$129,400	\$400,700	
Magnolia School District	FCI-SD4-16	7/1/20-6/30/23	\$182,400	\$168,800	\$168,800	\$520,000	
Newport-Mesa Unified School District	FCI-SD4-17	7/1/20-6/30/23	\$234,900	\$213,800	\$213,800	\$662,500	
Ocean View School District	FCI-SD4-18	7/1/20-6/30/23	\$181,000	\$168,800	\$168,800	\$518,600	
Orange Unified School District	FCI-SD4-19	7/1/20-6/30/23	\$331,200	\$292,600	\$292,600	\$916,400	
Placentia-Yorba Linda Unified School District	FCI-SD4-20	7/1/20-6/30/23	\$231,700	\$213,800	\$213,800	\$659,300	
Saddleback Valley Unified School District	FCI-SD4-21	7/1/20-6/30/23	\$322,700	\$292,600	\$292,600	\$907,900	
Santa Ana Unified School District	FCI-SD4-22	7/1/20-6/30/23	\$469,600	\$382,600	\$382,600	\$1,234,800	
Savanna School District	FCI-SD4-23	7/1/20-6/30/23	\$88,900	\$84,400	\$84,400	\$257,700	
Tustin Unified School District	FCI-SD4-24	7/1/20-6/30/23	\$241,700	\$213,800	\$213,800	\$669,300	
Westminster School District	FCI-SD4-25	7/1/20-6/30/23	\$229,300	\$213,800	\$213,800	\$656,900	
Orange County Superintendent of Schools (OCDE)	FCI-SD4-26	7/1/20-6/30/23	\$50,000	\$50,000	\$50,000	\$150,000	
TOTAL			\$5,626,400	\$5,040,800	\$5,040,800	\$15,708,000	

* Annual allocations may vary subject to contract negotiations.

Early Literacy and Math Programs Term Sheet

Organization/Program	Contract Number	Term	FY 20/21	FY 21/22	Maximum Payment Obligation	Scope of Work
Prevent Child Abuse California	FCI-PAC-21	8/16/20- 8/15/21	\$565,000	N/A	\$565,000	Match funding for Orange County AmeriCorp members to provide early literacy and math center-based programs.
THINK Together	FCI-EL-07	7/1/20- 6/30/22	\$250,000	\$250,000	\$500,000	Provide county-wide early literacy and math programs.
TOTAL			\$815,000	\$250,000	\$1,065,000	

Engaged Neighborhood Term Sheet

CONTRACTOR	Contract Number	Term	Maximum Payment Obligation	Scope of Work
Charitable Ventures of Orange County (for Early Childhood OC)	FCI-ECF-02	7/1/20-6/30/21	\$50,000	Promote early childhood policies and provide technical assistance to communities across Orange County
Chartable Ventures of Orange County (for Santa Ana Early Learning Initiative)	FCI-CB-07	7/1/20-6/30/21	\$85,000	Building the capacity of Santa Ana residents to advocate for young children and align community resources
Anaheim Elementary School District	FCI-OCR-04	7/1/20-6/30/21	\$50,000	Promote city-wide early childhood policy agenda and awareness in Anaheim on the importance of early care and education
La Habra City School District	FCI-OCR-05	7/1/20-6/30/21	\$50,000	Educate families and community partners regarding the importance of early childhood and align community resources.
TOTAL			\$235,000	

CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY

RESOLUTION NO. ____-20-C&FC

February 5, 2020

A RESOLUTION OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY DIRECTING THE EXECUTIVE DIRECTOR AND COMMISSION COUNSEL TO PREPARE AND NEGOTIATE AGREEMENTS WITH ORGANIZATIONS TO PROVIDE QUALITY EARLY LEARNING SERVICES AND AUTHORIZING APPROVAL AND EXECUTION OF SUCH AGREEMENTS ON BEHALF OF THE COMMISSION

WHEREAS, in order to facilitate the creation and implementation of an integrated, comprehensive, and collaborative system of information and services to enhance optimal early childhood development, the legislature adopted legislation set forth in the California Children and Families Act of 1998, Health and Safety Code Section 130100, *et seq.* (as amended, the “Act”) implementing the Children and Families First Initiative passed by the California electorate in November, 1998 and establishing the California Children and Families Commission and County Children and Families Commissions, including this Children and Families Commission of Orange County (“Commission”); and

WHEREAS, the Commission desires to prepare and negotiate an Agreement with each of the organizations, hereinafter referred to as the “Contractors,” identified in the staff report for the February 5, 2020 Commission meeting, to provide Quality Early Learning services for the terms and in the amounts and on the conditions as specified in Attachments 2, 3, and 4 therein; and

WHEREAS, each of the Contractors desires to enter into their respective Agreements in furtherance of the purposes of the Act and the Strategic Plan on the terms and conditions set forth in the applicable Agreements; and

WHEREAS, Commission has reviewed the staff report for the February 5, 2020 Commission meeting relating to the scopes of services to be provided and hereby finds and determines that the proposed Agreements are in furtherance of and consistent with the Commission’s Strategic Plan; and

WHEREAS, Commission desires to authorize the Commission Chair and Commission Clerk to execute the Agreement with each of the Contractors for the terms and in the amounts and on the conditions as specified in Attachments 2, 3, and 4 to the February 5, 2020 staff report for this Agenda Item.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMISSIONERS OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AS FOLLOWS:

Section 1 Commission finds and determines the foregoing Recitals are true and correct and are a substantive part of this Resolution.

Section 2 Commission authorizes the Executive Director, or designee, and Commission Counsel to prepare and negotiate an Agreement with each of the Contractors to provide Quality Early Learning services for the terms, in the amounts, and on the conditions as specified in Attachments 2,3, and 4 of the February 5, 2020 staff report.

Section 3 The form of the Agreements with the Contractors shall be substantially similar to the standard form Agreements, subject to minor, non-substantive revisions as reviewed and approved by the Executive Director, or designee, and Commission Counsel. The approval by the Executive Director, or designee, of the Agreements shall be conclusively evidenced by the execution of such Agreement by the Commission Chair and delivery thereof to the Commission Clerk.

Section 4 Commission hereby approves the Agreements with each of the Contractors to provide Quality Early Learning services for the terms and in the amounts and on the conditions as specified in Attachments, 2, 3, and 4 of the February 5, 2020 staff report for this Agenda Item.

Section 5 The Commission Chair and the Clerk of the Commission are hereby authorized to execute and attest, respectively, the Agreements on behalf of the Commission.

Section 6 A copy of each Agreement when executed by the Commission Chair and attested by the Clerk of the Commission shall be appended hereto as a part of Exhibit A to this Resolution. Exhibit A is hereby fully incorporated as a part of this Resolution by this reference and made a part hereof. Each final executed Agreement shall be placed on file in the office of the Clerk of the Commission.

Section 7 In addition to the authorization of Section 2 above, the Executive Director, or designee, is hereby authorized, on behalf of the Commission, (i) to sign all documents necessary and appropriate to carry out and implement the Agreements to cause the issuance of warrants, (iii) to administer the Commission's obligations, responsibilities, and duties to be performed under such agreement(s), and (iv) during the term thereof to provide waivers, administrative interpretations, and minor modifications of the provisions of such Agreement in the furtherance thereof.

Section 8 The Clerk of the Commission shall certify to the adoption of this Resolution.

The foregoing resolution was passed and adopted by the following vote of the Children and Families Commission of Orange County on February 5, 2020 to wit:

AYES Commissioners: _____

NOES Commissioner(s): _____

EXCUSED Commissioner(s): _____

ABSTAINED Commissioner(s): _____

CHAIR

STATE OF CALIFORNIA)
)
COUNTY OF ORANGE)

I, ROBIN STIELER, Clerk of the Commission of Orange County, California, hereby certify that a copy of this document has been delivered to the Chair of the Commission and that the above and foregoing Resolution was duly and regularly adopted by the Children and Families Commission of Orange County.

IN WITNESS WHEREOF, I have hereto set my hand and seal.

Robin Stieler

Clerk of the Commission, Children and Families Commission of
Orange County, County of Orange, State of California

Resolution No: __-20-C&FC

Agenda Date: February 5, 2020

Item No. __



I certify that the foregoing is a true and correct copy of the
Resolution adopted by the

ROBIN STIELER, Clerk of the Commission

By: _____
Deputy


EXHIBIT A TO RESOLUTION OF COMMISSION

(Attach copy(ies) of final executed Agreements)

**Agenda Item 6**
February 5, 2020

DATE: January 22, 2020

TO: First 5 Orange County, Children and Families Commission

FROM: Kimberly Goll, Executive Director 

ACTION: Adopt resolution authorizing agreements with designated organizations to provide Prenatal-to-Three services

SUMMARY:

First 5 Orange County is in the third year of three-year agreements with organizations that provide Prenatal-to-Three services for young children and their families. Beginning in July 2019, a Commissioner-led panel met multiple times to review prenatal-to-three program models, evaluation findings, and data; receive stakeholder input; and make program funding recommendations for FY 2020/21 to FY 2022/23.

DISCUSSION:**Background**

For the three-year funding cycle from FY2020/21 to FY2022/23, First 5 Orange County proposes to invest approximately \$14,275,000 for Prenatal-to-Three programs. The recommended amount can be accommodated within the projected program expenditures of the 10-year Financial Plan, which calls for an aggregate five percent decline in program funding each three-year cycle to match the projected decline in tobacco tax revenue.

The Commissioner-led Prenatal-to-Three panel was largely guided by the updated Strategic Plan goals and strategic directions in its deliberations. In April 2019, First 5 Orange County updated its Strategic Plan, articulating a bold vision that all Orange County children reach their full potential, and outlining new strategic directions to reach that vision, including:

- Building committed leaders working for children and families;
- Engaging neighborhoods using Early Development Index results;
- Connecting the systems of care that serve children and families;
- Aligning First 5 Orange County investments for greatest impact using data-informed, mission-driven decision making; and
- Sustaining funding for early childhood services through new or repurposed funds.

Funding Panel Considerations

The Prenatal-to-Three funding category includes the Bridges Maternal Child Health Network and the Early Childhood System of Care. The Bridges Network comprises hospitals and home visiting providers, including the Neighborhood Resource Network, that together reach nearly 70% of all births in the county. The Early Childhood System of Care promotes early identification of developmental concerns and linkage to appropriate services for children in foster care.

The Prenatal-to-Three review panel considered a multitude of factors to formulate its recommendations. For the early outreach and referral component of Bridges, the panel considered

each hospital's proportional access to vulnerable populations and its program performance. Additional factors included the significant shifts in the distribution of births among the hospitals that have occurred over the past 10 years; evaluation analyses that confirmed both the important and unique role of hospitals in reaching at-risk families; and the validity of the screening process as a means of identifying children at increased risk for maltreatment.

With respect to the home visiting component of the Bridges Network, the panel considered program evaluation results that indicated significant improvements in parenting behaviors among clients who complete home visiting as well as the ability to further leverage the existing service platform. The panel also reflected on the opportunity to expand Bridges to serve as the backbone of an expanded Prenatal-to-Three system for the county. The existing infrastructure encompasses both community and County-based service providers and a shared information system for bidirectional communication. As an example, the Bridges Network was recently selected to implement the County's CalWORKs Home Visiting Program, thereby increasing prenatal-to-three services and linkages available to vulnerable families in the county. The panel also took into account the fact that strong early relational health is the first opportunity to build resilient families, and the need in Orange County for more support and engagement of families affected by substance use disorder.

Recommended funding for the Prenatal-to-Three category totals \$14,274,000. This includes level funding for hospitals and home visiting providers. A single year of funding is proposed for the Early Childhood System of Care, as the program is evolving to better align with the Strategic Plan.

Attachment 1 presents the recommendations of the Prenatal-to-Three review panel, including length of agreements and funding amounts by program. A corresponding term sheet is also attached.

STRATEGIC PLAN & FISCAL SUMMARY:

The recommended action is consistent with First 5 Orange County's Strategic Plan. All funding amounts listed in the attached term sheet will be adopted annually in the corresponding fiscal year budget, FY 2020/21 to FY 2022/23.

PRIOR COMMISSION ACTIONS:

- April 2019 – Adoption of the First 5 Orange County Strategic Plan
- February 2017 – Approved Renewal Funding Actions

RECOMMENDED ACTIONS:

Adopt resolution (Attachment 3) authorizing the Executive Director, or designee, and Commission Counsel to prepare and negotiate agreements at Executive Director's sole discretion with designated organizations, to provide services for the terms and in the amounts as specified in Attachment 2.

ATTACHMENTS:

1. Review Panel Recommendations Presentation
2. Prenatal-to-Three Term Sheet
3. Resolution

Contact: Ilia Rolón



Proposed Prenatal-to-Three Program Funding FY 2020/21 – FY 2022/23



Attachment 1

Programming Recommendations

Total of approximately \$35,000,000 over three years, by review panel category

- \$3,750,000 Homeless Prevention
- \$17,000,000 Quality Early Learning
- ***\$14,275,000 Prenatal-to-Three*** ★

Prenatal-to-Three Initiative Background

- Bridges Maternal Child Health Network is the centerpiece of the Commission's Prenatal-to-Three (PN3) investments
- Home visiting services help increase resilience among vulnerable families
- Hospital partnerships yield value apart from referrals to home visiting
- Bridges Connect system yields important population-level data

Prenatal-to-Three Initiative Drivers

- Significant shifts in birthing volumes and access to vulnerable populations
- Families need additional points of entry to PN3 system and ways to access services
- National focus on starting earlier to improve child outcomes – Bridges provides most “upstream” opportunity to help build resilient families
- Need for more support and engagement of families affected by substance use disorder
- Opportunity to expand Bridges to serve as backbone of an expanded PN3 system for the county

Prenatal-to-Three Initiative Aspirations

- A common vision and shared measures among key stakeholders
- A continuum of services that keeps parents/caregivers engaged and connected beyond participation in any single program
- More mothers at high risk for perinatal mood and anxiety disorders identified and linked with services
- Reduced exposure to trauma and maltreatment

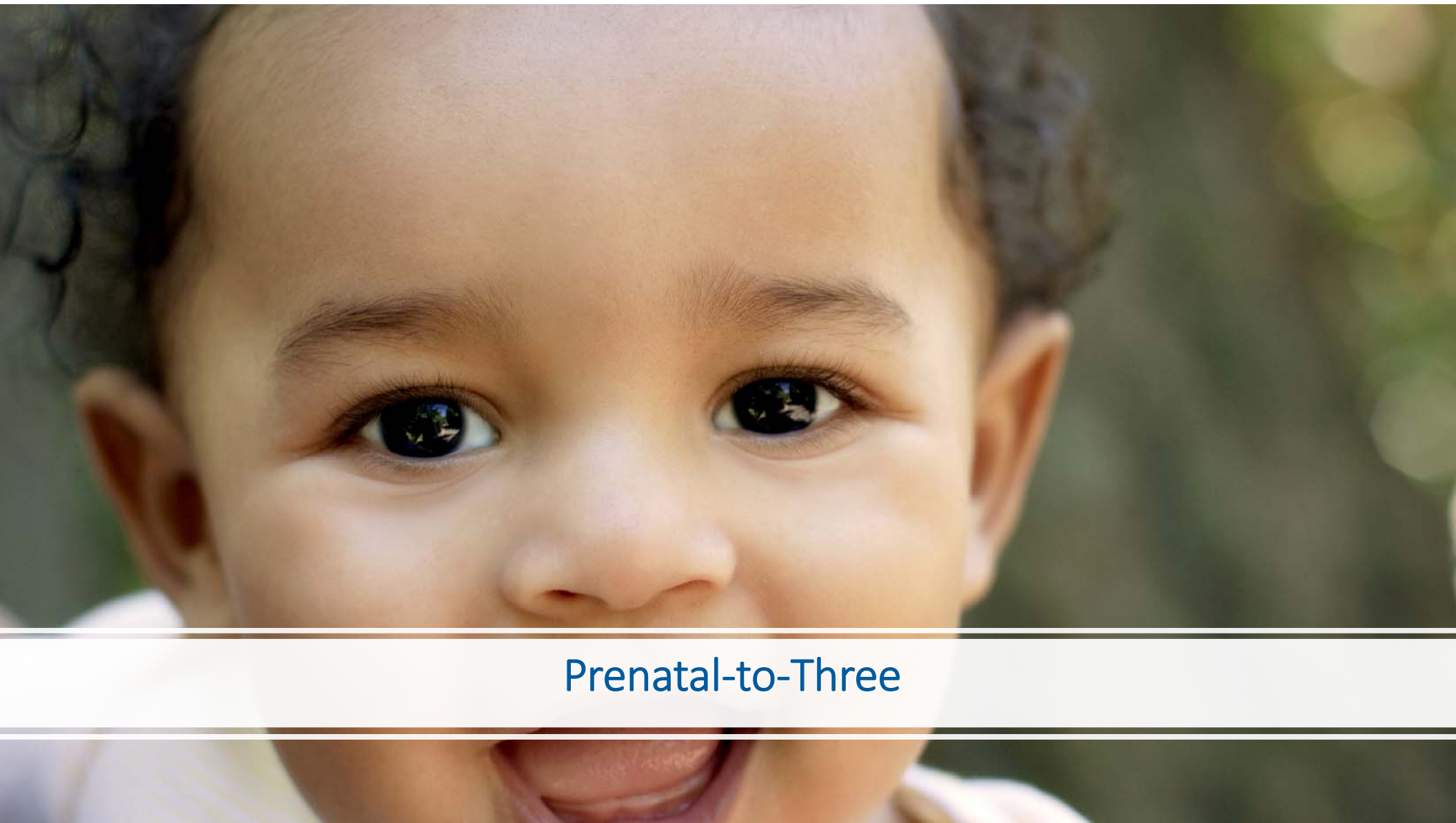
Bridges Maternal Child Health Network Recommendations

Term: 3 years

Total Funding: \$14,274,000

Key Points

- Neighborhood Resource Network (NRN) folded in as service option for families at highest risk of child maltreatment
- Level funding for home visiting agreements
- Total hospital allocation remains level; per hospital amounts allocated more equitably



Prenatal-to-Three

Prenatal-To-Three Term Sheet

Early Outreach and Referral Services

Organization/Program	Contract Number	Term	FY 20/21	FY 21/22	FY 22/23	Maximum Payment Obligation	Scope of Work	Comments
AHMC Anaheim Regional Medical Center	FCI-BN4-02	7/1/20 - 6/30/23	\$100,000	\$100,000	\$100,000	\$300,000	Provide prenatal outreach, distribution of the Kit for New Parents, selected bedside screening and referral as needed for children/families identified through screening.	Hospitals already participating in Medi-Cal Administrative Activities (MAA) Medicaid reimbursement programs, continue participation as appropriate to the scope. All hospitals: Participate in Bridges continuous quality improvement and program evaluation activities. Align referral rates to CDN risk distribution findings.
South Coast Global Medical Center	FCI-BN4-03	7/1/20- 6/30/23	\$91,500	\$91,500	\$91,500	\$274,500		
Fountain Valley Regional Hospital and Medical Center	FCI-BN4-04	7/1/20 - 6/30/23	\$170,000	\$170,000	\$170,000	\$510,000		
Prime Healthcare Services – Garden Grove, LLC, dba Garden Grove Hospital & Medical Center	FCI-BN4-05	7/1/20- 6/30/23	\$114,500	\$114,500	\$114,500	\$343,500		
Hoag Memorial Hospital Presbyterian	FCI-BN4-06	7/1/20 - 6/30/23	\$135,000	\$135,000	\$135,000	\$405,000		
Mission Hospital Regional Medical Center	FCI-BN4-07	7/1/20- 6/30/23	\$130,000	\$130,000	\$130,000	\$390,000		
St Joseph Hospital of Orange	FCI-BN4-08	7/1/20 - 6/30/23	\$229,000	\$229,000	\$229,000	\$687,000		
St Jude Hospital, Inc. dba St Jude Medical Center	FCI-BN4-09	7/1/20- 6/30/23	\$80,000	\$80,000	\$80,000	\$240,000		
Anaheim Global Medical Center	FCI-BN4-10	7/1/20 - 6/30/23	\$95,000	\$95,000	\$95,000	\$285,000		
Orange County Global Medical Center	FCI-BN4-11	7/1/20- 6/30/23	\$135,000	\$135,000	\$135,000	\$405,000		
TOTAL			\$1,280,000	\$1,280,000	\$1,280,000	\$3,840,000		

Home Visiting Services

Organization/Program	Contract Number	Term	FY 20/21	FY 21/22	FY 22/23	Maximum Payment Obligation	Scope of Work	Comments
Children's Bureau of Southern California*	FCI-BN4-12	7/1/20 - 6/30/23	\$870,000	\$870,000	\$870,000	\$2,610,000	Provide family support and case management services for families with children aged birth through five years as part of the Bridges Network with a focus on the prenatal to three period. Scope includes voluntary services to families at risk for future substantiated child abuse reports.	Continue participation in TCM, Bridges continuous improvement and program evaluation activities. Integrates Neighborhood Resource Network program scope within Bridges Network. Work with First 5 program leads to develop and implement transition plan to an evidence-based home visiting model.
Orange County Child Abuse Prevention Center* (soon to be Priority Center)	FCI-BN4-13	7/1/20- 6/30/23	\$908,000	\$908,000	\$908,000	\$2,724,000		

Health Care Agency - Public Health Nursing*	FCI-HCA-13	7/1/20 - 6/30/23	\$1,500,000	\$1,500,000	\$1,500,000	\$4,500,000	Provide public health nursing programs serving special populations including first time adolescent mothers and those with mental illness, Perinatal Mood and Anxiety Disorders, and high-risk medical conditions.	
TOTAL			\$3,278,000	\$3,278,000	\$3,278,000	\$9,834,000		

Neighborhood Resource Network-Administrative Hub

Organization/Program	Contract Number	Term	FY 20/21	FY 21/22	FY 22/23	Maximum Payment Obligation	Scope of Work	Comments
Children's Home Society (CHS)	FCI-PEI-02	7/1/20 - 6/30/21	\$145,000	N/A	N/A	\$145,000	Serve as the administrative hub agency for the Neighborhood Resource Network program to coordinate services through a multi-disciplinary collaborative of community service providers. Funding supports administrative costs and success payments to community service providers.	One year agreement with option to renew for years 2 and 3
TOTAL			\$145,000	\$0	\$0	\$145,000		

Other Special Population Services

Organization/Program	Contract Number	Term	FY 20/21	FY 21/22	FY 22/23	Maximum Payment Obligation	Scope of Work	Comments
County of Orange, Social Services Agency (Early Childhood System of Care)	FCI-SSA-19	7/1/20 - 6/30/21	\$80,000	N/A	N/A	\$80,000	Establish a work group to explore pilot opportunities to strengthen and enhance ECSOC services.	One year agreement with option to renew for years 2 and 3
Child Guidance Center. Inc.	FCI-NF-06	7/1/20- 6/30/23	\$125,000	\$125,000	\$125,000	\$375,000	Train therapists in Parent Child Interaction Therapy (PCIT) model, facilitate PCIT learning community and provide PCIT training to parents.	
TOTAL			\$205,000	\$125,000	\$125,000	\$455,000		

*Contractor may also receive additional leveraged funding through the CalWORKs Home Visiting Program; staff will return for approval after the state's award notification.

CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY

RESOLUTION NO. ____-20-C&FC

February 5, 2020

A RESOLUTION OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY DIRECTING THE EXECUTIVE DIRECTOR AND COMMISSION COUNSEL TO PREPARE AND NEGOTIATE AGREEMENTS WITH ORGANIZATIONS TO PROVIDE PRENATAL TO THREE SERVICES AND AUTHORIZING APPROVAL AND EXECUTION OF SUCH AGREEMENTS ON BEHALF OF THE COMMISSION

WHEREAS, in order to facilitate the creation and implementation of an integrated, comprehensive, and collaborative system of information and services to enhance optimal early childhood development, the legislature adopted legislation set forth in the California Children and Families Act of 1998, Health and Safety Code Section 130100, *et seq.* (as amended, the “Act”) implementing the Children and Families First Initiative passed by the California electorate in November, 1998 and establishing the California Children and Families Commission and County Children and Families Commissions, including this Children and Families Commission of Orange County (“Commission”); and

WHEREAS, the Commission desires to prepare and negotiate an Agreement with each of the organizations, hereinafter referred to as the “Contractors,” identified in the staff report for the February 5, 2020 Commission meeting, to provide Prenatal to Three services for the terms and in the amounts and on the conditions as specified in Attachment 2 therein; and

WHEREAS, each of the Contractors desires to enter into their respective Agreements in furtherance of the purposes of the Act and the Strategic Plan on the terms and conditions set forth in the applicable Agreements; and

WHEREAS, Commission has reviewed the staff report for the February 5, 2020 Commission meeting relating to the scopes of services to be provided and hereby finds and determines that the proposed Agreements are in furtherance of and consistent with the Commission’s Strategic Plan; and

WHEREAS, Commission desires to authorize the Commission Chair and Commission Clerk to execute the Agreement with each of the Contractors for the terms and in the amounts and on the conditions as specified in Attachment 2 to the February 5, 2020 staff report for this Agenda Item.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMISSIONERS OF THE CHILDREN AND FAMILIES COMMISSION OF ORANGE COUNTY AS FOLLOWS:

Section 1 Commission finds and determines the foregoing Recitals are true and correct and are a substantive part of this Resolution.

Section 2 Commission authorizes the Executive Director, or designee, and Commission Counsel to prepare and negotiate an Agreement with each of the Contractors to provide Prenatal to Three services for the terms, in the amounts, and on the conditions as specified in Attachment 2 of the February 5, 2020 staff report.

Section 3 The form of the Agreements with the Contractors shall be substantially similar to the standard form Agreements, subject to minor, non-substantive revisions as reviewed and approved by the Executive Director, or designee, and Commission Counsel. The approval by the Executive Director, or designee, of the Agreements shall be conclusively evidenced by the execution of such Agreement by the Commission Chair and delivery thereof to the Commission Clerk.

Section 4 Commission hereby approves the Agreements with each of the Contractors to provide Prenatal to Three services for the terms and in the amounts and on the conditions as specified in Attachment 2 of the February 5, 2020 staff report for this Agenda Item.

Section 5 The Commission Chair and the Clerk of the Commission are hereby authorized to execute and attest, respectively, the Agreements on behalf of the Commission.

Section 6 A copy of each Agreement when executed by the Commission Chair and attested by the Clerk of the Commission shall be appended hereto as a part of Exhibit A to this Resolution. Exhibit A is hereby fully incorporated as a part of this Resolution by this reference and made a part hereof. Each final executed Agreement shall be placed on file in the office of the Clerk of the Commission.

Section 7 In addition to the authorization of Section 2 above, the Executive Director, or designee, is hereby authorized, on behalf of the Commission, (i) to sign all documents necessary and appropriate to carry out and implement the Agreements to cause the issuance of warrants, (iii) to administer the Commission's obligations, responsibilities, and duties to be performed under such agreement(s), and (iv) during the term thereof to provide waivers, administrative interpretations, and minor modifications of the provisions of such Agreement in the furtherance thereof.

Section 8 The Clerk of the Commission shall certify to the adoption of this Resolution.

The foregoing resolution was passed and adopted by the following vote of the Children and Families Commission of Orange County on February 5, 2020 to wit:

AYES Commissioners: _____

NOES Commissioner(s): _____

EXCUSED Commissioner(s): _____

ABSTAINED Commissioner(s): _____

CHAIR

STATE OF CALIFORNIA)
)
COUNTY OF ORANGE)

I, ROBIN STIELER, Clerk of the Commission of Orange County, California, hereby certify that a copy of this document has been delivered to the Chair of the Commission and that the above and foregoing Resolution was duly and regularly adopted by the Children and Families Commission of Orange County.

IN WITNESS WHEREOF, I have hereto set my hand and seal.

Robin Stieler

Clerk of the Commission, Children and Families Commission of
Orange County, County of Orange, State of California

Resolution No: __-20-C&FC

Agenda Date: February 5, 2020

Item No. __



I certify that the foregoing is a true and correct copy of the
Resolution adopted by the

ROBIN STIELER, Clerk of the Commission

By: _____
Deputy

EXHIBIT A TO RESOLUTION OF COMMISSION

(Attach copy(ies) of final executed Agreements)



Agenda Item 7
February 5, 2020

DATE: January 24, 2020

TO: First 5 Orange County Children and Families Commission

FROM: Kimberly Goll, Executive Director

ACTION: Receive the Executive Officer's Report

A. Strategic Communications/Legislative Update

The 2020 legislative year began with hundreds of new laws taking effect January 1 and the release of Governor Newsom's \$222 billion budget proposal for the 2020-21 fiscal year. While most media headlines focused on new laws related to freelance/contract workers and the expansion of Medi-Cal to undocumented young adults, two bills took affect that will expand access to mental health resources for new mothers and provide maternal mental health training to birthing hospital staff. Early childhood education continued to be a focus of the Governor's budget, including \$32 million to fund 10,000 additional all-day, state-funded preschool slots for low-income four-year-olds. It also includes \$8.5 million to create a new Department of Early Childhood Development to simplify California's complex system of childcare funding.

In addition to tracking developments in Sacramento, Curt Pringle & Associates has been connecting with city and community leaders in Anaheim and Garden Grove to educate them about the Early Development Index (EDI). The long-term goal is to raise awareness about the importance of early childhood in order to make informed public policy decisions that can benefit families with young children. Work has also begun on the annual Essentials Diaper Drive campaign, which begins in May.

B. First Quarter Investment Report

Pursuant to First 5 Orange County's Fiscal Year 2019/2020 Investment Policy Statement, the Orange County Treasurer submits Quarterly Investment Reports to the Commission. This Investment Report summarizes investment activities for the three-month period from July 1 through September 30, 2019. The primary objective of the Treasurer is to invest the Commission's funds to provide safety of principal and liquidity, while providing a reasonable return on investments. Currently, the Treasurer has invested 100 percent of the Commission's funds in the Orange County Investment Pool (OCIP). As of September 30, 2019, these totaled \$40,756,469. All funds are managed by the Office of the Orange County Treasurer. A summary of key OCIP investment information is in Attachment 1.

C. Data Platform Exploration

First 5 Orange County currently uses Persimmony as its data platform for contract administration and performance measurement of funded partners. Staff is exploring options for improving this data system, which is static and glitchy. Desired elements of a robust data system include:

- Consistent quality and customer service
- Nimble, easily scalable, web-based application
- Ability to collect and synthesize data, including quantitative performance measures as well as qualitative measures related to system building efforts

Options for an improved data system include working with Persimmony to enhance the existing portal; or, seeking a new vendor/platform. Staff has had initial conversations with First 5 Alameda about the potential to leverage their data system called HIGH5. Using a program developer (Eightcloud), they created a robust contract award and performance tracking system which is built on an open source platform (Salesforce). Staff hopes to bring an item to the Board in April with further information and recommendations.

D. Intergovernmental Transfer Opportunity

First 5 Orange County has completed three IGT's to date, with one in progress. CalOptima recently notified staff of another IGT opportunity. A non-binding letter of interest has been submitted to "hold" First 5 Orange County's place until specific proposed projects can be presented to the Board for consideration in April. Past IGT's have funded early childhood mental health, prenatal home visiting services, Help Me Grow, and a developmental screening initiative.

E. Staff Recruitment Update

Recruitment has begun for the three open positions of senior program manager, program manager, and data analyst. To date, we have received 52 applications and are starting the first phase of screening which includes determining qualified candidates and conducting phone interviews. We anticipate accepting applications through February to ensure a robust applicant pool. Job descriptions and applications can be found on our [website](#).

F. Commissioner Recruitment Update

2nd District Supervisor Michelle Steel has been named Chair of the Board of Supervisors for 2020. In the next few weeks, she will make appointments including designating the Supervisor to serve on the First 5 Orange County board and naming two Board of Supervisor representatives to make recommendations for three at-large First 5 board positions. As a reminder, the First 5 Orange County board includes six at-large members, who serve staggered two-year terms that begin April 1 and expire March 31 of the second year. Each year, three of these positions must be filled by the Board of Supervisors. First 5 manages the recruitment process; the Board of Supervisors reviews all applications, makes the nominations and appointments. First 5 staff has reached out to Supervisor Steel's office to begin the recruitment process. Contact Lisa Burke lisa.burke@cfcoc.ocgov.com with any recruitment related questions.

ATTACHMENTS:

1. Investment Quarterly Report

First 5 Orange County, Children & Families Commission
Quarterly Investment Report
July 1, 2019 through September 30, 2019

OVERVIEW

Pursuant to the First 5 Orange County, Children & Families Commission (Commission) fiscal year 2019/2020 Investment Policy Statement (IPS), the Treasurer submits this Quarterly Investment Report to the Commission. This Investment Report summarizes investment activities for the Commission for the three-month period from July 1 through September 30, 2019.

COMMISSION INVESTMENTS

The primary objective of the Treasurer is to invest the Commission's funds to provide safety of principal and liquidity, while providing a reasonable return on investments. Currently, the Treasurer has invested 100% of the Commission's funds in the Orange County Investment Pool (OCIP). As of September 30, 2019, these totaled \$40,756,469.08. All funds are managed by the Office of the Orange County Treasurer. We have attached a summary of key OCIP investment information (Attachment A).

ORANGE COUNTY INVESTMENT POOL

The primary goal of the OCIP is to invest public funds in a manner which will provide the maximum security of principal invested with secondary emphasis on providing adequate liquidity to Pool Participants and lastly to achieve a market rate of return.

PORTFOLIO PERFORMANCE SUMMARY – QUARTERLY

The following table presents an overview of the Commission's investments for the months of July, August and September 2019. The net year-to-date yield for fiscal year 2019/2020 is 2.25%, net of the estimated investment administrative fee of 5.9 basis points. The forecasted gross and net yields for the fiscal year 2019/2020 for the OCIP are 2.15% and 2.09%, respectively. The OCIP has a net asset value (NAV) of 1.00 and there is sufficient liquidity to meet the forecasted expenditures for the next six months.

Month Ended	Commission's Month End Balance	Commission's Monthly Gross Yield	OCIP Market Value	OCIP Book Value	Weighted Average Maturity	Net Asset Value	Modified Duration
July	\$38,507,220	2.34%	\$3,797,231,020	\$3,782,235,352	320 days	1.00	1.03
August	\$37,442,471	2.28%	\$3,843,393,139	\$3,821,633,661	328 days	1.01	0.95
September	\$40,756,469	2.30%	\$3,907,560,407	\$3,889,613,364	329 days	1.00	0.96

ECONOMIC UPDATE

The job market added 166,000 (revised) new jobs in July, 168,000 (revised) jobs in August, and 136,000 jobs in September. The unemployment rate remained unchanged at 3.7% in July from the prior month, remained unchanged at 3.7% in August, and decreased to 3.5% in September. U.S. Gross Domestic Product annualized revised rate in the second quarter of 2019 was 2.0%, a decrease from the 2019 first quarter revised rate of 3.1%. S&P/CaseShiller reported that housing prices continued to remain positive on a year-over-year basis up 3.46% (revised) in May, up 3.25% (revised) in June and for the eighty-seventh consecutive month up 3.18% in July, but has risen by an increasingly smaller amount for the past 16 months. The index for pending home sales on a year-over-basis decreased 0.6% in June, increased by 1.7% in July and increased by 1.1% in August. On July 31, 2019, the Federal Open Markets Committee (FOMC) reduced the benchmark Federal Funds rate by 0.25 percent resulting in a new target range of 2.00 percent to 2.25 percent. On September 18, 2019, the FOMC reduced the benchmark Federal Funds rate by another 0.25 percent resulting in a new target range of 1.75 percent to 2.00 percent.

U.S. Treasury Yield Curve: Yields on the Treasury 3-month, 2-year, 5-year, 10-year, and 30-year increased during the Quarter Ended September 30, 2019.

	Quarter Ended June 30, 2019	Quarter Ended September 30, 2019
3 - Month	2.12%	1.88%
2 - Year	1.75%	1.63%
5 - Year	1.76%	1.55%
10 - Year	2.00%	1.68%
30 - Year	2.52%	2.12%

Source: U.S. Department of the Treasury

COMPLIANCE MONITORING

As of September 30, 2019, 100% of the holdings of the Commission are in compliance with the Commission's IPS. The investment portfolios had no compliance exceptions for the quarter ended September 30, 2019.

The County Treasurer's investments are audited regularly by the County Auditor-Controller Internal Audit Division (ACIAD) and the Orange County Internal Audit Department. The ACIAD issued two reports during the quarter ended September 30, 2019 as follows:

- The Second & Final Follow-Up Internal Control Audit: Electronic Funds Transfer Process – Treasurer-Tax Collector as of May 2, 2019
The Internal Audit Department issued their report on the Second & Final Follow-Up Internal Control Audit: Electronic Funds Transfer Process-Treasurer-Tax Collector as of May 2, 2019 stating that the County Treasurer implemented two recommendations and is in the process of implementing one remaining recommendation.
- Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended June 30, 2019
The Auditor-Controller issued their report, which stated that no instances of noncompliance were identified.

We have attached the Investment Policy and Treasury Oversight Committee Compliance Summary as reported in the Treasurer's Monthly Report for the months of July, August and September 2019 (Attachment B). This summary tracks compliance in a variety of areas.

PORTFOLIO HOLDINGS OF DEBT ISSUED BY POOL PARTICIPANTS

Under guidelines outlined in the County's current IPS, the County Treasurer may invest in A or above rated securities issued by municipalities. Municipal debt issued by the County of Orange is exempt from this credit rating requirement. OCIP may invest no more than 5% of pool assets in any one issuer, with the exception of the County of Orange which has a 10% limit. As of September 30, 2019, OCIP has a total market value of \$136 million in County of Orange debt, which represents approximately 3.48% of total OCIP assets.

STATEMENT OF ACTIVITY

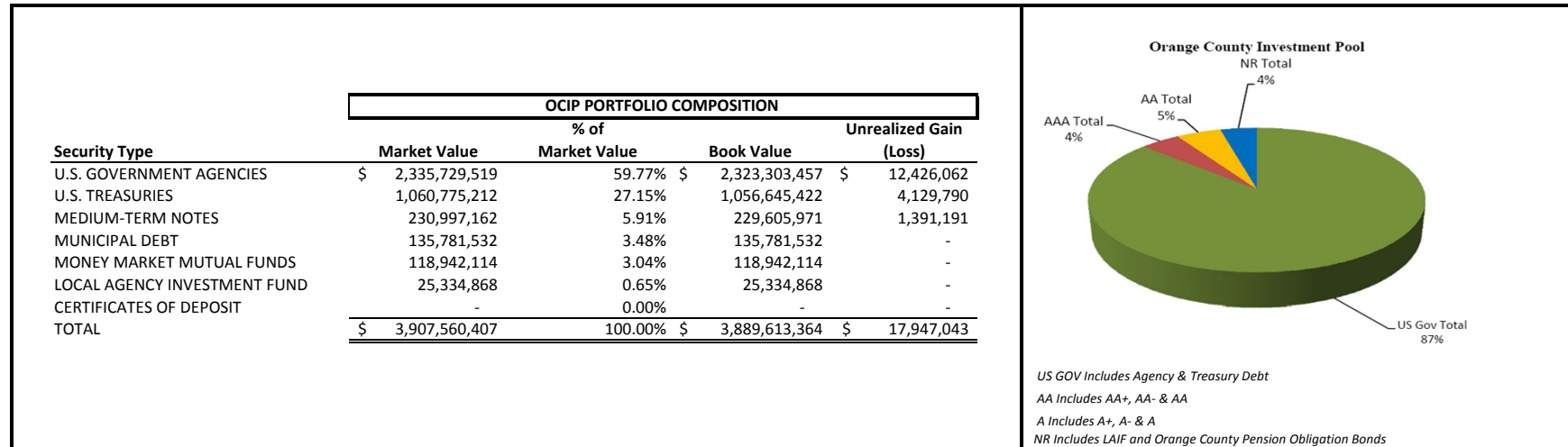
We have attached the Statement of Activity for the months of July, August, and September 2019 (Attachment C). These Statements report the beginning and ending balances of the Commission's funds invested in the OCIP.

Attachments:

- A. Orange County Investment Pool Summary
- B. Investment Policy and Treasury Oversight Committee Compliance Summary for July, August, and September 2018
- C. Statement of Activity for the months of July, August, and September 2019

ATTACHMENT A

ORANGE COUNTY TREASURER-TAX COLLECTOR ORANGE COUNTY INVESTMENT POOL INVESTMENT POOL SUMMARY AT SEPTEMBER 30, 2019



SUMMARY OF INVESTMENT DATA								
INVESTMENT TRENDS								
OCIP								
	SEPTEMBER 2019	AUGUST 2019	INCREASE (DECREASE)	NET CHANGE %	SEPTEMBER 2018	INCREASE (DECREASE)	NET CHANGE %	
Orange County Investment Pool (OCIP)								
End Of Month Market Value ¹	\$ 3,907,560,407	\$ 3,843,393,139	\$ 64,167,268	1.67%	\$ 3,767,385,607	\$ 140,174,800	3.72%	
End Of Month Book Value ¹	\$ 3,889,613,364	\$ 3,821,633,661	\$ 67,979,703	1.78%	\$ 3,788,455,916	\$ 101,157,448	2.67%	
Monthly Average Balance	\$ 3,830,516,786	\$ 3,754,252,419	\$ 76,264,367	2.03%	\$ 3,761,688,842	\$ 68,827,944	1.83%	
Year-To-Date Average Balance	\$ 3,900,667,445	\$ 3,935,742,774	\$ (35,075,329)	-0.89%	\$ 3,815,987,973	\$ 84,679,472	2.22%	
Monthly Accrued Earnings ²	\$ 7,286,381	\$ 7,345,170	\$ (58,790)	-0.80%	\$ 5,572,329	\$ 1,714,051	30.76%	
Monthly Net Yield ²	2.24%	2.22%	0.01%	0.54%	1.75%	0.49%	28.14%	
Year-To-Date Net Yield ²	2.25%	2.26%	-0.01%	-0.22%	2.05%	0.20%	10.01%	
Annual Estimated Gross Yield ³	2.15%	2.15%	0.00%	0.00%	2.11%	0.04%	2.06%	
Weighted Average Maturity (WAM)	329	328	1	0.30%	357	(28)	-7.84%	

¹ Market values provided by Bloomberg and Northern Trust.

² In September 2019, the OCIP and OCEIP Monthly Accrued Earnings, the OCIP and OCEIP Monthly Net Yield and the OCIP and OCEIP Year-To-Date Net Yields were higher than the prior year primarily due to the ten Federal Reserve short-term rate changes resulting in a net increase of 1.50% since November 2016. The OCIP and OCEIP September 2018 Monthly Accrued Earnings and Monthly and Year-to-Date Net Yields are higher than the reported yields in the Monthly Investment September 2018 Report due to the addition of the Money Market Mutual Funds (MMMF) interest income that was not posted timely as noted in the June 2019 report.

**ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) AND TREASURY OVERSIGHT COMMITTEE (TOC) COMPLIANCE SUMMARY
July 31, 2019**

ATTACHMENT B

COMPLIANCE CATEGORY	RESPONSIBLE PARTY	REGULATORY/POLICY GUIDELINES	CURRENT STATUS
Annual Compliance Audit	TOC	Performance Evaluation-Cal Govt. Code 27134	June 30, 2018 in progress.
Quarterly Statement of Assets Review	AC	Performance Evaluation-Cal Govt. Code 26920(a)	March 31, 2019 in progress.
Annual Statement of Assets Audit	AC	Performance Evaluation-Cal Govt. Code 26920(b)	June 30, 2018 in progress.
Quarterly Compliance Monitoring	TOC	TOC Directive	June 30, 2019 in progress.
Investment Administrative Fee	TTC	Compensation Agreement-Cal Govt. Code 27013	FY 17/18 in progress.
Annual Broker/Dealer Review	TTC	Authorized Financial Dealers and Qualified Institutions	Calendar year 2018 in progress.
Annual Broker/Dealer IPS Certification	TTC	Authorized Financial Dealers and Qualified Institutions	All 2018 IPS certifications received for active approved brokers.
IPS Compliance Deficiencies	TTC	Investment/Diversification/Maturity Restrictions/Form 700	FY 18/19 identified zero compliance incidents as of July 31, 2019.
TOC Bylaw Changes	BOS	TOC Review and BOS Annual Approval	The TOC reviewed Bylaws and made no changes at the November 19, 2018 meeting. No BOS approval required.
Annual IPS Approval	BOS	TOC Review and BOS Annual Approval	The TOC reviewed proposed IPS changes at the November 19, 2018 meeting and did not make any additional changes. The BOS approved on December 18, 2018.
TOC Annual Report	BOS	TOC Bylaws Rule 30 - Oral and Written Report	The TOC 2018 Annual Report was approved at the BOS meeting March 12, 2019.
Broker/Financial Institution List	TTC	OC Gift Ban Ordinance and Form 700	The TOC members were provided a list of active TTC Broker/Dealers and Financial Institutions at the TOC meeting on January 30, 2019.
Certificates of Compliance	TTC	TOC Bylaws Rule 34 - Annual	The TOC members are in compliance for calendar year 2019
Ethics Training	TTC	TOC Bylaws Rule 34 - Every Two Years	The TOC members are in compliance for calendar year 2018.
Conflict of Interest Form 700 Filing	TTC	TOC Bylaws Rule 34 / IPS - Every Year	All current TOC members and designated employees are in compliance for calendar year 2018.

LEGEND	
Auditor-Controller	AC
Board of Supervisors	BOS
Treasury Oversight Committee	TOC
Office of Treasurer-Tax Collector	TTC

**ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) AND TREASURY OVERSIGHT COMMITTEE (TOC) COMPLIANCE SUMMARY
August 31, 2019**

ATTACHMENT B

COMPLIANCE CATEGORY	RESPONSIBLE PARTY	REGULATORY/POLICY GUIDELINES	CURRENT STATUS
Annual Compliance Audit	TOC	Performance Evaluation-Cal Govt. Code 27134	June 30, 2018 in progress.
Quarterly Statement of Assets Review	AC	Performance Evaluation-Cal Govt. Code 26920(a)	March 31, 2019 in progress.
Annual Statement of Assets Audit	AC	Performance Evaluation-Cal Govt. Code 26920(b)	June 30, 2018 in progress.
Quarterly Compliance Monitoring	TOC	TOC Directive	June 30, 2019 in progress.
Investment Administrative Fee	TTC	Compensation Agreement-Cal Govt. Code 27013	FY 17/18 in progress.
Annual Broker/Dealer Review	TTC	Authorized Financial Dealers and Qualified Institutions	Calendar year 2018 in progress.
Annual Broker/Dealer IPS Certification	TTC	Authorized Financial Dealers and Qualified Institutions	All 2018 IPS certifications received for active approved brokers.
IPS Compliance Deficiencies	TTC	Investment/Diversification/Maturity Restrictions/Form 700	FY 19/20 identified zero compliance incidents as of August 31, 2019.
TOC Bylaw Changes	BOS	TOC Review and BOS Annual Approval	The TOC reviewed Bylaws and made no changes at the November 19, 2018 meeting. No BOS approval required.
Annual IPS Approval	BOS	TOC Review and BOS Annual Approval	The TOC reviewed proposed IPS changes at the November 19, 2018 meeting and did not make any additional changes. The BOS approved on December 18, 2018.
TOC Annual Report	BOS	TOC Bylaws Rule 30 - Oral and Written Report	The TOC 2018 Annual Report was approved at the BOS meeting March 12, 2019.
Broker/Financial Institution List	TTC	OC Gift Ban Ordinance and Form 700	The TOC members were provided a list of active TTC Broker/Dealers and Financial Institutions at the TOC meeting on January 30, 2019.
Certificates of Compliance	TTC	TOC Bylaws Rule 34 - Annual	The TOC members are in compliance for calendar year 2019
Ethics Training	TTC	TOC Bylaws Rule 34 - Every Two Years	The TOC members are in compliance for calendar year 2018.
Conflict of Interest Form 700 Filing	TTC	TOC Bylaws Rule 34 / IPS - Every Year	All current TOC members and designated employees are in compliance for calendar year 2018.

LEGEND	
Auditor-Controller	AC
Board of Supervisors	BOS
Treasury Oversight Committee	TOC
Office of Treasurer-Tax Collector	TTC

**ORANGE COUNTY TREASURER-TAX COLLECTOR
INVESTMENT POLICY (IPS) AND TREASURY OVERSIGHT COMMITTEE (TOC) COMPLIANCE SUMMARY
September 30, 2019**

ATTACHMENT B

COMPLIANCE CATEGORY	RESPONSIBLE PARTY	REGULATORY/POLICY GUIDELINES	CURRENT STATUS
Annual Compliance Audit	TOC	Performance Evaluation-Cal Govt. Code 27134	June 30, 2018 in progress.
Quarterly Statement of Assets Review	AC	Performance Evaluation-Cal Govt. Code 26920(a)	March 31, 2019 completed. June 30, 2019 and September 30, 2019 in progress.
Annual Statement of Assets Audit	AC	Performance Evaluation-Cal Govt. Code 26920(b)	June 30, 2018 in progress.
Quarterly Compliance Monitoring	TOC	TOC Directive	June 30, 2019 completed. September 30, 2019 in progress.
Investment Administrative Fee	TTC	Compensation Agreement-Cal Govt. Code 27013	FY 17/18 in progress.
Annual Broker/Dealer Review	TTC	Authorized Financial Dealers and Qualified Institutions	Calendar year 2018 in progress.
Annual Broker/Dealer IPS Certification	TTC	Authorized Financial Dealers and Qualified Institutions	All 2018 IPS certifications received for active approved brokers.
IPS Compliance Deficiencies	TTC	Investment/Diversification/Maturity Restrictions/Form 700	FY 19/20 identified zero compliance incidents as of August 31, 2019.
TOC Bylaw Changes	BOS	TOC Review and BOS Annual Approval	The TOC reviewed Bylaws and made no changes at the November 19, 2018 meeting. No BOS approval required.
Annual IPS Approval	BOS	TOC Review and BOS Annual Approval	The TOC reviewed proposed IPS changes at the November 19, 2018 meeting and did not make any additional changes. The BOS approved on December 18, 2018.
TOC Annual Report	BOS	TOC Bylaws Rule 30 - Oral and Written Report	The TOC 2018 Annual Report was approved at the BOS meeting March 12, 2019.
Broker/Financial Institution List	TTC	OC Gift Ban Ordinance and Form 700	The TOC members were provided a list of active TTC Broker/Dealers and Financial Institutions at the TOC meeting on January 30, 2019.
Certificates of Compliance	TTC	TOC Bylaws Rule 34 - Annual	The TOC members are in compliance for calendar year 2019
Ethics Training	TTC	TOC Bylaws Rule 34 - Every Two Years	The TOC members are in compliance for calendar year 2018.
Conflict of Interest Form 700 Filing	TTC	TOC Bylaws Rule 34 / IPS - Every Year	All current TOC members and designated employees are in compliance for calendar year 2018.

LEGEND	
Auditor-Controller	AC
Board of Supervisors	BOS
Treasury Oversight Committee	TOC
Office of Treasurer-Tax Collector	TTC



COUNTY OF ORANGE
OFFICE OF THE TREASURER-TAX COLLECTOR
 Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM
 P. O. BOX 4515
 SANTA ANA, CA 92702-4515



ATTACHMENT C

ocgov.com/ocinvestments

July 31, 2019

FIRST 5 ORANGE COUNTY, CHILDREN & FAMILIES COMMISSION

Attn: Kimberly Goll, Executive Director
 1505 E. 17th Street, Suite 230
 Santa Ana, CA 92705

Monthly Apportionment Gross Yield: 2.335%
 Estimated Investment Administration Fee Rate: -0.059%
 Monthly Apportionment Net Yield: 2.276%

Fund Number : 225

JULY 2019 STATEMENT

INVESTMENT BALANCE IN OCIP

Transactions

<u>Transaction Date</u>	<u>Transaction Description</u>	<u>Amount</u>
07/01/19	June 2019 Investment Admin Fee	\$ (1,816.75)
07/25/19	April 2019 Interest Paid	\$ 70,972.31

Summary

Total Deposit:	\$	1,819,695.59	Beginning Balance:	\$	38,475,376.44
Total Withdrawal:	\$	(1,787,851.60)	Ending Balance:	\$	38,507,220.43

ACCRUED INVESTMENT INCOME

Description

Amount

May 2019 Interest Accrued	\$	72,700.97
June 2019 Interest and Prior Period Interest Accrued	\$	109,897.92
July 31, 2019 Total	\$	<u>182,598.89</u>
July 2019 Interest will be posted in August	\$	78,191.58



COUNTY OF ORANGE
OFFICE OF THE TREASURER-TAX COLLECTOR
 Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM
 P. O. BOX 4515
 SANTA ANA, CA 92702-4515



ATTACHMENT C

ocgov.com/ocinvestments

August 31, 2019

FIRST 5 ORANGE COUNTY, CHILDREN & FAMILIES COMMISSION

Attn: Kimberly Goll, Executive Director
 1505 E. 17th Street, Suite 230
 Santa Ana, CA 92705

Monthly Apportionment Gross Yield: 2.283%
 Estimated Investment Administration Fee Rate: -0.059%
 Monthly Apportionment Net Yield: 2.224%

Fund Number : 225

AUGUST 2019 STATEMENT

INVESTMENT BALANCE IN OCIP

Transactions

<u>Transaction Date</u>	<u>Transaction Description</u>	<u>Amount</u>
08/01/19	July 2019 Investment Admin Fee	\$ (1,970.25)
08/19/19	May 2019 Interest Paid	\$ 72,700.97

Summary

Total Deposit:	\$ 621,902.18	Beginning Balance:	\$ 38,507,220.43
Total Withdrawal:	\$ (1,686,651.95)	Ending Balance:	\$ 37,442,470.66

ACCRUED INVESTMENT INCOME

Description

Amount

June 2019 Interest and Prior Period Interest Accrued	\$ 109,897.92
July 2019 Interest Accrued	\$ 78,191.58
August 31, 2019 Total	<u>\$ 188,089.50</u>

August 2019 Interest will be posted in September	\$ 74,469.59
--	--------------



COUNTY OF ORANGE
OFFICE OF THE TREASURER-TAX COLLECTOR
 Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM
 P. O. BOX 4515
 SANTA ANA, CA 92702-4515



ATTACHMENT C

ocgov.com/ocinvestments

September 30, 2019

FIRST 5 ORANGE COUNTY, CHILDREN & FAMILIES COMMISSION

Attn: Kimberly Goll, Executive Director
 1505 E. 17th Street, Suite 230
 Santa Ana, CA 92705

Monthly Apportionment Gross Yield:	2.295%
Estimated Investment Administration Fee Rate:	-0.059%
Monthly Apportionment Net Yield:	2.236%

Fund Number : 225

SEPTEMBER 2019 STATEMENT

INVESTMENT BALANCE IN OCIP

Transactions

<u>Transaction Date</u>	<u>Transaction Description</u>	<u>Amount</u>
09/02/19	August 2019 Investment Admin Fee	\$ (1,919.13)
09/23/19	June 2019 Interest Paid	\$ 73,473.77

Summary

Total Deposit:	\$	4,940,891.44	Beginning Balance:	\$	37,442,470.66
Total Withdrawal:	\$	(1,626,893.02)	Ending Balance:	\$	40,756,469.08

ACCRUED INVESTMENT INCOME

Description

<u>Description</u>	<u>Amount</u>
Prior Period Interest Accrued ⁽¹⁾	\$ 36,424.15
July 2019 Interest Accrued	\$ 78,191.58
August 2019 Interest Accrued	\$ 74,469.59
September 30, 2019 Total	\$ 189,085.32

September 2019 Interest will be posted in October	\$ 74,320.79
---	--------------

⁽¹⁾ Prior Period Interest will be paid in October 2019.